

1 Editorial

2 **Immunity in Stroke: the next Frontier**

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7  
8 **Abstract**

9 Translational stroke research has long been focusing on neuroprotective strategies to prevent  
10 secondary tissue injury and promote recovery after acute ischemic brain injury. The inflammatory  
11 response to stroke has more recently emerged as a key pathophysiological pathway contributing to  
12 stroke outcome. It is now accepted that the inflammatory response is functionally involved in all  
13 phases of the ischemic stroke pathophysiology. The immune response is therefore considered a  
14 breakthrough target for ischemic stroke treatment. On one side, stroke induces a local  
15 neuroinflammatory response, in which the inflammatory activation of glial, endothelial and brain-  
16 invading cells contributes to lesion progression after stroke. On the other side, ischemic brain injury  
17 perturbs systemic immune homeostasis and results in long-lasting changes of systemic immunity.  
18 Here, we briefly summarize current concepts in local neuroinflammation and the systemic immune  
19 responses after stroke, and highlight two promising therapeutic strategies for post-stroke inflammation.

1 **Introduction**

2 Stroke is the second largest cause of death after ischemic heart disease worldwide, with ischemic  
3 stroke accounting for over 70% of cases depending on regional epidemiology[1-3]. Currently,  
4 thrombolysis with recombinant tissue plasminogen activator (rt-PA) and endovascular thrombectomy  
5 given in the hyperacute phase after ischemic stroke onset are still the only effective therapies[4, 5].  
6 Due to the narrow therapeutic time window and safety concerns, the clinical indications for  
7 thrombolysis and mechanical thrombectomy are limited and most stroke patients do not receive a  
8 specific acute stroke treatment[6]. In fact, so far no specific therapies have been proven efficient when  
9 administered beyond 24 hours after stroke onset. Post-ischemic inflammation, which persists for a  
10 prolonged time period of days to weeks after stroke onset, is considered a potential strategy in  
11 expanding the time frame for treatment. The immune system has been consistently proven to play a  
12 critical role in stroke pathophysiology[7, 8]. Therefore, inflammatory mediators and immune cells have  
13 received increasing attention as promising therapeutic targets for stroke treatment.

14

15 **The neuroinflammatory response to stroke**

16 After the onset of ischemic stroke, the lack of oxygen and energy failure in the ischemic tissue triggers  
17 a series of deleterious cellular and molecular events[9]. In the acute phase, blood platelets adhere  
18 and become activated at the site of ischemic vascular injury. Activated platelets interact with T cells  
19 and neutrophils to promote thrombus formation and trigger thrombo-inflammation through the  
20 activation of the kallikrein–kinin system[10, 11]. As the ischemic cascade progresses, brain cells  
21 undergo necrosis in the injured area and release various intracellular components into the  
22 extracellular space. Danger associated molecular patterns (DAMPs) are a diverse group of  
23 immunoactive molecules, including high mobility group box 1 (HMGB1), ATP, nucleic acids, and  
24 peroxiredoxin (Prx) family proteins as well as many other nuclear and cytoplasmic molecules, which  
25 are important triggers for sterile inflammation after tissue injury[12]. DAMPS are secreted from  
26 necrotic and stressed cells but also actively secreted from immune cells as well as the endothelium  
27 and neurons[12]. These danger signals activate purinergic receptors and pattern recognition  
28 receptors (PRRs), such as Toll-like receptors (TLRs), receptor for advanced glycation end products  
29 (RAGE), and scavenger receptors, which are widely expressed on immunocompetent brain cells such  
30 as microglia, border-associated macrophages, and brain endothelial cells[13, 14]. In murine stroke

1 models, ATP, HMGB1 and Prx family proteins are major DAMPs involved in post-ischemic  
2 inflammation[15-17]. High levels of extracellular nucleotides (ATP, UTP) released from injured brain  
3 cells are recognized by purinoceptors and function as “find-me” signals for phagocytic cells[18].  
4 HMGB1, an intracellular DNA binding protein, is released early after stroke and its plasma  
5 concentrations remain elevated for months after stroke[19]. Recognized by several membrane-bound  
6 and intracellular PRRs, HMGB1 is a potent mediator of sterile inflammation which can result in various  
7 disease-relevant pathophysiological processes such as cytokine-induced sickness behavior, leakage  
8 of the blood-brain barrier (BBB) and activation/recruitment of systemic immune cells[20][21][22].  
9 Similar to HMGB1, Prx family proteins released from necrotic cells trigger the production of  
10 inflammatory cytokines and promote the activation of infiltrating macrophages through activation of  
11 PRR pathways[16, 23, 24]. In addition, other endogenous molecules from damaged tissues, such as  
12 nucleic acids, lipids, and extracellular matrix, can also be recognized by PRRs and induce a sterile  
13 inflammatory response[13, 14].

14

15 Microglia and astrocytes are the key brain resident cell population which participate in the initial  
16 inflammatory response to the ischemic brain injury. Activated microglia undergo a rapid phenotypic  
17 change towards a reactive cell state and promote further inflammatory response[25]. Activated  
18 microglia develop a de-ramified morphology with significantly enhanced migratory capacity,  
19 phagocytosis, and production of pro-inflammatory mediators[25]. During the early phase after stroke,  
20 microglia remove cellular debris from damaged tissue through phagocytosis mediated by receptors,  
21 including TLRs, triggering receptor expressed on myeloid cells 2 (TREM2), purinergic receptors and  
22 the Tyro3, Axl, and Mer (TAM) tyrosine receptor kinases[26, 27]. Yet, the ischemic activation of  
23 microglia can induce also a further inflammatory exacerbation of lesion progression by various  
24 deleterious mechanisms. Reactive microglia not only phagocytose necrotic cells but also engulf  
25 surviving neurons in the perilesional tissue-at-risk[28]. Additionally, perivascular microglia have been  
26 demonstrated to engulf vascular endothelial cells which can further promote dysfunction of  
27 cerebrovascular integrity[29]. DAMPs in the extracellular space result via activation of PRR pathways  
28 in the increased secretion of cytokines and chemokines by microglia. On one hand, activated  
29 microglia release large amounts of pro-inflammatory mediators that contribute to neuronal apoptosis,  
30 and signals that recruit peripheral immune cells to exacerbate inflammation. On the other hand,

1 microglia produce various anti-inflammatory mediators and neurotrophic factors that play an important  
2 role in neurogenesis, particularly in the tissue repair process during the chronic phase after  
3 ischemia[30, 31]. Overall, activated microglia perform a complex and diverse role in the inflammatory  
4 response following cerebral ischemia, many studies have substantiated this “dual function”. However,  
5 a recent study proposes that an absence of microglia leads to dysregulated neuronal network activity  
6 and results in exacerbated stroke outcome, which implies neuroprotective function of microglia  
7 despite the plethora of inflammatory cytokines they produce[32].

8

9 Astrocytes, the most abundant glial cells in the brain, are activated in response to signals released  
10 from damaged neurons or activated microglia and undergo reactive astrogliosis after ischemic stroke.  
11 Reactive astrocytes form a glial scar in the peri-infarct area, which isolates the lesion and restricts the  
12 spread of neuroinflammation but also hinders axonal regeneration[33]. Reactive astrocytes crosstalk  
13 with microglia to enhance the inflammatory response, and produce various pro-inflammatory  
14 mediators and free radicals that cause severe secondary damage to neurons[33, 34]. However,  
15 reactive astrocytes also show neuroprotective effects by releasing neurotrophic factors, taking up  
16 extracellular excitotoxic glutamate, releasing antioxidant endogenous glutathione, and stabilizing  
17 extracellular fluid and ionic homeostasis[35-38]. Moreover, astrocytes are essential for maintaining  
18 vascular integrity and correct function of the BBB. The astrocytic endfeet wrap around blood vessels  
19 and are tightly attached to the outer surface of the basal lamina[39]. However, in turn, cytokines and  
20 MMPs produced by pericapillary astrocytes results in dysfunction of BBB and vasogenic edema after  
21 the ischemic insult[40, 41]. Thus, similar to microglial cells, also reactive astrocytes exert a dualistic  
22 role in the immune responses to stroke.

23

24 As another functional component of the BBB, the cerebral endothelium is an important component of  
25 the inflammatory reaction after stroke. Since their unique position at the boundary between blood  
26 circulation and brain parenchyma, endothelial cells play a vital role in initiating and regulating the  
27 recruitment of peripheral inflammatory cells after stroke[39]. When stimulated either directly by  
28 hypoxia, DAMPs or cytokines derived from immune cells, endothelial cells express substances with  
29 vasoactive and pro-inflammatory properties as well as upregulate cell-adhesion molecules (CAMs)  
30 which can facilitate the recruitment of circulating leukocytes to the injured brain[42]. Among the large

1 group of CAMs, three groups of CAMs have been shown to be particularly relevant for the  
2 transvascular leukocyte invasion at the BBB: selectins (p-selectin, e-selectin, and I-selectin), cellular  
3 adhesion molecules (ICAM-1 and -2, VCAM-1, and PECAM-1), and integrins[43]. Selectins have been  
4 shown to mediate the initial cell-cell adhesion and rolling of leukocytes on the endothelium, while  
5 leukocyte integrins interact with cellular adhesion molecules expressed on endothelial cells to make  
6 firm attachment and induce the transmigration[44]. Previous studies have demonstrated that inhibition  
7 or deficiency of adhesion molecules leads to decreased intracerebral leukocyte accumulation,  
8 reducing ischemic injury, and improving neurological outcome[45-47].

9  
10 The recruitment of peripheral leukocytes to the injured brain after stroke occurs in a well-orchestrated  
11 manner with distinct kinetics for the different leukocyte subpopulations[48]. Myeloid cells (monocytes  
12 and neutrophils) are recruited to the injury site within hours after stroke. They are involved in the  
13 inflammatory response through phagocytosis of necrotic cell debris and production of cytokines and  
14 chemokines. This early cerebral leukocyte accumulation, together with reactive microglia, release pro-  
15 inflammatory cytokines that stimulate endothelial cells to upregulate adhesion molecules, thereby  
16 facilitating further leukocyte influx to the brain parenchyma[49, 50]. Activated neutrophils produce  
17 inflammatory factors which exacerbate endothelial damage and neuronal cell death. As the  
18 neuroinflammatory reaction aggravates, dendritic cells (DCs) increase in the brain parenchyma[48].  
19 Compared to these innate immune cell populations, lymphocytes infiltrate with delayed kinetics after  
20 only several days but can then persist for more than 30 days in the injured brain[48, 51]. The first T  
21 cell subset invading the ischemic tissue are CD8+ cytotoxic T cells, which cause neuronal death and  
22 exacerbation of brain damage[52, 53]. Most infiltrated T cells are CD4+ helper T cells, which  
23 differentiate into different subtypes (e.g. Th1, Th17 or Treg) and then produce pro- or anti-  
24 inflammatory cytokines[48, 54]. Despite the relatively small number of T cells compared to innate  
25 immune cells in the brain, this cell population has been consistently demonstrated to be a major  
26 contributor to stroke pathophysiology[55, 56]. Infiltrating helper T cells that acquire either the Th1 or  
27 Th17 pro-inflammatory phenotypes after stroke exhibit detrimental effects of aggravating brain injury  
28 by secreting pro-inflammatory cytokines, including IL-2, IL-17, IL-23 and IFN- $\gamma$ [47, 54]. In contrast,  
29 regulatory T cells show a protective role in neuroinflammation at a more delayed stage through the  
30 secretion of anti-inflammatory factors and cell-cell contact-dependent mechanisms[57-59]. Therefore,

1 it is very likely that future therapeutic approaches targeting only cellular subpopulations (such as pro-  
2 versus anti-inflammatory T cells) or specific inflammatory mechanisms (such as neutralizing pro-  
3 inflammatory T cell cytokines) would be more efficient than the previously tested approaches aiming  
4 to rather unspecifically block the—at least in part seemingly beneficial—neuroinflammatory response  
5 to stroke.

## 7 **Systemic immunity in stroke**

8 In addition to the local neuroinflammatory response to tissue injury in the brain, stroke causes also a  
9 profound alteration in systemic immune homeostasis. The systemic immune response to stroke can  
10 be divided in several phases with a distinct immunological phenotype ranging from early immune  
11 activation to subsequent immunosuppression and chronic low-grade inflammation. In the hyperacute  
12 phase of cerebral ischemia, the peripheral immune system is over-activated and characterized by a  
13 rapid and extensive increase in cytokines from activated splenocytes and lymphoid tissue[60].  
14 Moreover, stroke activates hematopoietic stem cells in the bone marrow, leading to a myeloid-biased  
15 emergency hematopoiesis and an increasing output of neutrophils and inflammatory monocytes to  
16 the circulation[61, 62]. However, this early activation of systemic immunity lasts only for 1-2 days  
17 before severe systemic immunosuppression occurs. Immunosuppression in the subacute phase after  
18 ischemia is characterized by lymphopenia, reduced functional activity of monocytes, and splenic  
19 atrophy[63, 64]. These immunological changes make patients susceptible to infections, which is a key  
20 factor to the morbidity and mortality of stroke patients during the first month after stroke[65]. Over-  
21 activation of the immune system in the hyperacute stage of stroke results in functional exhaustion of  
22 mature monocytes which leads to apoptosis of lymphocytes[17]. We have recently demonstrated that  
23 the activation of innate immune cells via brain-released alarmins and activation of the inflammasome  
24 complex in circulating cells is the mechanistic link between early immune activation and subsequent  
25 lymphopenia[17, 66]. In the chronic phase after stroke, systemic immune dysfunction can still be  
26 observed for several months[19]. The low-grade chronic inflammation can also be observed in stroke  
27 patients as a sustained increase in inflammatory blood biomarkers such as C-reactive protein, IL-6,  
28 IL-8, and TNF- $\alpha$ [67-69]. The persistence of inflammatory factors is associated with cognitive decline  
29 and stroke recurrence in patients. Moreover, a long-term increase in circulating leukocytes and  
30 changes of lymphocyte subsets are found for several months after stroke[19, 70]. Considering that

1 stroke patients are in a large proportion multimorbid patients with several comorbidities such as  
2 atherosclerosis, diabetes, hypertension and others, the contribution of the long-term chronic  
3 inflammation to underlying comorbidities, the development of post-stroke complications and post-  
4 stroke recovery warrants an in-depth analysis of currently unknown mechanisms and therapeutic  
5 targets.

6

### 7 **From bench to bedside: therapeutic strategies**

8 As far as the current status of ischemic stroke treatment, effective therapies to treat the acute phase  
9 and prevent recurrent events are still very limited. Although many molecules have been reported to  
10 be neuroprotective in experimental stroke models, all of them have to date failed to clinically improve  
11 neurological outcomes in clinical trials. Despite this so far failed translation of primarily neuroprotective  
12 agents, many potential strategies are currently under investigation for stroke treatment, particularly  
13 those targeting neuroinflammation in stroke. Accumulating evidence suggests that inhibition of  
14 neuroinflammation in the brain has a beneficial effect for stroke outcome. It has been demonstrated  
15 that blockade of lymphocyte trafficking reduces infarct volume and thus improves stroke outcomes in  
16 experimental stroke models[47, 71]. However, several clinical trials for drug repurposing of  
17 compounds already well established for primary autoimmune brain disorders have failed to prove  
18 clinical efficacy in stroke patients. Among them, the functional sphingosine-1-phosphate (S1P)  
19 receptor antagonist FTY720 (fingolimod), an immunomodulatory drug established for treatment of  
20 multiple sclerosis by reducing the circulation and cerebral T cell infiltration, has attracted great  
21 attention. FTY720 significantly reduced ischemic damage and neurological deficits, and promoted  
22 recovery in animal models[72, 73]. Results from clinical trials show that oral administration of FTY720  
23 for three consecutive days after stroke onset reduces microvascular permeability, limits secondary  
24 brain injury and improves neurological outcome in patients[74-76]. Despite the reduction of peripheral  
25 T cell circulation by FTY720, clinical data show that FTY720-treated patients have relatively mild  
26 infection signs that resolved after a brief treatment of antibiotics. In the meantime, no drug-related  
27 serious adverse events are observed, suggesting that FTY720 is safe for patients[74, 75]. Therefore,  
28 FTY720 is currently one of the most promising therapeutic immunomodulatory drugs for ischemic  
29 stroke. However, the actual effectiveness of FTY720 is closely related to the type of stroke, the timing  
30 and route of administration. Therefore, larger clinical trials are required to ultimately confirm its clinical

1 efficacy and safety for ischemic stroke. Besides this one highlighted example of clinical trials for  
2 FTY720 in stroke, other immunomodulatory clinical trials have already concluded or are currently  
3 undergoing to test the effectiveness of targeting immune cell migration (e.g. by administration of the  
4 CD49-specific antibody Natalizumab), CD18 antagonists to inhibit neutrophil activation or use of the  
5 immunomodulatory antibiotic minocycline to reduce microglial activation after stroke[77-79].

6  
7 Recurrent stroke and other ischemic events are major problems for patients surviving ischemic stroke.  
8 Epidemiological data indicate that the stroke recurrence increases over time. The 1-year recurrence  
9 rate of ischemic stroke ranges from 6 % to 12%, while the 5-year recurrence rate rises to 16 - 22%[80-  
10 84], depending on the patients' age, sex, comorbidities and stroke subtype. Standard of care for  
11 secondary prevention in stroke patients is mainly focusing on optimizing treatment of the metabolic  
12 syndrome (obesity, hypertension, diabetes) which is a common comorbidity, cardiovascular risk factor  
13 and often cause of the incident stroke. Therapies for this include antihypertensive, lipid lowering and  
14 thrombocyte aggregation inhibiting medication. This treatment has been proven effective and  
15 approved for reducing the long-term risk of recurrent cardiovascular events (stroke, myocardial  
16 infarction and death of any cause). However, currently approved secondary prevention therapies are  
17 only insufficiently preventing early cardiovascular disease (CVD) recurrence. This becomes obvious  
18 by the fact that the risk for an acute ischemic event is approximately doubled (hazard ratio 0.67) in  
19 the acute phase after a stroke despite current standard of care treatment. Epidemiological data from  
20 the Oxfordshire Stroke Project showed indeed that patients with atherosclerotic stroke incidence had  
21 the highest recurrence rate in the (currently untreated) acute phase (7-day period) with an odds ratio  
22 of 3.3[85].

23  
24 In order to target this remaining therapeutic window in recurrent stroke prevention, anti-inflammatory  
25 therapies have come into focus of translational stroke research [86]. We have previously  
26 demonstrated that stroke results in exacerbation of atherosclerotic plaques in experimental stroke  
27 models – probably contributing to early recurrent stroke events—via the systemic inflammatory  
28 response to brain injury [87]. These observations particularly emphasize the possible contribution of  
29 inflammatory mechanisms to early CVD recurrence after ischemic stroke.

30



1 A promising, currently tested approach for reducing CVD recurrence is the treatment with Colchicine—  
2 an anti-inflammatory drug used for decades, primarily for treatment of acute gout. Recent meta-  
3 analyses provide evidence that colchicine administration significantly reduces the stroke risk in  
4 patients with high cardiovascular risk[88, 89]. Colchicine is a microtubule inhibitor with anti-  
5 inflammatory properties that attenuates inflammasome assembly, IL-1 $\beta$  activation, inflammatory cell  
6 motility, and cytokine secretion[90, 91]. The ongoing CONVINCE (Colchicine for prevention of  
7 Vascular Inflammation in Non-CardioEmbolic stroke) is a randomized phase III clinical trial of  
8 secondary stroke prevention investigating the efficacy and safety of daily low-dose colchicine on the  
9 prevention of recurrent stroke and major vascular events. Over 3000 patients in 17 countries will be  
10 enrolled in CONVINCE, with clinical trials due to be completed by 2023[92]. Despite the obvious  
11 medical need to prevent recurrent ischemic events due to residual inflammatory risk, no other drug  
12 candidates are currently in development for this indication to the best of our knowledge. In order to  
13 provide novel candidates and therapeutic targets for this relevant pathomechanisms, more insights  
14 into the mechanisms of systemic immune modulation after stroke and its impact on post-stroke  
15 comorbidities are required.

16

## 17 **Conclusion**

18 Over the past decades, there has been a massive increase in data which improved our understanding  
19 of the immune response to stroke. The crucial role of immunity in the pathological development of  
20 stroke has been widely recognized and the immune system has emerged as a key target for  
21 therapeutic intervention in stroke. Extensive data from clinical and experimental studies suggest  
22 DAMPs released from brain-injured tissue as initiators of sterile inflammation following ischemic  
23 stroke. These danger-signaling molecules cause activation of innate immune cells in the brain and  
24 recruitment of circulating immune cells, which have a profound effect on neuronal damage and  
25 recovery. A complex and prolonged systemic immune response induced through the neuro-immune  
26 axis ensues, especially immunosuppression that may cause life-threatening systemic infections.  
27 Many elements of the immune system have partially opposing roles in ischemic stroke with both  
28 beneficial and deleterious phenotypes, which may be time-dependent. Targeting such immunological  
29 mechanisms after stroke provides an expanded time window of opportunity and a wide range of  
30 applications for therapeutic strategies, from improving neurological outcomes to reducing post-stroke

1 systemic infections, and preventing cognitive decline. Thus, “single-target” therapies may be  
2 insufficient to deal with the injuries following ischemia. Effective treatments are most likely to  
3 selectively target several cell types in different post-ischemic phases to promote protection and  
4 recovery. The ultimate effectiveness of immunomodulatory drugs in treating stroke will depend on  
5 further improving our understanding of the bidirectional communication between the CNS and the  
6 immune system in order to design specific and highly efficient therapies.

7

## 8 **Figure legend**

9 **Figure 1. Overview on key brain-immune interactions after stroke.** Ischemic brain injury induces  
10 a local neuroinflammatory response as well as long-lasting changes in systemic immune homeostasis.  
11 Cerebral neuroinflammation includes activation of resident glial cells and infiltration of circulating  
12 leukocytes. Initial ischemic events lead to the release of DAMPs from necrotic cells which cause  
13 activation of microglia and astrocytes in the brain, resulting in secretion of various inflammatory  
14 cytokines and chemokines (1). The activated endothelium upregulates adhesion molecule expression,  
15 facilitating the recruitment of circulating leukocytes to the injured brain (2). Blood-derived inflammatory  
16 cells such as monocytes, neutrophils, dendritic cells and lymphocytes infiltrate the ischemic area in a  
17 well-orchestrated manner, further promoting neuroinflammation (3). The intracerebral injury also  
18 induces a multiphasic systemic immune response through brain-released alarmins and the  
19 autonomous nervous system. In the hyperacute phase, immune activation is characterized by an  
20 increase in cytokine secretion and emergency hematopoiesis resulting in increased counts of  
21 circulating monocytes. In the subacute phase, the immune reaction turns to an immunosuppressive  
22 phenotype, characterized by lymphopenia, splenic atrophy and monocyte exhaustion, increasing the  
23 susceptibility of stroke patients to infections. In the chronic phase, low-grade inflammation is clinically  
24 manifested by a long-lasting change in immune cell function and elevation of inflammatory biomarkers  
25 including CRP, HMGB1, IL-6 and TNF- $\alpha$ . The figure highlights two exemplary therapeutic approaches  
26 for post-stroke immunomodulation with promising results. FTY720 alleviates stroke injury by inhibiting  
27 leukocyte infiltration into brain tissue, and colchicine reduces systemic inflammation and prevents  
28 recurrent ischemic events. Both drugs are currently investigated in ongoing clinical trials for ischemic  
29 stroke patients.

30

1 **Acknowledgements**

2 The authors would like to thank Antonia Weingart for help in graphical illustration of the figure. This  
3 study was supported by the European Research Council (ERC-StGs 802305), the National Natural  
4 Science Foundation of China (#31600831 and #81771324) and the German Research Foundation  
5 (DFG) under Germany's Excellence Strategy (EXC 2145 SyNergy – ID 390857198).

6  
7 **Declaration of interests**

8 The authors declare to have no competing interests.

9  
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