

# On the Number of 1-Factors of Locally Finite Graphs\*

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Every infinite locally finite graph with exactly one 1-factor is at most 2-connected is shown. More generally a lower bound for the number of 1-factors in locally finite  $n$ -connected graphs is given.

## 1. INTRODUCTION

Kotzig has shown in [8] that every factorizable 2-edge-connected finite graph has at least two 1-factors. This result does not extend to infinite graph: there are 2-edge-connected infinite locally finite graphs with exactly one 1-factor (see Example 3.2). However, the following theorem holds:

*Every locally finite graph with exactly one 1-factor is at most 2-connected.* (Theorem 3.3), and then at most 2-edge-connected since the  $n$ -connectivity is a strengthening of the  $n$ -edge-connectivity.

Kotzig's theorem is actually a first step in the study of the number  $f(G)$  of 1-factors of a finite graph  $G$ . Other contributions are due to Beineke and Plummer [1] ( $f(G) \geq n$  if  $G$  is  $n$ -connected) and Zaks [14] ( $f(G) \geq n!!$  if  $G$  is  $n$ -connected). Lovász [9] improved Zaks' theorem in certain cases. Mader [11] has given an exact lower bound depending on the minimal degree. Previously M. Hall [7] has given such a bound in the special case of bipartite graphs ( $f(G) \geq n!$  if  $G$  is a bipartite graph with minimal degree  $n$ ).

Other results presented in this note estimate the number of 1-factors of locally finite infinite graphs:

*For all  $n$  there are  $n$ -connected locally finite infinite graphs with a finite number of 1-factors.*

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<sup>1</sup> For a positive integer  $n$ ,  $n!!$  denote  $n \cdot (n-2) \cdots 4 \cdot 2$  if  $n$  is even and  $n \cdot (n-2) \cdots 5 \cdot 3 \cdot 1$  if  $n$  is odd.

*A factorizable locally finite  $n$ -connected graph has at least  $n!/2$  1-factors if  $n$  is even, and at least  $\frac{2}{3}n!$  1-factors if  $n$  is odd.*

This last theorem is improved in certain cases.

A new proof of Zaks' theorem is given.

## 2. NOTATIONS AND TERMINOLOGY

Graphs considered in this article are undirected without loops or multiple edges.

Let  $G = (V, E)$  be a graph. A 1-factor, or *perfect matching*, of  $G$  is a set of pairwise disjoint edges of  $G$  containing all vertices [2]. We say that  $G$  is *factorizable* if it contains at least one 1-factor, and *uniquely factorizable* if it contains exactly one 1-factor.

A finite graph is said to be *1-factor critical* if by deleting any vertex one obtains a factorizable graph. A 1-factor critical graph has clearly an odd number of vertices.

We denote by  $C_1(G)$  the number of connected components with odd cardinalities of  $G$ , and by  $C_{cr}(G)$  the number of connected components of  $G$  which are 1-factor critical.

Given  $S \subseteq V$ , we denote by  $G[S]$  the subgraph of  $G$  induced by  $S$ . If no confusion results we abbreviate  $C_1(G[S])$  and  $C_{cr}(G[S])$  to  $C_1(S)$  and  $C_{cr}(S)$ , respectively.

A graph is *locally finite* if every vertex is incident to finitely many edges.

A locally finite graph is said to be *bicritical* if it is factorizable and if by deleting any two (distinct) vertices one obtains a factorizable graph. Clearly every edge of a bicritical graph  $G$  belongs to some 1-factor of  $G$ .

## 3. LOCALLY FINITE GRAPHS WITH EXACTLY ONE 1-FACTOR

**PROPOSITION 3.1.** *Let  $G = (V, E)$  be a locally finite graph with exactly one 1-factor  $F$ . Then the following three properties are equivalent:*

- (1) *There is a finite nonempty subset  $S$  of  $V$  such that*

$$C_1(V \setminus S) = |S|$$

- (2) *There is an isthmus  $\{x, y\}$  of  $G$  which belongs to  $F$ .*  
 (3) *There is an isthmus  $\{x, y\}$  of  $G$  which belongs to  $F$  such that*

$$C_1(V \setminus \{x\}) = 1 \quad \text{or} \quad C_1(V \setminus \{y\}) = 1.$$

Proposition 3.1 is an extension to locally finite graphs of a theorem of Kotzig characterizing uniquely factorizable finite graphs [8]:

(A) *If a finite graph is uniquely factorizable, then it has an isthmus belonging to the unique 1-factor.*

Property (1) holds trivially in any factorizable finite graph. We note that our proof of Proposition 3.1 uses Kotzig's theorem. A proof of this theorem is given (Remark 3.4). To prove Proposition 3.1 we also use Tutte's 1-factor theorem [12]:

(B) *A locally finite graph  $G = (V, E)$  is factorizable if and only if  $C_1(V \setminus S) \leq |S|$  for all finite subsets  $S$  of  $V$ .*

*Proof.* We have clearly (3)  $\Rightarrow$  (1) and (3)  $\Rightarrow$  (2). We next show that (1)  $\Rightarrow$  (3).

Let  $C_1, \dots, C_p$  ( $|S| = p$ ) be the odd components of  $G[V \setminus S]$ . Set  $\tilde{X} = S \cup (\bigcup_{i=1}^p C_i)$  and  $G' = G[\tilde{X}]$ . If  $\{s, t\} \in F$  and  $s \in S$ , necessarily  $t \in \bigcup_{i=1}^p C_i$ . Therefore  $G'$  has exactly one 1-factor  $F'$  and  $F' \subseteq F$ . Because of the odd cardinalities of the  $C_i$ 's, there is no edge of  $F$  joining two vertices of  $S$ . Therefore we can assume that two vertices of  $S$  are adjacent in  $G'$ , without forming another 1-factor of  $G'$ .

Since  $G'$  is finite, by (A) there is an edge  $\{x, y\}$  of  $F'$  which is an isthmus of  $G'$  and therefore an isthmus of  $G$ . If  $x \notin S$  and  $y \notin S$ ,  $x$  and  $y$  are in the same component, say  $C_i$ . Since  $\{x, y\}$  is an isthmus of  $G'$ , there is a partition  $C_i = X + Y$  with  $x \in X$  and  $y \in Y$ , and one and only one of the two sets  $X$  and  $Y$  is adjacent to  $S$ . If  $X$  is adjacent to  $S$ , we have

$$C_1(G'[\tilde{X} \setminus \{x\}]) = 1$$

and then

$$C_1(G[V \setminus \{x\}]) = 1.$$

If  $x \in S$ , then  $y \in C_i$  and we have

$$C_1(G[V \setminus \{x\}]) = 1.$$

So property (3) holds.

We finally show that (2)  $\Rightarrow$  (1). Let  $e = \{x, y\}$  be an isthmus of  $G$  belonging to  $F$ . Let  $X$  and  $Y$  denote the connected components of  $G - e = (V, E \setminus \{e\})$  such that  $x \in X$  and  $y \in Y$ . If (1) does not hold, we have

$$C_1(G[V \setminus (S \cup \{x\})]) \leq |S|$$

for all finite subsets  $S$  of  $V \setminus \{x\}$ . Therefore by the 1-factor theorem (B),  $G[V \setminus \{x\}]$  has a 1-factor  $L_x$ . The connected component of  $(V, L_x \cup F)$  containing  $x$  is necessarily an infinite alternating path  $P_x$  issued from  $x$ . Clearly  $P_x$  has no other vertex in  $X$  than  $x$ . Similarly  $G[V \setminus \{y\}]$  has a 1-factor  $L_y$ , and the connected component of  $(V, L_y \cup F)$  containing  $y$  is an

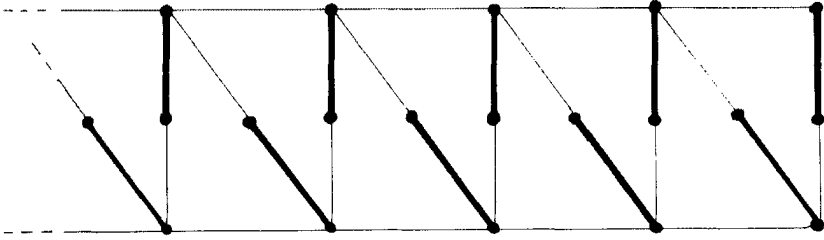


FIGURE 1

infinite alternating path  $P_y$  issued from  $y$ . The only vertex of  $P_y$  contained in  $Y$  is  $y$ . It follows that  $P_x \cup P_y$  is an infinite elementary  $F$ -alternating path without end. This contradicts the uniqueness of the 1-factor  $F$ . Therefore (1) holds, achieving the proof of Proposition 3.1.

EXAMPLE 3.2. The locally finite graph depicted in Fig. 1 is 2-edge-connected and has exactly one 1-factor.

THEOREM 3.3. *Every locally finite graph with exactly one 1-factor is at most 2-connected.*

Our proof uses a strengthening of the 1-factor theorem proved in [3].

(C) *A locally finite graph  $G = (V, E)$  has a 1-factor if and only if  $C_{cr}(V \setminus S) \leq |S|$  for all finite subsets  $S$  of  $V$ .*

In the finite case, this result seems to be well known. However, we have been unable to find an explicit reference in the literature. Papers [4, 6] can be given as implicit references.

*Proof.* Let  $G = (V, E)$  be a 3-connected infinite locally finite graph. Assume that  $G$  has exactly one 1-factor  $F$ . Let  $e = \{x, y\}$  be an edge of  $F$ , and let  $G'$  denote the subgraph  $(V, E \setminus \{e\})$ .  $G'$  is not factorizable, and hence by (C) there exists a finite subset  $T$  of  $V$  such that

$$C_{cr}(G' | V \setminus T) \geq |T| + 1.$$

Since  $G$  is 3-connected and uniquely factorizable, by Proposition 3.1 we have

$$C_{cr}(G | V \setminus T) \leq C_1(G | V \setminus T) \leq |T| - 1.$$

Hence  $e$  connects two 1-factor critical components  $A$  and  $B$  of  $G' | V \setminus T$ , and we have

$$C_{cr}(G' | V \setminus T) = |T| + 1$$

and

$$C_{cr}(G[V \setminus T]) = |T| - 1.$$

Note that  $T$  separates  $A \cup B$ , finite, from the (infinite) remaining of  $G$ ; hence  $|T| \geq 3$ , since  $G$  is 3-connected. Let  $C_1, \dots, C_p$  ( $|T| = p + 1, p \geq 2$ ) be the 1-factor critical components of  $G[V \setminus T]$ . There is exactly one edge of  $F$  joining  $T$  and  $C_i$  for  $i = 1, \dots, p$ . Otherwise, since all  $|C_i|$  are odd, one  $C_i$  would be joined to  $T$  by at least 3 edges of  $F$  and there would be at least  $p + 2$  edges of  $F$  incident to  $T$ , which is impossible.

Let  $t_i$  be the vertex of  $T$  incident to the edge of  $F$  touching  $C_i$ . Consider the bipartite graph  $H$  on vertex set  $\{C_1, \dots, C_p\} \cup \{t_1, \dots, t_p\}$  with an edge  $\{C_i, t_j\}$  if and only if  $C_i$  is adjacent to  $t_j$  in  $G$ . Since  $G$  is 3-connected, the degree of each  $C_i$  in  $H$  is at least 2, and hence by a theorem of Hall [7],  $H$  has at least two 1-factors. Now each of them can be enlarged into a 1-factor of  $G[C_1 \cup \dots \cup C_p \cup \{t_1, \dots, t_p\}]$ , since the  $C_i$ 's are 1-factor critical, and hence into a 1-factor of  $G$ . It follows that  $G$  has more than one 1-factor, contradicting our assumption.

*Remark 3.4.* The proof of Theorem 3.3 contains a proof due to Mader [10] of Kotzig's theorem (A), which we give for completeness.

Let  $G = (V, E)$  be a finite 2-connected graph. Assume that  $G$  has a unique 1-factor  $F$ . Let  $e \in F$  and let  $G'$  denote the subgraph  $(V, E \setminus \{e\})$ .  $G'$  is not factorizable, therefore by Theorem (C) there is a subset  $T$  of  $V$  such that

$$C_{cr}(G'[V \setminus T]) \geq |T| + 1.$$

Since  $G$  is factorizable, we have  $C_{cr}(G[V \setminus T]) \leq |T|$  and hence  $e$  connects two 1-factor critical components of  $G'[V \setminus T]$  and we have  $C_{cr}(G'[V \setminus T]) = |T| + 1$ . Since  $e$  is not an isthmus of  $G$ , we have  $T \neq \emptyset$ . Since  $G$  is 2-connected, we have  $|T| \geq 2$  and every 1-factor critical component of  $G[V \setminus T]$  is adjacent to at least two vertices of  $T$ . The proof is achieved as above.

*Remark 3.5.* For all  $n$ , there are locally finite 2-connected graphs of minimal degree  $n$  with exactly one 1-factor.

*Proof.* We first construct a finite graph  $G_n$  as follows: The graph  $G_1$  is composed of two vertices joined by an edge (i.e.,  $G_1 \equiv K_2$ ). Suppose  $G_i$  has been constructed. Let  $G'_i$  and  $G''_i$  be two disjoint suspensions of  $G_i$  obtained by joining two vertices  $v'_i$  and  $v''_i$  to all vertices of two disjoint copies of  $G_i$ . The graph  $G_{i+1}$  is obtained by joining  $G'_i$  and  $G''_i$  by the edge  $\{v'_i, v''_i\}$ .

As is easily seen  $G_n$  is a uniquely factorizable finite graph with minimal degree  $n$ .

Let  $G = (V, E)$  be a locally finite 2-connected graph with exactly one 1-factor  $F$  (Example 3.2). If  $x$  is a vertex of  $G$  with degree  $k \leq n - 1$ , let  $y \in V$

such that  $\{x, y\} \in E \setminus F$ . By joining  $x$  to every vertices of  $G'_{n-k-1}$  and  $y$  to every vertices of  $G''_{n-k-1}$ , we obtain a locally finite graph in which  $x$  is of degree at least  $n$ . Since  $G$  and  $G_n$  are factorizable, the constructed graph is also factorizable. One can easily prove that this graph has no more than one 1-factor.

4. NUMBER OF 1-FACTORS OF  $n$ -CONNECTED LOCALLY FINITE GRAPHS

First we give examples of  $n$ -connected locally finite infinite factorizable graphs with a finite number of 1-factors.

EXAMPLE 4.1. For  $n \geq 3$ , we define a locally finite graph  $T_n$  as follows: Let  $(X_m/m \in \mathbb{N})$  be a sequence of pairwise disjoint sets, each of them with cardinality  $n$ . Put  $X_m = \{x_1^m, \dots, x_n^m\}$ .  $T_n$  is the graph on vertex set  $\bigcup_{m \in \mathbb{N}} X_m$  and edge set  $E$  defined by: for  $m$  odd or  $m = 0$

$$\{x_i^m, x_j^{m+1}\} \in E, \quad 1 \leq i \leq n, \quad 1 \leq j \leq n$$

for  $m$  even and  $m \neq 0$

$$\{x_i^m, x_i^{m+1}\} \in E, \quad 1 \leq i \leq n.$$

The graph  $T_n$  is clearly  $n$ -connected and factorizable. It can easily be proved that  $T_n$  has exactly  $n!$  1-factors. See Fig. 2.

Remark 4.2. The conjecture of Van der Waerden [13], recently proved by Falikman [5] yields the lower bound  $(n/p)^p p!$  on the number of 1-factors of a finite  $n$ -regular bipartite graph on  $p$  vertices.

In particular the number of 1-factors is not bounded when  $p$  tends to infinity for given  $n \geq 3$ .

The example  $T_{n,p}$  below shows that this result cannot be extended to bipartite graphs with degrees at least  $n$ . The graph  $T_{n,p}$  is  $n$ -connected on  $2n(p+1)$  vertices and has exactly  $(n!)^2$  1-factors.

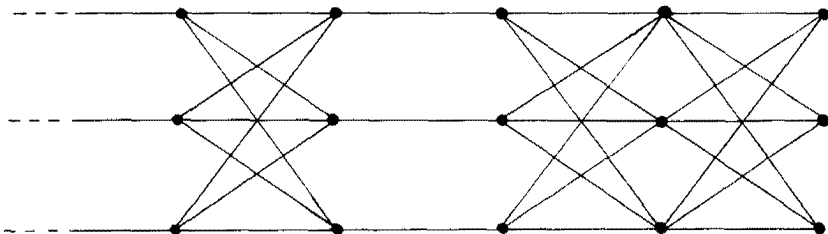
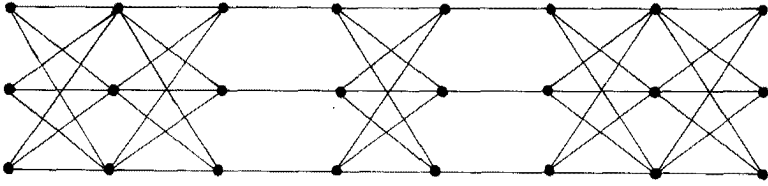


FIG. 2. The graph  $T_3$ .

FIG. 3. The graph  $T_{3,3}$ .

We point out that by a slight modification of  $T_{n,p}$  one can obtain a similar (not bipartite) graph with exactly  $n!$  1-factors.

Let  $(X_m/0 \leq m \leq 2p+1)$  be a finite sequence of pairwise disjoint sets of cardinalities  $n$ . Put  $X_m = \{x_1^m, \dots, x_n^m\}$ .  $T_{n,p}$  is the graph on vertex-set  $\bigcup_{m=0}^{2p+1} X_m$  with edge set  $E$  defined by: for  $m=0$ ,  $m=2p$  or for  $m$  odd

$$\{x_i^m, x_j^{m+1}\} \in E, \quad 1 \leq i \leq n, \quad 1 \leq j \leq n$$

for  $m$  even and  $m \neq 0$ ,  $m \neq 2p$

$$\{x_i^m, x_i^{m+1}\} \in E, \quad 1 \leq i \leq n.$$

See Fig. 3.

The following result extends Theorem 3.3, and is related to a theorem of Lovász.

**THEOREM 4.3.** *The number of 1-factors of a factorizable locally finite  $n$ -connected and not bicritical graph is at least  $(n-1)!$*

Lovász has proved in [9] the following theorem: *The number of 1-factors of a factorizable finite  $n$ -connected and not bicritical graph is at least  $n!$*

Our proof of Theorem 4.3 makes no use of this theorem of Lovász.

*Proof.* Let  $G = (V, E)$  be a factorizable locally finite  $n$ -connected and not bicritical graph. Since  $G$  is factorizable we have  $C_{\text{cr}}(V \setminus S) \leq |S|$  for all finite subsets  $S$  of  $V$ .

Since  $G$  is not bicritical there is, by Theorem (C), a finite subset  $S$  of  $V$  such that  $C_{\text{cr}}(V \setminus S) \geq |S| - 1$ .

*Case 1.* There is a finite nonempty subset  $S$  of  $V$  such that

$$C_{\text{cr}}(V \setminus S) = |S|.$$

Since  $S$  separates  $G$  and since  $G$  is  $n$ -connected, we have  $|S| \geq n$ . Let  $C_1, \dots, C_p$  ( $p = |S|$ ) be the 1-factor critical connected components of  $G[V \setminus S]$ . Consider the bipartite graph  $H$  on vertex set  $\{C_1, \dots, C_p\} \cup S$  with an edge  $\{C_i, s\}$  ( $s \in S$ ) if and only if  $C_i$  is adjacent to  $s$  in  $G$ . Since  $G$  is  $n$ -connected,

the degree of each  $C_i$  in  $H$  is at least  $n$ . By a theorem of M. Hall [7],  $H$  has at least  $n!$  1-factors. Since the  $C_i$ 's are 1-factor critical every 1-factor of  $H$  can be enlarged into a 1-factor of  $G[C_1 \cup \dots \cup C_p \cup S]$ , and hence into a 1-factor of  $G$ . Therefore  $G$  has at least  $n!$  1-factors.

*Case 2.* There is a finite nonempty subset  $S$  of  $V$  such that  $C_{cr}(V \setminus S) = |S| - 1$ . As above  $|S| \geq n$ . Let  $F$  be a 1-factor of  $G$ . There is exactly one vertex  $s$  of  $S$  which is not joined by  $F$  to a 1-factor critical component of  $G[V \setminus S]$ . Let  $C_1, \dots, C_p$  ( $p = |S| - 1$ ) denote the 1-factor critical components of  $G[V \setminus S]$ . One can prove as above that  $G[C_1 \cup \dots \cup C_p \cup S \setminus \{s\}]$  has at least  $(n - 1)!$  1-factors. It follows that  $G$  has at least  $(n - 1)!$  1-factors.

**THEOREM 4.4.** *The number of 1-factors of a factorizable locally finite  $n$ -connected bicritical graph is at least  $n!/2$  if  $n$  is even, and at least  $\frac{2}{3}n!$  if  $n$  is odd.*

*Proof.* Let  $f(n)$  denote the minimum number of 1-factors of a factorizable locally finite bicritical  $n$ -connected graph. Trivially,  $f(2) \geq 1$  and by Theorem 3.3,  $f(3) \geq 2$ . By induction on  $n$  we prove that

$$f(n) \geq nf(n - 2)$$

for each  $n \geq 4$ .

Let  $G = (V, E)$  be a locally finite bicritical  $n$ -connected graph. If  $v$  is a vertex of  $G$  there are at least  $n$  pairwise distinct vertices  $v_1, \dots, v_n$  adjacent to  $v$ , since  $G$  is  $n$ -connected. Since  $G$  is bicritical, all the edge  $\{v, v_i\}$  belong to some 1-factor of  $G$ . Let  $F_i$  be a 1-factor of  $G$  containing the edge  $\{v, v_i\}$ .  $F'_i = F_i \setminus \{v, v_i\}$  is clearly a 1-factor of the subgraph  $G_i = G[V \setminus \{v, v_i\}]$ , and every 1-factor of  $G_i$  can be enlarged to a 1-factor of  $G$  containing the edge  $\{v, v_i\}$ . Since two 1-factors obtained from 1-factors of two distinct  $G_i$ 's are clearly different, and since the  $G_i$ 's are  $(n - 2)$ -connected, it follows that  $G$  has at least  $nf(n - 2)$  1-factors.

Therefore we have  $f(n) \geq n \cdot (n - 2) \dots 4 \cdot 1$ , if  $n$  is even, and we have  $f(n) \geq n \cdot (n - 2) \dots 5 \cdot 2$ , if  $n$  is odd.

*Remark 4.5.* Our proof of Theorem 4.4 is an extension to infinite graphs of a lemma due to Zaks [14].

*Remark 4.6.* In order to prove that every  $n$ -connected factorizable finite graph has at least  $n!$  1-factors, Zaks needed to prove that in every  $n$ -connected factorizable finite graph there is a vertex  $v$  such that at least  $n$  edges incident to  $v$  belong to some 1-factor. This proof is long. One can easily prove Zaks' theorem by some slight modifications of the proof of Theorem 4.3.

Let  $G = (V, E)$  be a finite  $n$ -connected factorizable graph.



*Case 1.* There is a nonempty subset  $T$  of  $V$  such that  $C_1(V \setminus T) = |T|$ . By [3] there is a nonempty subset  $S$  of  $V$  such that  $C_{cr}(V \setminus S) - |S| \geq C_1(V \setminus T) - |T| = 0$ . Hence we have  $V_{cr}(V \setminus S) = |S|$ .

If  $|S| = 1$ , put  $S = \{s\}$ . Therefore  $G[V \setminus \{s\}]$  is 1-factor critical, and then every edge incident to  $s$  belongs to some 1-factor of  $G$ . Since  $G$  is  $n$ -connected there are at least  $n$  edges incident to  $s$ . Hence, by induction, since  $G[V \setminus e]$  is  $(n - 2)$ -connected for each edge  $e$ ,  $G$  has at least  $n \cdot (n - 2)!! \geq n!!$  1-factors.

If  $|S| \geq 2$ , then  $S$  separates  $G$ . Since  $G$  is  $n$ -connected, we have  $|S| \geq n$ . One can prove by the argument used in the proofs of Theorems 3.3 and 4.3 that the subgraph induced by  $S$  and the 1-factor critical components of  $G[V \setminus S]$  has at least  $n!$  1-factors, and therefore  $G$  has at least  $n! \geq n!!$  1-factors.

*Case 2.* For parity reason, since  $G$  is finite, there is no subset  $S$  of  $V$  such that  $C_1(V \setminus S) = |S| - 1$ .

*Case 3.* For every nonempty subset  $S$  of  $V$  we have  $C_1(V \setminus S) \leq |S| - 2$ . The graph  $G$  is bicritical: see the proof of Theorem 4.4.

## 5. QUESTIONS

(1) It follows from Example 4.1 and Theorem 4.3 that  $n!!/2 \leq f(n) \leq n!$  if  $n$  is even, and  $\frac{2}{3}n!! \leq f(n) \leq n!$  if  $n$  is odd. What is the exact value of  $f(n)$ ?

(2) Using Theorem (A), one can easily construct every finite graph with exactly one 1-factor. Is there any construction of every locally finite 2-connected graph with exactly one 1-factor?

(3) An infinite locally finite bicritical graph seems to have an infinite number of 1-factors. It would be useful to prove this property.

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