

1 **The role of ApoE4 in the connectivity-mediated spreading of tau**
2 **pathology at lower amyloid levels**

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28 **KEY POINTS**

29 **Question:** Do apolipoprotein E ϵ 4 (ApoE4) carriers show accelerated amyloid-related tau spreading?

30 **Findings:** In this study of two longitudinal tau-PET samples (total n = 367), ApoE4 carriers showed
31 an acceleration of amyloid-mediated tau spreading at a lower amyloid threshold compared to ApoE4
32 non-carriers, controlling for age and sex.

33 **Meaning:** ApoE4 carriage is associated with earlier amyloid-induced tau spreading indicating that the
34 timing of therapeutic windows in anti-amyloid therapies may need special consideration in ApoE4
35 carriers compared to non-carriers to successfully attenuate tau spreading.

36 **ABSTRACT**

37 **Importance:** For the efficacy of Alzheimer’s disease (AD) therapies to effectively attenuate clinical
38 progression, it may be critical to intervene before the onset of amyloid-associated tau spreading,
39 which drives neurodegeneration and cognitive decline. Yet, timepoints at which amyloid-associated
40 tau spreading accelerates may depend on subject-specific risk factors, such as Apolipoprotein E ϵ 4
41 (ApoE4), which is linked to faster disease progression; however, the impact of ApoE4 on amyloid-
42 related tau spreading is unclear.

43 **Objective:** To assess if ApoE4-carriers show accelerated amyloid-related tau spreading and propose
44 amyloid-PET thresholds at which tau spreading accelerates in ApoE4-carriers vs. non-carriers.

45 **Design:** This cohort study combined ApoE genotyping, amyloid-PET and longitudinal tau-PET from
46 two independent samples: ADNI (n=237; collected from 2015-04 to 2022-08) and AVID A05 (n=130;
47 collected from 2013-12 to 2017-07) with a mean tau-PET follow-up time of ADNI/A05=1.9/1.4
48 years.

49 **Setting:** ADNI is an observational multi-centre Alzheimer’s disease neuroimaging initiative and
50 AVID A05, an observational clinical trial.

51 **Participants:** Subjects classified as cognitively normal (ADNI/A05 n=152/77) or mildly cognitively
52 impaired (n=107/53) were selected based on ApoE genotyping, amyloid- and longitudinal tau-PET
53 data availability. Subjects with ApoE ϵ 2/ ϵ 4 genotype and/or classified as demented were
54 excluded. Resting-state fMRI connectivity templates were based on 42 healthy ADNI subjects.

55 **Main Outcome(s) and Measure(s):** A mediation of amyloid-PET on the relationship between
56 ApoE4-status and subsequent tau-PET increase through Braak-stage regions. An interaction between
57 ApoE4-status and amyloid-PET on annual tau-PET increase through Braak-stage regions and
58 connectivity-based spreading stages (tau epicentre connectivity ranked regions).

59 **Results:** The mean age(SD) of the 237/130 ADNI/A05 subjects was 73.9±7.35/70.2±9.7 years, of
60 which 107/45(45.1%/34.6%) were ApoE4 carriers. Across both samples, we found that higher
61 amyloid-PET mediated ApoE4-related tau-PET increases globally (ADNI/A05 B=0.14/0.25,
62 p=0.006/<0.001) and in earlier Braak regions. Further, we found a significant ApoE4-status by
63 amyloid-PET interaction on annual tau-PET increases consistently through early Braak- and
64 connectivity-based stages where amyloid-related tau accumulation was accelerated in ApoE4-carriers
65 vs. non-carriers at lower centiloid thresholds, corrected for age and sex.

66 **Conclusions and Relevance:** Amyloid-related tau accumulation accelerates in ApoE4 carriers at
67 lower amyloid levels, suggesting that ApoE4 facilitates earlier amyloid-driven tau spreading across
68 connected brain regions. Possible therapeutic implications might be further investigated to determine
69 when best to prevent tau spreading and thus cognitive decline depending on ApoE4 status.

70

71 **INTRODUCTION**

72 In Alzheimer's disease (AD), amyloid-beta ($A\beta$) is thought to initiate the spreading of neurofibrillary
73 tau pathology¹ from temporal-lobe epicentres to connected cortical regions,²⁻⁶ driving
74 neurodegeneration and cognitive decline.⁷⁻¹⁰ Accordingly, $A\beta$ -targeting therapies should ideally be
75 applied at low tau levels to efficiently attenuate the AD cascade and slow tau-related
76 neurodegeneration¹¹ and clinical progression.^{12,13} Therefore, it is crucial to determine $A\beta$ thresholds at
77 which tau spreading is triggered, to potentially inform treatment decisions.¹²

78 Tau spreading from the medial temporal lobe to the cortex is, however, modulated by patient-specific
79 factors including sex,^{14,15} vascular comorbidities,¹⁶ brain network architecture¹⁷ and genetic
80 predispositions. Therefore $A\beta$ thresholds marking tau spreading may vary inter-individually.¹⁸⁻²⁰
81 Carriage of the Apolipoprotein E ϵ 4 (ApoE4) allele,²¹ the strongest risk factor for sporadic AD, has
82 been linked to abnormal $A\beta$ -independent tau biomarker levels^{20,22,23} and cortical tau spreading patterns
83 which closely align with cerebral APOE mRNA expression.²⁴ Yet, ApoE4 carriage is neither linked to
84 higher risk of developing primary tauopathies nor to spreading of age-related medial temporal lobe
85 tau to the cortex in the absence of $A\beta$,^{25,26} therefore tau spreading in ApoE4 carriers is seemingly
86 linked to $A\beta$. However, it is unclear whether ApoE4-carriage lowers the $A\beta$ threshold for tau
87 spreading, ensuing earlier symptom onset and faster clinical progression.^{20,27} Given that clinical AD
88 progression is thought to be largely driven by tau rather than $A\beta$,^{10,12} anti- $A\beta$ interventions may
89 require earlier intervention within disease progression in ApoE4 carriers to effectively intercept tau
90 spreading and consequent cognitive deterioration.²⁸ Addressing this is critical since 40-60% of
91 sporadic AD patients carry at least one ApoE4 allele²⁹ and will likely seek anti-amyloid treatment in
92 the future.

93 The major goal of this study was to investigate whether ApoE4-carriage is associated with earlier and
94 faster $A\beta$ -related tau spreading throughout the cortex. We assessed ApoE4 status, baseline ¹⁸F-
95 Florbetaben/Florbetapir amyloid-positron emission tomography (PET) and longitudinal ¹⁸F-
96 Flortaucipir tau-PET in non-demented subjects across the spectrum of aging and AD, including

97 patients at earliest stages of amyloidosis, a potentially promising target group for anti-A β treatments
98 owing to less progressed pathology than that typically found in demented subjects in which tau
99 accumulation is more likely driven by local replication rather than A β -related trans-neuronal spread.³⁰⁻
100 ³² Samples were taken from the Alzheimer's disease neuroimaging initiative (ADNI; n=237) and
101 AVID A05 (n=130) to independently validate findings. To capture AD-related tau aggregation and
102 spread via tau-PET, we used Braak-stage-specific readouts, temporal-lobe tau meta regions of interest
103 (ROIs)³³, as well as individualized connectivity-based tau stages (Q1-Q4) which specifically capture
104 the gradual spread of tau across connected brain regions.^{3,34} Using these data, we first assessed
105 whether faster tau accumulation in ApoE4 carriers was mediated by higher A β and secondly, whether
106 tau accumulation accelerated at lower A β levels in ApoE4 carriers vs. non-carriers. Based on this
107 analysis, we determined PET-based A β cut-points at which tau accumulation rates diverged between
108 ApoE4 carriers vs. non-carriers. Thirdly, we ran simulated trials to determine whether therapeutic
109 effects on tau accumulation can be detected at lower A β levels in ApoE4 carriers vs. non-carriers.

110 **METHODS**

111 *ADNI Participants*

112 We included 237 non-demented ADNI subjects with at least two ¹⁸F-Flortaucipir tau-PET scans and
113 baseline ¹⁸F-Florbetapir/Florbetaben (n=175/62) amyloid-PET within 6 months of the baseline tau-
114 PET. Centiloids (CL) were estimated from global ¹⁸F-Florbetapir and ¹⁸F-Florbetaben SUVRs
115 according to ADNI guidelines ([https://adni.loni.usc.edu/wp-](https://adni.loni.usc.edu/wp-content/uploads/2010/09/ADNI_GeneralProceduresManual.pdf)
116 [content/uploads/2010/09/ADNI_GeneralProceduresManual.pdf](https://adni.loni.usc.edu/wp-content/uploads/2010/09/ADNI_GeneralProceduresManual.pdf)). ADNI investigators diagnosed
117 subjects as cognitively normal (CN; Mini Mental State Examination [MMSE]≥24, Clinical Dementia
118 Rating [CDR]=0, non-depressed), mildly cognitively impaired (MCI; MMSE≥24, CDR=0.5, objective
119 memory-impairment on education-adjusted Wechsler Memory Scale II, preserved activities of daily
120 living). Subjects with the ApoE ε2/ε4 genotype were excluded to avoid confounding effects of the
121 potentially protective ε2 allele³⁵. ADNI investigators obtained ethical approval; all participants
122 provided written informed consent. Supplementary analyses included an additional 34 subjects
123 diagnosed with dementia (MMSE = 20–26, CDR ≥ 0.5, NINCDS/ADRDA criteria for probable AD)
124 fulfilling the same PET data availability criteria (¹⁸F-Florbetapir/Florbetaben: n=21/13).

125

126 *A05 Participants*

127 130 non-demented subjects were selected from the AVID-1451-A05 phase 2/3 trial (NCT02016560;
128 inclusion criteria: https://clinicaltrials.gov/ProvidedDocs/60/NCT02016560/Prot_000.pdf), with at
129 least two ¹⁸F-Flortaucipir tau-PET and a ¹⁸F-Florbetapir amyloid-PET scan within 30 days of the
130 initial tau-PET scan. All tau-PET follow-up scans were taken at fixed intervals (9 and 18 months). CL
131 values were estimated from global ¹⁸F-Florbetapir SUVRs according to AVID guidelines.³⁶
132 Participants were classified as CN (MMSE≥29, no history of cognitive impairment) or MCI
133 (24≥MMSE<29, showing MCI according to NIA-AA working group's diagnostic guidelines).³⁷
134 Subjects with the ApoE ε2/ε4 genotype were excluded. The study was approved by the clinical
135 investigator's local Institutional Review Board; all participants provided written informed consent.
136 Supplementary analyses included an additional 35 subjects diagnosed with dementia (10 < MMSE <

137 24, showing possible or probable AD based on NIA-AA working group's diagnostic guidelines)
138 fulfilling the same PET data availability criteria.

139

140 ***Connectivity Assessment***

141 Functional connectivity of 42 A β negative CN ADNI subjects from the same ADNI sample, was
142 assessed using the 200 ROI Schaefer atlas³⁸ as Fisher-z transformed Pearson-moment correlations
143 between ROI pairs. Subject-specific connectivity matrices were averaged to determine a connectivity
144 template, negative values and autocorrelations were set to 0, following our pre-established approach.³⁹
145 All neuroimaging acquisition and processing is described in the supplementary. Subject-specific tau
146 spreading ROIs were generated by grouping 95% of regions into quartiles according to their template-
147 based connectivity to 5% of brain regions with highest baseline tau-PET i.e. the subject-specific tau
148 epicentre (Q1=strongest connectivity to the epicentre; Q4=weakest connectivity to the epicentre).^{3,17,30}

149

150 ***Statistical Analysis***

151 Group demographics were compared using ANOVAs for continuous and chi-squared (χ^2) tests for
152 categorical variables. ROI-specific annual tau-PET change rates were estimated using linear mixed
153 models with longitudinal tau-PET SUVR values as the dependent variable and time from baseline as
154 the independent variable, including random slope and intercept.^{3,17,30}
155 To confirm previous evidence that ApoE4 carriers have elevated A β and faster tau accumulation, we
156 assessed differences in baseline CL and global tau-PET change rates between ApoE4 carriers and
157 non-carriers using ANCOVAs controlling for age and sex.²⁰

158 To test our main hypothesis that ApoE4 carriers show accelerated A β -related tau accumulation and
159 spread, we first investigated whether faster ApoE4-related tau accumulation is mediated by higher A β .
160 Therefore, bootstrapped mediation models with 1000 iterations conducted in R ([https://cran.r-
161 project.org/web/packages/mediation/mediation.pdf](https://cran.r-project.org/web/packages/mediation/mediation.pdf)) were fitted for both samples (ADNI/A05)
162 separately with ApoE4 status as the independent variable, CL as the mediator and the annual rate of
163 global tau-PET change as the dependent variable (i.e. the average tau-PET change across all 200

164 cortical Schaefer ROIs). These models were additionally stratified by Braak-stage to determine
165 whether ApoE4 effects on tau accumulation were most present in early tau susceptible regions. All
166 mediation models were controlled for age and sex.

167 To further assess whether ApoE4 induces an acceleration of tau spreading at lower A β levels, we
168 tested the CL by ApoE4 interaction on annual tau-PET SUVR change rates through Braak and
169 connectivity stage ROIs (Q1-Q4). In line with evidence for non-linear progression of tau according to
170 amyloid⁴⁰, quadratic interaction models fit the data better than linear interactions (according to Akaike
171 information criterion and ANOVAs) therefore we report quadratic regression models controlled for
172 age and sex (see supplementary eTable 2). CL thresholds in which ApoE4 carrier and non-carrier tau
173 accumulation trajectories diverged were defined in all regression models according to a non-
174 parametric resampling technique which involved identifying where 95% confidence intervals (CI) of
175 the regression lines diverged or re-converged on the y-axis averaged across 1000 bootstrapped
176 regressions to ensure thresholds were robust and not influenced by specific observations. To explore
177 whether ApoE4 induces an acceleration of tau spreading at lower A β levels across an extended A β
178 spectrum, linear models with CI thresholds were repeated with additional subjects diagnosed with
179 dementia. All analyses were carried out in ADNI and A05.

180 Lastly, we tested in the larger ADNI sample with good coverage of early-stage and preclinical AD
181 patients, if the sensitivity for detecting potential treatment effects on tau accumulation was higher
182 among ApoE4 carriers at lower A β -levels. To this end, simulated interventions with attenuated tau-
183 PET change rates of 30% (i.e. simulated effect of interest) were carried out for global tau-PET
184 increase or tau-PET change rates in the temporal meta³³ or Q1 ROI.^{3,30} Simulated interventions were
185 performed using two approaches, by defining a 70 CL wide window with an upper and lower
186 boundary which was shifted from -20 to 140 CLs using a sliding-window approach vs. defining a
187 lower CL boundary for defining the sample of interest, which was systematically increased from -20
188 to 70 in steps of 10. The required sample sizes were estimated using the R-package “pwr” (settings:
189 two-sample t-test, comparing actual vs. attenuated cognitive changes; two-tailed, alpha=0.05,
190 power=0.8; see <https://cran.r-project.org/web/packages/pwr/pwr.pdf>). Statistical analyses were

191 computed using R statistical software version 4.0.2 <http://www.R-project.org>; ⁴¹ Our primary
192 hypothesis-driven analyses were not controlled multiple comparisons due to our independent
193 validation approach across two samples, yet, FDR-corrected p-values are also reported in tables.⁴² A
194 STROBE reporting summary can be found in the supplementary.

195

196 ***Data availability***

197 ADNI data are publicly available (adni.loni.usc.edu) upon registration and compliance with the data
198 use agreement. A05 data are available from Eli Lilly upon conclusion of a data sharing agreement.
199 The analysis R-code is available upon request from the authors.

200 RESULTS

201 *Sample Characteristics*

202 237 ADNI subjects, including 107 ApoE4-carriers, were included. ANOVAs and χ^2 revealed no
203 significant differences between sex, clinical status (CN/MCI), years of education, but ApoE4 carriers
204 had significantly lower MMSE scores, were significantly younger with a higher proportion meeting
205 amyloid-PET positivity thresholds^{43,44} and had shorter tau-PET follow up. Of the 130 A05 subjects,
206 including 45 ApoE4-carriers, were included. Sample characteristics were congruent with ADNI
207 except for clinical status: there were significantly less CN ApoE4-carriers than CN non-carriers or
208 MCI ApoE4-carriers. Results are summarized in Supplementary eTable 1. As expected, ApoE4-
209 carriers had significantly higher CL (ADNI $F(1,232)=45.123$, $p<0.001$; A05 $F(1,125)=20.73$, $p<0.001$
210 and also a faster annual rate of global tau-PET SUVR accumulation (ADNI $F(1,232)=15.470$,
211 $p<0.001$; A05 $F(1,125)=11.383$, $p<0.001$, controlling for age and sex. The demographic and clinical
212 characteristics of additional dementia subjects added to supplementary analyses are displayed in
213 Supplementary eTable 5. Tau-PET uptake and accumulation rates stratified by diagnostic group and
214 ApoE4-status are shown in Figure 1 (for further stratification by A β -status see supplementary eFigure
215 1).

216

217 *Faster tau accumulation in ApoE4 carriers is mediated by higher A β*

218 We firstly assessed whether higher ApoE4-conferred A β burden mediates faster tau accumulation.
219 Supporting this, bootstrapped mediation analyses revealed that the association between ApoE4-status
220 and faster annual rate of tau-PET SUVR accumulation was mediated by higher CL (ADNI: average
221 causal mediation effect [ACME]: $B=0.14$, $p=0.006$; A05: ACME: $B=0.25$, $p<0.001$, Figure 2A).
222 When repeating the mediation analysis stratified by Braak-ROIs, we found no mediation of amyloid
223 on ApoE4-risk on tau accumulation in Braak I, but a mediation in Braak III, followed by a weakening
224 effect in subsequent Braak ROIs, in both samples (Fig.2D&E&Table1). This suggests that ApoE4-
225 carriage does not accelerate the initial emergence of tau pathology in the earliest tau-vulnerable
226 region, Braak I, but may propel amyloid-related tau accumulation particularly in cortical regions.^{1,12}

227 For exploratory purposes, we also found no main effect of ApoE4 on tau accumulation in Braak I
228 (ADNI: $F=2.95$, $p=0.087$; A05: $F=2.31$, $p=0.131$) suggesting the initial dynamics of tau are not driven
229 by $A\beta$ or ApoE. Sensitivity analyses also controlling for clinical diagnosis are shown in
230 supplementary eTable 3.

231

232 *ApoE4 carriage lowers the threshold for amyloid-related tau spreading*

233 Second, we tested whether ApoE4 not only accelerates tau accumulation via increased amyloid (i.e.
234 mediation effect), but whether ApoE4 and amyloid have synergistic effects on accelerating tau
235 accumulation as suggested by previous cross-sectional studies.^{45,46} Supporting this, we found
236 significant CL by ApoE4-status interactions on annual tau accumulation rates for Braak ROIs III-V in
237 ADNI (all $p<0.01$, Fig.3A-C) and for Braak III and V in A05 ($p<0.05$, Fig.3E&G). On average, tau
238 trajectories diverged at ~ 15 CL for ADNI and at ~ 12 CL for A05, as determined by non-parametric
239 resampling. This result pattern was consistent for connectivity-based tau stages, which better capture
240 individualized tau spread. Here, significant ($p<0.05$) ApoE4 times CL interactions were found for Q1-
241 Q2 in ADNI (Fig.3I&J) and A05 (Fig.3M&N), where ApoE4-related tau accumulation accelerated at
242 ~ 13 CL for ADNI and ~ 12 CL for A05. The strength of the interaction effect became weaker across
243 Q1-Q4, suggesting that ApoE4-carriage is specifically associated with accelerated tau spreading from
244 patient-specific epicentres to closely connected regions (Table 2). Sensitivity analyses also controlling
245 for clinical diagnosis are shown in supplementary eTable 4. Exploratory analyses also including
246 subjects with dementia ($n=271/165$ ADNI/A05) yielded consistent results (supplementary eFigure 3,
247 eTable 6). Together, these results suggest that ApoE4-carriage facilitates tau spreading at lower
248 amyloid thresholds. Linear regression models revealed further, that higher annual rate of tau-SUVR
249 accumulation was predictive of faster MMSE decline in ADNI ($\beta=-0.32$, $p<0.001$) and A05 ($\beta=-0.28$,
250 $p=0.002$; Supplementary eTable 7). This suggests that earlier ApoE4-related tau accumulation in the
251 presence of $A\beta$ may translate into faster cognitive decline.

252

253 *ApoE4 is associated with higher sensitivity to detect treatment-related tau attenuation at lower*
254 *amyloid levels*

255 Lastly, we assessed whether the sensitivity to detect therapeutic effects on tau accumulation at lower
256 $A\beta$ levels is higher in ApoE4 carriers. We computed required sample sizes to detect simulated
257 intervention effects with 30% attenuation of tau-PET change as an endpoint through Global,
258 Temporal-Meta and Q1 ROIs in ADNI. When employing a sliding window approach spanning 70CL,
259 we found that detecting tau attenuation as a treatment effect requires overall lower sample sizes in
260 ApoE4 carriers compared to ApoE4 non-carriers (Supplementary eFigure 2A/C/E). For global and Q1
261 tau-PET readouts, the sensitivity to detect treatment effects diverged particularly at $\sim 10CL$, consistent
262 with the previous analysis in which we show ApoE4-related tau accumulation acceleration at this CL
263 threshold (Figure 3). Congruent results were obtained when employing a lower CL boundary
264 approach (Supplementary eFigure 2B/D/F), indicating that ApoE4 carrier inclusion in trials using tau-
265 PET as a surrogate endpoint can reduce sample sizes required to detect treatment effects, especially at
266 lower $A\beta$ levels. This exploratory analysis could not be reliably repeated in A05 owing to skewed
267 sample sizes across the CL spectrum leading to biased power estimations.

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271 **DISCUSSION**

272 We demonstrate that ApoE4-carriage i) is associated with enhanced A β pathology that mediates faster
273 tau accumulation across early Braak-stage regions, and ii) that cortical tau spreading accelerates in
274 ApoE4-carriers at lower A β levels. This suggests a potential indirect and direct effect of ApoE4 on
275 tau: first by driving A β accumulation which triggers tau accumulation and second, by lowering the
276 A β -threshold at which tau spreading accelerates from local epicentres across connected regions. We
277 found that A β -related tau trajectories diverge around 12-15CL between ApoE4 carriers and non-
278 carriers, at which neuritic plaque pathology is already observed post-mortem⁴⁷ but below the typical
279 26CL cut-off for amyloid-PET positivity.⁴⁸ This indicates that tau spreading may be triggered earlier
280 in ApoE4 carriers and therefore may be beneficial to explore disease-modifying anti-A β treatments at
281 lower A β levels. Supporting this, our simulated trials show that tau accumulation attenuation can be
282 detected at lower A β levels in ApoE4 carriers, therefore encouraging earlier disease modifying
283 intervention in carriers of the strongest risk factor for developing sporadic AD.

284 Our first major finding that A β mediates faster tau-PET increase in ApoE4 carriers vs. non-carriers
285 provides evidence that accelerated tau progression in ApoE4 carriers is partly driven by stronger A β
286 deposition. Mediating effects of A β on the association between ApoE4 and accelerated tau
287 accumulation were specifically found for cortical Braak-stage regions but not for the entorhinal cortex
288 (i.e. Braak I), where age-related tau-PET increase is also found in the absence of A β .⁴⁹ This is in line
289 with past research demonstrating that tau accumulation in the entorhinal cortex is not mediated by A β
290 in ApoE4 carriers⁵⁰, suggesting that ApoE4 is specifically linked to A β -related cortical tau
291 accumulation, but not to the initial entorhinal emergence of tau. This result pattern also provides an
292 explanation for why ApoE4 carriers without A β pathology exhibit tau in the medial temporal lobe but
293 seldom beyond this region and do not develop pure tauopathies.^{25,26}

294 Our second main finding revealed that ApoE4 doesn't only drive accelerated tau accumulation
295 through higher A β levels, but also has possible synergistic effects with A β on tau spreading,
296 congruent with cross-sectional evidence of higher tau-PET at given level of A β in ApoE4 carriers.⁴⁶

297 Specifically, we demonstrated that the rate of A β -related tau accumulation is moderated by ApoE4
298 across regions vulnerable to early-stage tau aggregation and spread i.e. regions strongly connected to
299 tau epicentres (i.e. Q1-Q2).^{3,17,30} These results are congruent with a bi-phasic AD pathophysiological
300 framework which proposes an A β -dependent then a later A β -independent tau accumulation phase³¹,
301 supported by mouse-model evidence demonstrating that A β -targeting antibodies reduce early but not
302 later tau changes.⁵¹ ApoE4-related tau trajectories diverged at relatively low levels of around 12-15CL
303 consistently across both samples, suggesting that ApoE4 influences tau accumulation before patients
304 are A β -PET positive using commonly applied thresholds.^{47,48} This finding highlights the need for
305 earlier CL-gauged therapeutic windows for ApoE4 carriers to effectively intervene A β -related tau
306 spreading.²⁸

307 A key question is how A β facilitates tau spreading despite their spatial incongruity and how ApoE4
308 modulates this process. Recent network-connectivity research suggests that the onset of trans-
309 neuronal tau spreading is subject to remote connectivity changes induced by the emergence of A β in
310 regions connected to the entorhinal cortex⁵² (where tau typically emerges first). This process may be
311 accelerated in Apoe4 carriers who, according to electrophysiological⁵³ and fMRI⁵⁴ evidence, exhibit
312 hyperconnectivity in primary A β -harbouring regions compared to non-carriers. Remote A β -induced
313 hyperconnectivity to tau epicentres may facilitate its spread to the rest of the cortex in ApoE4 carriers.
314 It is unclear why ApoE4 carriers exhibit increased neuronal hyperconnectivity, but recent
315 neuroinflammation research reveals that ApoE4-related A β plaques are structurally less compact⁵⁵
316 and trigger a greater inflammatory response⁵⁶ which may amplify its pathological effects. Further,
317 recent work in transgenic mouse models has found that ApoE4 is associated with earlier A β seeding
318 and a stronger A β -induced astrogliosis⁵⁷ and recent work in humans has shown that markers of
319 astrocyte abnormality (i.e. GFAP) are linked to a stronger association between A β and tau
320 biomarkers.⁵⁸ Therefore, ApoE4 may be related to an earlier A β -induced astrogliosis, triggering an
321 earlier onset tau spreading, however, this remains to be specifically tested.

322

323

324 Lastly, our exploratory analysis in which we employed simulated interventions across several A β -
325 defined therapeutic windows and boundaries illustrated that, compared to non-carriers, fewer ApoE4
326 carriers are required to detect intervention-related tau attenuation at lower A β levels. This analysis
327 translates this study's major findings into an interventional context by conveying how the rate and
328 timing of A β -related tau accumulation and chosen readout region impacts clinical trial design and
329 consequently, meeting surrogate endpoints. Q1 exhibited the largest inter-group differences possibly
330 reflecting its sensitivity to capturing individualized tau-PET accumulation³. Importantly, this analysis
331 is calculated according to observed tau accumulation rates and not specific to anti-A β intervention
332 thus does not consider when anti-A β treatment can no longer attenuate tau accumulation, which we
333 predict would occur in line with the centiloid thresholds established in the previous analysis.³

334 Us amongst others argue that the current rigid approach of dichotomising subjects according to pre-
335 established A β -PET thresholds without considering subject-specific risk factors begs
336 reconsideration.³³ There are reports of A β -PET negative individuals with AD-like tau pathology^{59,60}
337 who may exemplify subjects who enter the AD spectrum while formally defined as A β -negative
338 owing to accelerated tau spreading at lower A β levels. This concept may clarify why empirical
339 research has identified increased MTL tau in A β -negative ApoE4 carriers compared to non-
340 carriers^{25,61} by proposing this increased tau to be A β -related, but at sub-threshold levels. A novel
341 aspect of the present study is including MCI A β -negative subjects which inevitably includes non-AD
342 spectrum subjects; however, this is statistically and conceptually necessary to detect the subthreshold
343 A β -related tau spreading in AD spectrum individuals.

344 A strength of the present study is the independent validation in the A05 sample, which conveyed
345 overall congruent results. Nevertheless, CN ApoE4 carriers are underrepresented in A05, leading to
346 slightly more advanced tau levels (see Figure 1), which may explain why mediation and interaction
347 effects tend to be skewed slightly towards later Braak stages. Furthermore, the use of individualised

348 connectivity-based tau spreading stages can more sensitively capture spatial heterogeneity in tau
349 accumulation therefore better gauging the extent of tau spreading compared to Braak-stage specific
350 readouts.⁶² Nevertheless, several caveats should be considered when interpreting our results. Firstly,
351 unspecific Flortaucipir off-target is commonplace⁶³ particularly in the hippocampus and basal ganglia
352 hence their exclusion from our analysis. However, we cannot confirm that confound was not
353 introduced from off-target binding elsewhere. Excluded regions such as the hippocampus may be
354 particularly informative about early stage tau-spreading^{64,65} which unfortunately we can't explore until
355 larger data with second-generation tau-PET tracers become available to us. Secondly, tau
356 accumulation modelling across connected regions relies on the accurate mapping of tau-PET to
357 rsfMRI-assessed functional connectivity which, owing to distant multi-synaptic connections,^{66,67}
358 cannot be structurally confirmed owing to current methodological shortcomings.⁶⁸ Thirdly, this
359 project's focus is purely pathophysiological and has exclusively drawn conclusions from a surrogate
360 endpoint, i.e. Tau accumulation, but as future clinical progression is strongly linked to tau severity we
361 believe our findings are likely to translate into clinical outcomes. Accordingly, we demonstrate that
362 longitudinal MMSE scores, converted to annual MMSE change rates, align with tau-PET increases
363 and predict tau-PET changes (Supplemental eTable 4). It should be mentioned that ADNI and A05
364 have slightly different clinical diagnostic criteria for MCI and CN, however we believe this did not
365 lead to incongruous clinical classifications between the two cohorts owing to the rigorous expert
366 clinical judgement in both protocols. Moreover, we emphasise the exploratory nature of the sample
367 size estimation analysis which was not replicated in A05 due to insufficient data throughout the CL
368 spectrum and overly dispersed tau-PET SUVR change rates influencing power predictions.
369 Additionally, this project has reported p-values uncorrected for multiple comparisons to reduce type II
370 error, which we believe to be statistically appropriate given the hypothesis-driven and cross-validation
371 approach.^{42,69} Finally, this study would generally benefit from longer tau-PET follow-up times, which
372 is particularly relevant at early and potentially slower tau spreading stages and the inclusion of more
373 diverse cohorts since ApoE4 may have different effects across ethnicities.⁷⁰

374 In conclusion, we demonstrate independently validated evidence that ApoE4 is related to accelerated
375 and earlier A β -related tau spreading which may drive faster clinical AD progression in ApoE4
376 carriers. Our findings have implications for trial design by illustrating that ApoE4 carriers may require
377 earlier intervention to effectively attenuate tau spreading and associated clinical deterioration.
378 Moreover, our results motivate further research into A β thresholds which determine clinical trial
379 inclusion according to subject-specific characteristics such as ApoE4 so that AD progression can be
380 targeted in time to prevent tau spreading.

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585

586

587 FIGURES

588

589 *Figure 1 Group-average tau-PET SUVRs at baseline stratified by ApoE4 status and diagnostic group. Tau-PET SUVRs are*
590 *showed as continuous values, white outlines define areas which surpass a pre-established pathological tau SUVR threshold*
591 *of 1.3⁴⁴ in ADNI subjects (A) and A05 subjects (C). Number of subjects displayed under each group rendering, Group*
592 *average tau-SUVR annual change rates defined by linear mixed models, stratified by ApoE4 carriership and diagnostic*
593 *group in ADNI subjects (B) and A05 subjects (D). Tukey HSD (95% confidence level) post-hoc tests on ROI-wise ANCOVAs*
594 *reveal mean-differences between tau-PET SUVR values of ApoE4 carriers minus non-carriers (E) and their annual tau-PET*
595 *SUVR change (F) stratified by sample.*

596 *Figure 2 Global mediation analyses with ApoE4 status as predictor, centiloid as mediator, and the global annual tau SUVR*
597 *rate of change (ROC) i.e. averaged across all 200 cortical Schaefer ROIs, as the dependent variable, p-values for each path*
598 *are displayed on the respective arrow. The average causal mediation effect (ACME) and the average direct effect (ADE) are*
599 *displayed under each mediation triangle as estimated from bootstrapped mediation models. The models are controlled for*
600 *age and sex. Tested in ADNI (A) and A05 (B). Surface rendering of the Braak-staging ROIs by which longitudinal tau-PET*
601 *changes were determined (C). Bar chart presenting ACME B-values of mediation analyses (ApoE4 Risk as predictor,*
602 *Amyloid SUVR as mediator, and the annual tau SUVR rate of change across Braak stages as the dependent variable),*
603 *asterisks reflect significant mediations and error bars represent 95%CI of mediation bootstrapping in ADNI (D) and A05*
604 *(E).*

605 *Figure 3 Scatterplots illustrating the interaction effect between ApoE4 status and centiloid on the annual rate of tau SUVR*
606 *change through braak stages III to VI in ADNI (A, B, C, D) and A05 (E, F, G, H) and through connectivity stages Q1 to Q4*
607 *in ADNI (I, J, K, L) and A05 (M, N, O, P) showing that ApoE4 carriers show an amyloid-related increase in tau*
608 *accumulation in early disease stages. Vertical dashed lines represent centiloid threshold of when groups diverge and*
609 *converge, estimated according to a non-parametric bootstrapping technique with 1000 iterations identifying the point of*
610 *where confidence intervals around regression lines diverge and converge, presented with shaded 95%CI threshold.*

611

Table 1. Mediation Results

613

	B	CI L	CI U	p-value
		ADNI		
Global	0.15	0.05	0.28	0.01*
Braak I	0.03	-0.09	0.14	0.68
Braak III	0.19	0.07	0.32	<0.001*
Braak IV	0.17	0.06	0.30	0.01*
Braak V	0.12	0.01	0.24	0.03*
Braak VI	0.09	-0.02	0.21	0.108
		A05		
Global	0.33	0.14	0.54	<0.001*
Braak I	-0.02	-0.17	0.13	0.78
Braak III	0.33	0.14	0.54	<0.001*
Braak IV	0.28	0.11	0.48	<0.001*
Braak V	0.34	0.15	0.55	<0.001*
Braak VI	0.27	0.10	0.46	<0.001*

CI L 95% Confidence interval lower, CI U 95% Confidence interval upper.

Values are ACME values derived from mediation analyses with ApoE4 risk as predictor, centiloid as mediator, and the annual tau SUVR rate of change (ROC) in the respective Braak stage as the dependent variable. The table displays beta-estimates and p-values.

* indicate p-values that fall below an FDR-corrected p-threshold of 0.05. The mediation models are controlled for age and sex. Additional mediation models correcting for diagnosis are shown in supplementary eTable 3.

Table 2. Interaction effects estimated by linear regression

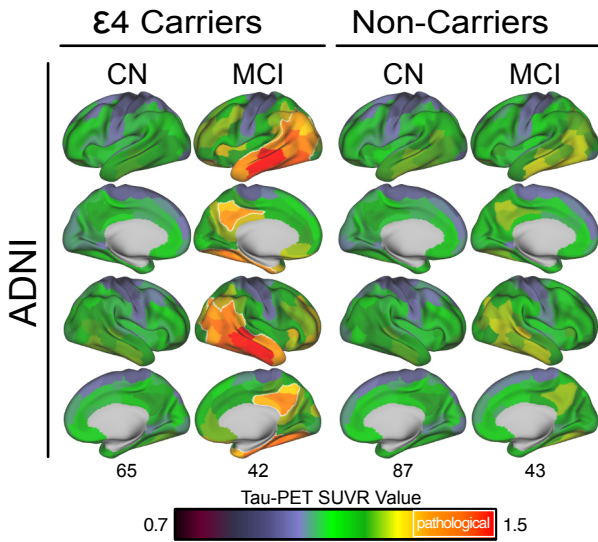
	β	t-value	p-value	Par. R ²	Lower cut-point			Upper cut-point		
					mean	CI L	CI U	mean	CI L	CI U
Braak Stages										
ADNI										
Braak III	-0.34	-3.35	<0.001*	0.05	16.10	15.43	16.76	75.90	75.05	76.75
Braak IV	-0.36	-3.51	<0.001*	0.05	12.44	11.74	13.15	74.20	73.33	75.06
Braak V	-0.28	-2.64	0.009*	0.03	12.43	11.43	13.42	79.80	78.76	80.84
Braak VI	-0.18	-1.63	0.104	0.01	–	–	–	–	–	–
A05										
Braak III	-0.27	-2.19	0.030	0.04	15.42	14.14	16.70	60.47	58.32	62.61
Braak IV	-0.16	-1.28	0.204	0.02	–	–	–	–	–	–
Braak V	-0.26	-2.23	0.028	0.05	11.03	9.89	12.17	63.96	61.82	66.10
Braak VI	-0.09	-0.71	0.480	0.03	–	–	–	–	–	–
Connectivity Stages										
ADNI										
Q1	-0.39	-3.78	<0.001*	0.06	11.20	10.61	11.80	83.60	82.74	84.45
Q2	-0.27	-2.66	0.008*	0.03	13.29	12.39	14.19	80.77	79.72	81.83
Q3	-0.20	-1.94	0.053	0.02	–	–	–	–	–	–
Q4	-0.14	-1.26	0.208	0.01	–	–	–	–	–	–
A05										
Q1	-0.23	-2.02	0.046	0.03	13.31	12.25	14.37	69.70	67.67	71.74
Q2	-0.31	-2.67	0.008*	0.07	11.93	10.86	12.99	56.48	54.38	58.57
Q3	-0.20	-1.67	0.098	0.04	–	–	–	–	–	–
Q4	-0.02	-0.15	0.884	0.02	–	–	–	–	–	–

CI L 95% Confidence interval lower, CI U 95% Confidence interval upper

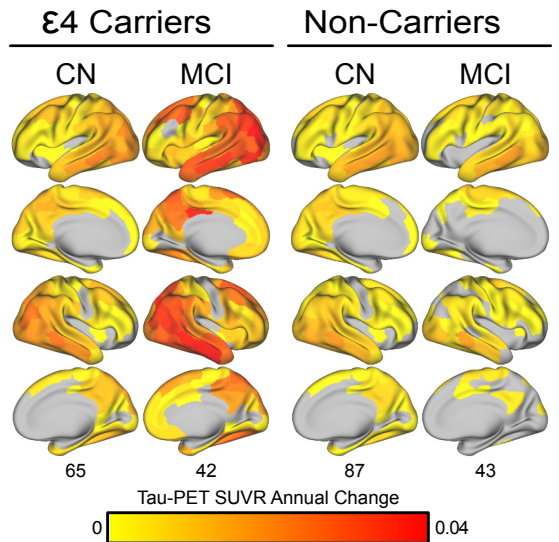
Values derived from regressions fitted with the interaction effect of ApoE4 risk and Centiloid² on the rate of annual tau accumulation in respective Braak stages and connectivity stages in ADNI and A05. Lower and upper cut-points means and CI values estimated through selecting the point of no overlap of 95% and re-overlap of confidence intervals of bootstrapped regressions. The table displays standardized β -estimates, T-values, p-values and partial R squared values. The regression models are controlled for age and sex. Additional regression models correcting for diagnosis are shown in supplementary eTable 4.

* indicate p-values that fall below an FDR-corrected p-threshold of 0.05.

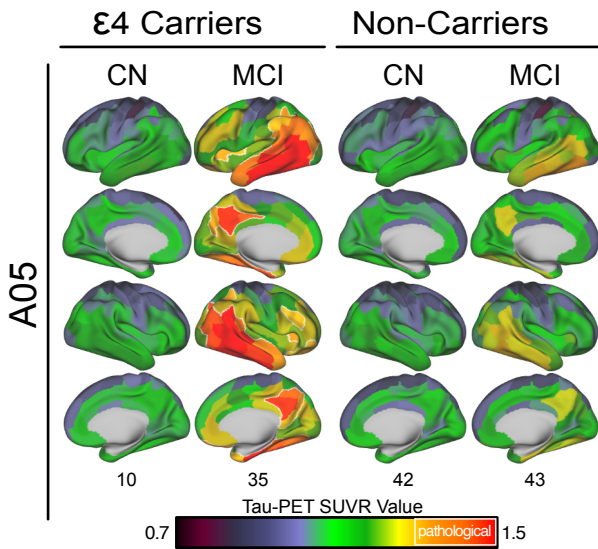
A Baseline Tau-PET SUVR



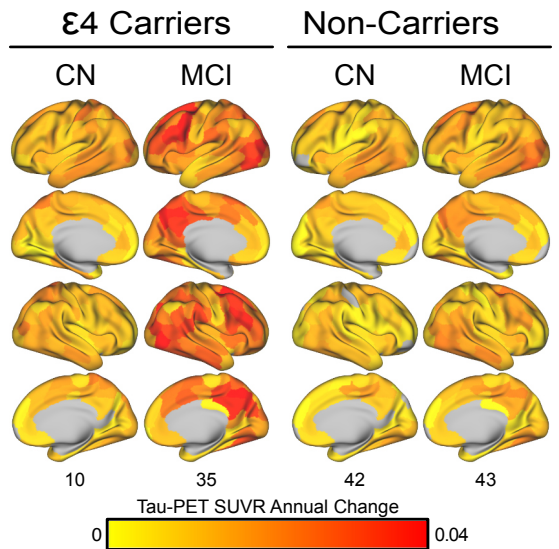
B Annual Tau-PET SUVR Change



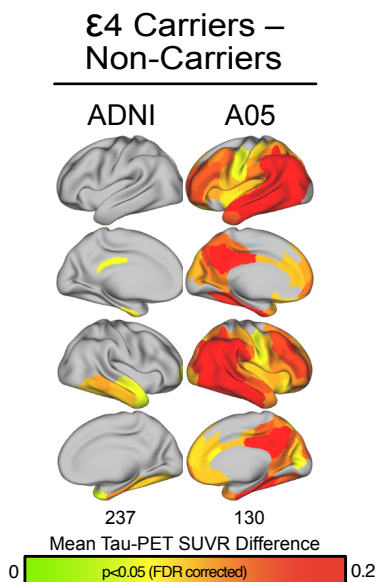
C Baseline Tau-PET SUVR



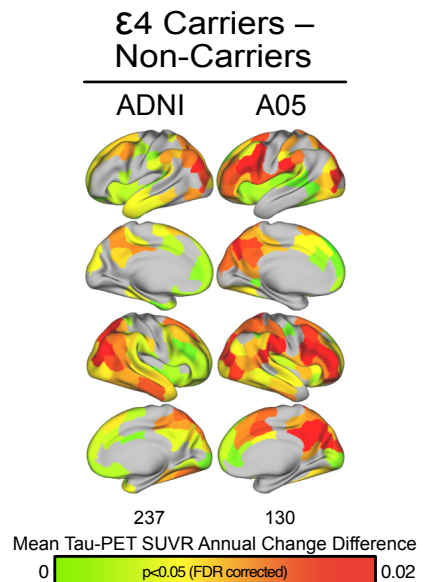
D Annual Tau-PET SUVR Change

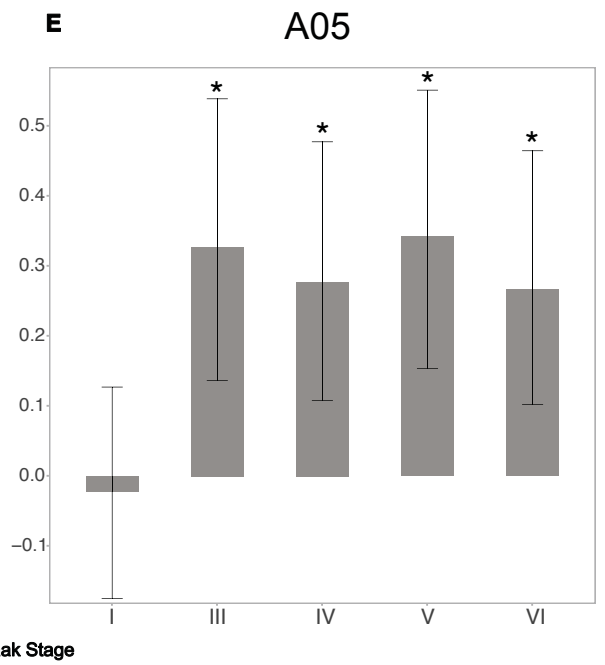
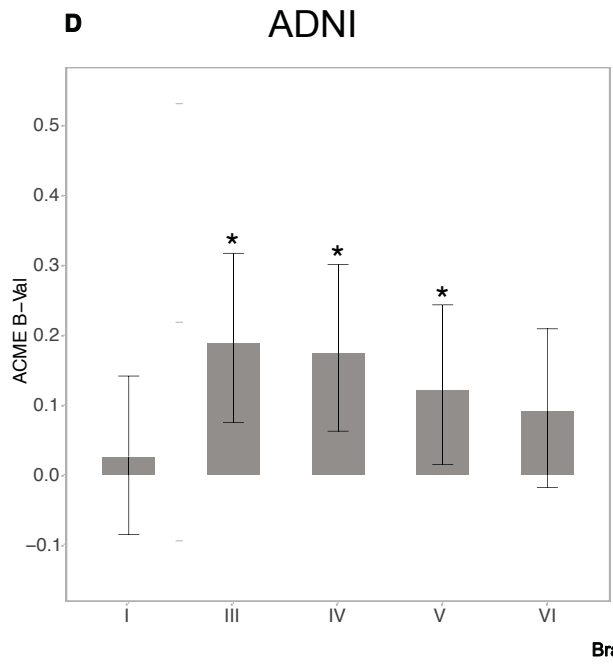
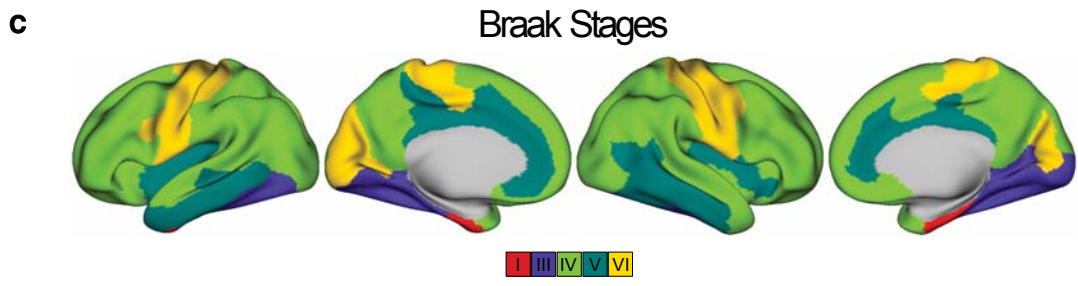
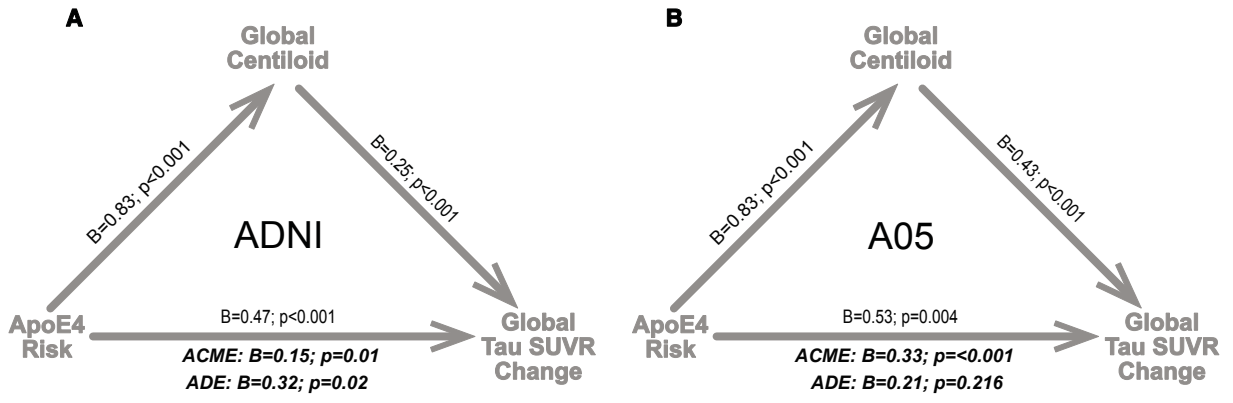


E Baseline Tau-PET SUVR

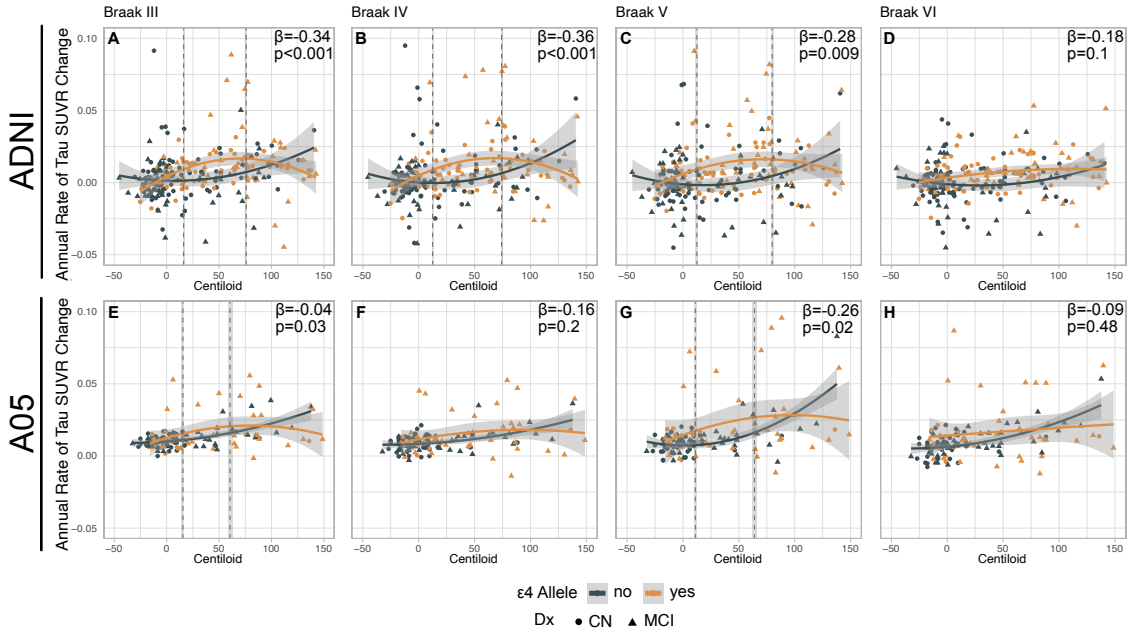


F Annual Tau-PET SUVR Change





Braak Stages



Connectivity Stages

