

Exceptionally Stable Ozonides. Influence of Methyl Substituents on the Course of Cyclopentene Ozonolyses and on the Reactivities of Ozonides

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Ozonolyses of 1,2,3,3,4,4,5,5-octamethyl- (**1a**), 1,2,3,3,4,4,5-heptamethyl- (**1b**), and 1,2,3,3,4,4-hexamethyl cyclopentenes (**1c**) in methanol did not yield the ordinary hemiperacetals but gave the corresponding ozonides **6a–c** instead. The ozonides **6a,b** were extremely stable and remained intact even when refluxed with triphenylphosphine in tetrahydrofuran. Cycloreversion of the primary ozonides from unsymmetrically substituted 1,2,3,3-tetramethylcyclopentene (**1d**) and 1,5,5-trimethylcyclopentene (**1g**) was highly regioselective to yield the intermediate ω -oxo carbonyl oxides with the geminal methyl groups remote from the carbonyl oxide groups.

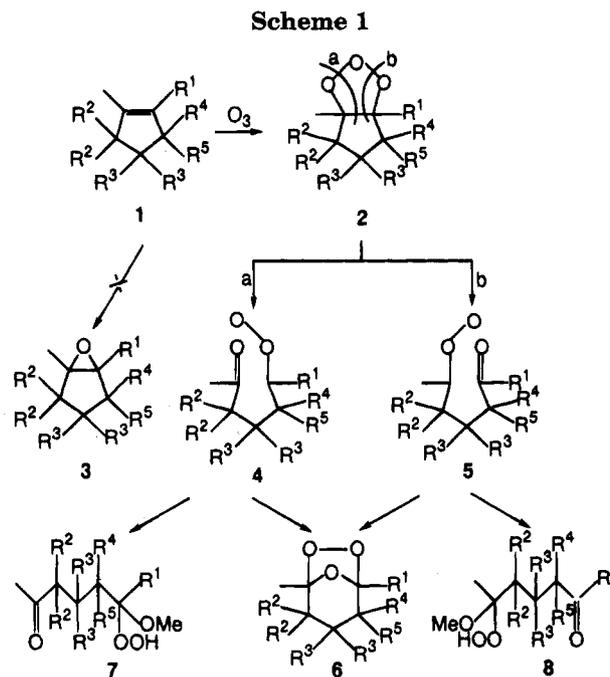
The basic mechanism that describes the ozonolysis of an alkene to produce a 1,2,4-trioxolane (secondary ozonide) evolved during the 1950's and is known as the Criegee mechanism.¹ It consists of three steps. The first step is a [3 + 2] cycloaddition reaction of ozone with the alkene leading to formation of a primary ozonide (1,2,3-trioxolane). The second is a cycloreversion process of the primary ozonide to provide the transient carbonyl oxide and a stable carbonyl compound, which may proceed in two different ways in the case of unsymmetrically substituted alkenes. Finally, recombination of the carbonyl oxide and the carbonyl compound gives the 1,2,4-trioxolane. In alcohols, however, the carbonyl oxide is generally captured by the solvent to yield the corresponding hemiperacetals.¹ We report now that in ozonolyses of highly methylated cyclopentenes the methyl substituents exert a remarkable influence on the course of the reaction and also on the reactivity of the resulting ozonides toward triphenylphosphine.

Results and Discussion

Ozonolysis of Highly Methylated Cyclopentenes.

Ozonolyses of octamethylcyclopentene (**1a**) in ether as well as in CF₃CH₂OH/CH₂Cl₂ (1:4, v/v) or MeOH/ether (1:2, v/v) gave the corresponding ozonide **6a** in isolated yields of 68–72%; no evidence for the formation of the corresponding epoxide **3a**^{2a} or its sequential products such as octamethylcyclopentanone^{2b} was obtained (Scheme 1). The structure of the mono-ozonide **6a** was unambiguously determined by X-ray analysis.³

In analogy to the ozonolysis of compound **1a**, Criegee and co-workers⁴ reported that the reaction of 1,2-dimethylcyclopentene (**1e**) with ozone in pentane results in



					product ratio in MeOH			
R ¹	R ²	R ³	R ⁴	R ⁵	1-8	7	6	8
Me	Me	Me	Me	Me	a	—	100	—
Me	Me	Me	Me	H	b	—	100	—
Me	Me	Me	H	H	c	—	100	—
Me	Me	H	H	H	d	100	—	—
Me	H	H	H	H	e	100	—	—
H	Me	H	H	H	f	100	—	—
H	H	H	H	H	g	10	—	90 ^[13]

a quantitative formation of the corresponding ozonide **6e**. We observed that ozonide **6e** was also obtained in ether in 84% yield. In contrast, capture of the carbonyl oxide intermediate **4e** by the solvent predominated in methanol, and the expected α -methoxyalkyl hydroperoxide **7e** was obtained in 86% yield (Scheme 1).^{5,6}

(4) Criegee, R.; Blust, G.; Lohaus, G. *Liebigs Ann. Chem.* **1953**, 583, 2.

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(3) Jerzykiewicz, L. B.; Dzięwońska-Baran, D.; Baran, J.; Lis, T. *Acta Crystallogr.* **1993**, C49, 400.

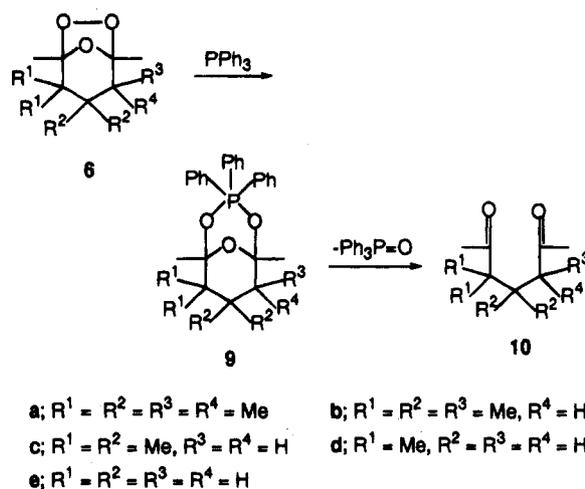
In order to understand the remarkably different behavior of the carbonyl oxide intermediates, **4a** and **4e**, toward methanol, we investigated the ozonolyses of 1,2,3,3,4,4,5-heptamethyl- (**1b**), 1,2,3,3,4,4-hexamethyl- (**1c**), and 1,2,3,3-tetramethyl cyclopentenes (**1d**) (Scheme 1). Ozonolyses in ether at $-70\text{ }^{\circ}\text{C}$ gave the corresponding ozonides **6b–d** in yields of 75%, 72%, and 73%, respectively. Also, in MeOH–ether (1:2, v/v) the reactions of the cyclopentenes **1b** and **1c** with ozone at $-70\text{ }^{\circ}\text{C}$ resulted in the formation of ozonides **6b** (79%) and **6c** (77%), respectively. In marked contrast, ozonolysis of tetramethylcyclopentene (**1d**) under the same conditions led to the formation of the methanol-derived product **7d**. The hydroperoxide **7d** was labile; however, and evaporation of the solvent was accompanied by partial decomposition to diketone **10d**. The ozonolysis of **1d** was, therefore, performed in CD_3OD and the ^1H - and ^{13}C -NMR spectra of the CD_3OD solution obtained in this way indicated the presence of hydroperoxide **7d-d₄** as the sole detectable product.

Thus, carbonyl oxide intermediates, **4a–c** and/or **5b,c**, seem to undergo intramolecular cycloaddition even in methanol, while the sterically less-congested carbonyl oxides **4d,e** can be efficiently captured by the solvent. Of particular interest is the different behavior of the substrates **1c** and **1d**, which suggests that the presence of the methyl substituents at the 4-position is also indispensable for the formation of ozonide in methanol.

The formation of ozonides **6a–c** in methanol^{7,8} is explained by the *gem*-dimethyl effect⁹ which obviously accelerates intramolecular cycloaddition to such an extent that capture of the carbonyl oxide by methanol cannot compete. Two modes of cycloreversion of the unsymmetrically substituted primary ozonide **2d** are possible. Each pathway leads to a different carbonyl oxide, **4d** (path a in Scheme 1) or **5d** (path b in Scheme 1). Exclusive formation of the methanol-derived product **7d** from **1d**, however, implies that presence of two methyl groups in one allylic position of the substrate olefin causes a regioselective cleavage of the primary ozonide **2d** to give the carbonyl oxide intermediate **4d** with the *geminal* methyl groups remote from the carbonyl oxide fragment.^{10,11}

In order to estimate the extent of the directive effect of the allylic *gem*-dimethyl group on the regioselectivity of the cleavage of the primary ozonide, we studied the ozonolysis of 1,5,5-trimethylcyclopentene (**1f**). It is well-established that trialkyl-substituted alkenes react with ozone to produce an aldehyde and a ketone *O*-oxide predominantly, since electron-donating alkyl substituents stabilize the carbonyl oxide.^{1a,12} Consistent with this, Griesbaum and Kiesel¹³ have found that ozonolysis of 1-methylcyclopentene (**1g**) in methanol proceeds mainly

Scheme 2



by the ketone *O*-oxide **5g** (the ratio of contribution of **4g** and **5g** is ca. 1:9) (Scheme 1). Thus, in the case of compound **1f**, the electronic effect of the methyl substituent at the 1-position and the steric effect of the *geminal* methyl groups at the 5-position should direct in opposite sense and both of the possible carbonyl oxide intermediates, **4f** and **5f**, may competitively participate.

The sole isolable product obtained from the ozonolysis of **1f** in MeOH–ether was hydroperoxide **7f**, however, which is derived from capture of **4f** by methanol (Scheme 1). It is thus suggested that in the cycloreversion of the allylic methyl groups is still decisive, thereby producing the less-hindered carbonyl oxide **4f** predominantly. However, the reason for this strong directive effect of the allylic methyl substituents is obscure.

Reduction of Cyclopentene Ozonides. Ozonides are well known to be easily reduced by various reductants.¹ Treatment of ozonide **6a** with Zn/acetic acid in ether at $20\text{ }^{\circ}\text{C}$ ¹⁴ resulted in the recovery of ozonide **6a**. In refluxing ether, however, ozonide **6a** was efficiently reduced to diketone **10a** with Zn/ $\text{CH}_3\text{CO}_2\text{H}$ during 3 h. Under the latter conditions, the diketones **10b,c** were obtained from the ozonides **6b,c**. Hydrogenation catalyzed by palladium on activated carbon under atmospheric pressure gave also diketone **10a** quantitatively.

Surprisingly, ozonides **6a,b** could not be reduced by triphenylphosphine in CDCl_3 at $20\text{ }^{\circ}\text{C}$, in refluxing ether during several days, or in refluxing tetrahydrofuran. Under similar conditions the sterically-congested mono-ozonide from hexamethyldearbenzene and also 1,2,3-triphenylindene ozonide were reduced to the corresponding diketones. Compounds **6a,b** are, therefore, the least reactive ozonides toward triphenylphosphine presently known.

In contrast to **6a,b**, hexamethylcyclopentene ozonide (**6c**) was efficiently reduced to the corresponding diketone **10c** by triphenylphosphine in refluxing tetrahydrofuran. The reaction of the less-hindered ozonides **6d,e** with triphenylphosphine was very fast; in CDCl_3 the ozonides **6d,e** were rapidly deoxygenated at $20\text{ }^{\circ}\text{C}$ to give the corresponding diketones **10d,e** (Scheme 2). Competitive

(5) Consistent with this, ozonolysis of 3,4-dimethyl-2,5-dihydrothiophene 1,1-dioxide in methanol gives the corresponding hemiperacetal in high yield.⁹

(6) Criegee, R.; Lohaus, G. *Liebigs Ann. Chem.* **1953**, 583, 12.

(7) A similar trend has been observed for the ozonolyses of highly-substituted cyclobutene derivatives, hexamethyldearbenzene,^{8a} and 3,3,4-trisubstituted 1,2-diphenylcyclobutenes in methanol.^{8b}

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(10) Criegee reported a similar directing effect of the methyl groups during ozonolysis of 1,2,3,3-tetramethyl-4-acetylcyclohexene.^{11a}

(11) (a) Criegee, R. *Chem. Ber.* **1975**, 108, 743. (b) For the steric effects see also: Griesbaum, K.; Volpp, W. *Chem. Ber.* **1988**, 121, 1795.

(12) (a) Bunnelle, W. H.; Isbell, T. A. *J. Org. Chem.* **1992**, 57, 729. (b) Sugiyama, T.; Yamakoshi, H.; Nojima, M. *J. Org. Chem.* **1993**, 58, 4212.

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isolated by column chromatography on silica gel (elution with ether-hexane, 1:1). 3,3-Dimethyl-2,6-heptanedione (**10d**)²¹ was an oil: ¹H NMR (CCl₄) δ 1.08 (s, 6 H), 1.5–1.9 (m, 2 H), 2.05 (s, 6 H), 2.1–2.4 (m, 2 H); ¹³C NMR δ 24.21, 24.99, 29.88, 32.77, 38.92, 46.86, 208.05, 213.33; IR 1700, 1350, 1150, 1120 cm⁻¹.

Ozonolysis of 1,2-Dimethylcyclopentene (1e) in Ether. A slow stream of ozone (1 equiv) was passed into an ether solution (15 mL) of **1e** (93% pure, 205 mg, 1.98 mmol) at -70 °C. After evaporation of the solvent, the residue was separated by column chromatography on silica gel. Elution with ether-hexane (1:9) gave 1,5-dimethyl-6,7,8-trioxabicyclo[3.2.1]octane (**6e**)⁴ as an oil (241 mg, 84%): ¹H NMR δ 1.38 (s, 6 H), 1.5–1.9 (m, 6 H).

Ozonolysis of Compound 1e in MeOH-Ether. A MeOH-ether solution (15 mL, 1:3) of **1e** (93% pure, 192 mg, 1.86 mmol) was treated with 1 equiv of ozone at -70 °C. After evaporation of the solvent, the residue was separated by column chromatography on silica gel. Elution with ether-hexane (1:1) gave 6-hydroperoxy-6-methoxy-2-heptanone (**7e**) (281 mg, 86%). Treatment of **7e** with 1 equiv of triphenylphosphine in CH₂Cl₂ at rt for 2 h, followed by column chromatography on silica gel (elution with ether-hexane, 2:3) gave heptane-2,6-dione (**10e**) (189 mg, 94% yield). Heptane-2,6-dione (**10e**): mp 27–30 °C (lit.²² mp 29–32 °C); ¹H NMR δ 1.5–2.0 (m, 2 H), 2.05 (s, 6 H), 2.2–2.5 (m, 4 H); IR 2930, 1705, 1350, 1155, 960 cm⁻¹.

6-Hydroperoxy-6-methoxy-2-heptanone (7e): oil; ¹H NMR δ 1.23 (s, 3 H), 1.5–1.9 (m, 4 H), 2.10 (s, 3 H), 2.2–2.6 (m, 2 H), 3.24 (s, 3 H), 8.33 (s, 1 H); ¹³C NMR δ 16.86, 17.52, 21.33, 32.99, 42.31, 50.54, 108.92, 208.63; IR 3600–3100, 2950, 1700, 1365, 1100, 1060 cm⁻¹. Anal. Calcd for C₈H₁₆O₄: C, 54.53; H, 9.15. Found: C, 54.28; H, 8.92.

Ozonolysis of 1,5,5-Trimethylcyclopentene (1f) in Ether. A slow stream of ozone was passed into an ether (15 mL) solution of **1f** (78 mg, 0.71 mmol) at -70 °C. After evaporation of the solvent, the residue was separated by column chromatography on silica gel. Elution with ether-hexane (5:95) gave the ozonide **6f** (65 mg, 58%).

1,2,2-Trimethyl-6,7,8-trioxabicyclo[3.2.1]octane (6f): oil; ¹H NMR (CCl₄) δ 0.93 (s, 3 H), 1.07 (s, 3 H), 1.38 (s, 3 H), 1.5–2.3 (m, 4 H), 5.58 (br s, 1 H); ¹³C NMR δ 16.15, 23.15, 25.67, 27.81, 30.80, 37.59, 102.62, 112.48. Anal. Calcd for C₈H₁₄O₃: C, 60.74; H, 8.92. Found: C, 60.55; H, 9.00.

Ozonolysis of 1,5,5-Trimethylcyclopentene (1f) in MeOH-Ether. A slow stream of ozone was passed into a solution of **1f** (78 mg, 0.71 mmol) in MeOH-ether (15 mL, 1:2) at -70 °C. Ether (50 mL) was added, and the reaction mixture was washed with aqueous NaHCO₃ and saturated brine and dried over anhydrous MgSO₄. After evaporation of the solvent, the residue was separated by column chromatography on silica gel. Elution with ether-hexane (2:3) gave the hydroperoxide **7f** (87 mg, 64%).

3,3-Dimethyl-6-hydroperoxy-6-methoxy-2-hexanone (7f): oil; ¹H NMR (CCl₄) δ 1.10 (s, 6 H), 1.4–1.7 (m, 4 H), 2.10 (s, 3 H), 3.44 (s, 3 H), 4.5–4.7 (m, 1 H), 9.60 (br s, 1 H); ¹³C NMR δ 24.26, 24.79, 25.29, 27.22, 34.23, 47.52, 56.12, 108.62, 214.85; IR 3600–3100, 1700, 1460, 1360, 1100 cm⁻¹. Anal. Calcd for C₉H₁₈O₄: C, 56.82; H, 9.54. Found: C, 57.02; H, 9.43.

Reduction of Ozonides 6a–c with Zn/CH₃CO₂H. The reduction of **6a** is representative. An ether (10 mL)-acetic acid (2 mL) solution of **6a** (0.5 g, 2.19 mmol) and Zn (3.0 g) was refluxed for 3 h. After filtration of Zn, the organic layer was washed with water and aqueous NaHCO₃, and dried over

anhydrous MgSO₄. After evaporation of the solvent in *vacuo*, 373 mg (80%) of diketone **10a** was left.

3,3,4,4,5,5-Hexamethylheptane-2,6-dione (10a): mp 67–71 °C; ¹H NMR δ 1.17 (s, 18 H), 2.14 (s, 6 H); ¹³C NMR δ 22.54, 24.51, 29.46, 45.47, 53.97, 214.95; IR 3000, 2980, 2950, 2925, 1695, 1480, 1385, 1355, 1115, 1105, 1095, 965, 795 cm⁻¹. Anal. Calcd for C₁₃H₂₄O₂: C, 73.54; H, 11.39. Found: C, 73.21; H, 11.37.

3,3,4,4,5-Pentamethylheptane-2,6-dione (10b): oil; ¹H NMR (CCl₄) δ 1.08 (s, 3 H), 1.12 (d, *J* = 7 Hz, 3 H), 1.25 (s, 9 H), 2.10 (s, 6 H), 3.05 (q, *J* = 7 Hz, 1 H); ¹³C NMR δ 14.20, 20.67, 21.74, 22.07, 22.35, 29.45, 31.40, 41.09, 48.92, 53.46, 213.14, 215.07; IR 1720, 1700, 1480, 1360, 1260, 1120, 970 cm⁻¹. Anal. Calcd for C₁₂H₂₂O₂: C, 72.68; H, 11.19. Found: C, 72.44; H, 11.32.

3,3,4,4-Tetramethylheptane-2,6-dione (10c): oil; ¹H NMR (CCl₄) δ 1.07 (s, 6 H), 1.12 (s, 6 H), 2.12 (s, 3 H), 2.17 (s, 3 H), 2.50 (s, 2 H); ¹³C NMR δ 21.32, 22.45, 29.76, 33.05, 38.49, 49.12, 52.93, 209.13, 214.81. Anal. Calcd for C₁₁H₂₀O₂: C, 71.70; H, 10.94. Found: C, 71.64; H, 11.17.

Hydrogenation of Ozonide 6a. Ozonide **6a** (1.50g, 6.57 mmol) and Pd suspended on carbon (120 mg) was dissolved in 15 mL of methanol. Then, the hydrogenation was conducted under a normal pressure at 20 °C for 30 min. After filtration of Pd/C, the solvent was evaporated to leave diketone **10a** (1.30 g, 93%).

Treatment of a Mixture of Two Ozonides, 6a and 6c, with PPh₃. A THF solution (20 mL) of **6a** (19 mg, 0.085 mmol), **6c** (17 mg, 0.083 mmol), and triphenylphosphine (22 mg, 0.084 mmol) was refluxed for 3 h. After evaporation of the solvent, the residue was separated by column chromatography on silica gel. Elution with ether-hexane (1:20) gave the unreacted ozonide **6a** (19 mg, 100%). Subsequent elution with ether-hexane (1:5) gave diketone **10c** (15 mg, 96%).

Treatment of a Mixture of Two Ozonides, 6a and 6e, with PPh₃. A CDCl₃ solution (0.3 mL) of **6a** (40 mg, 0.18 mmol) and **6e** (25 mg, 0.17 mmol) was admixed with 0.2 mL of a CDCl₃ solution containing PPh₃ (46 mg, 0.18 mmol) in a NMR tube and kept at 20 °C. After 3 h ¹H NMR analysis showed the disappearance of the signals attributable to **6e** with concomitant appearance of diketone **10e**. After 24 h the crude products were separated by column chromatography on silica gel. The first fraction (elution with ether-hexane, 5:95) contained the unreacted ozonide **6a** (40 mg, 100%). From the second fraction (elution with ether-hexane, 1:1) was obtained diketone **10e** (10 mg, 46%).

Treatment of a Mixture of Two Ozonides, 6d and 6e, with PPh₃. A solution of **6d** (292 mg, 1.7 mmol), **6e** (245 mg, 1.7 mmol), and triphenylphosphine (450 mg, 1.7 mmol) in benzene (10 mL) was stirred at 20 °C for 1 day. After evaporation of the solvent, the crude products were separated by column chromatography on silica gel. Elution with ether-hexane (1:20) gave a ca. 2:1 mixture of **6d** (¹H NMR δ 0.91 (s, 3 H), 1.38 (s, 3 H)) and **6e** (¹H NMR δ 1.38 (s, 6 H)) (220 mg). Subsequent elution with ether-hexane (15:85) gave a ca. 1:2 mixture of diketones, **10d** (¹H NMR δ 1.08 (s, 6 H), 2.05 (s, 6 H)), and **10e** (¹H NMR δ 2.05 (s, 6 H)) (195 mg).

Theoretical Calculations. The theoretical studies were performed by PM3 molecular orbital method.²³ The MOPAC program (QCPE No. 455), which was revised as OS/2 Version 5.01 to adapt for the use of a NECPC computer, was obtained through the Japan Chemistry Program Exchange (JCPE).²⁴ Final geometries and energetics were obtained by optimizing the total molecular energy with respect to all structural variables.

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