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Psychophysiological Correlates of Peritraumatic Dissociative Responses in Survivors of Life-Threatening Cardiac Events

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Key Words

Peritraumatic dissociation • Startle experience • Post-traumatic stress disorder • Cardiovascular disease

Abstract

The psychophysiological startle response pattern associated with peritraumatic dissociation (DISS) was studied in 103 survivors of a life-threatening cardiac event (mean age 61.0 years, SD 13.95). Mean time period since the cardiac event was 37 (79 IQD) months. All patients underwent a psychodiagnostic evaluation (including the Peritraumatic Dissociative Experiences Questionnaire) and a psychophysiological startle experience which comprised the delivery of 15 acoustic startle trials. Magnitude and habituation to trials were measured by means of electromyogram (EMG) and skin conductance responses (SCR). Thirty-two (31%) subjects were indexed as patients with a clinically significant level of DISS symptoms. High-level DISS was associated with a higher magnitude of SCR (ANOVA for repeated measures p = 0.017) and EMG (p = 0.055) and an impaired habituation (SCR slope p = 0.064; EMG slope p = 0.005) in comparison to subjects with no or low DISS. In a subgroup analysis, high-level DISS

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patients with severe post-traumatic stress disorder (PTSD; n = 11) in comparison to high-level DISS patients without subsequent PTSD (n = 19) exhibited higher EMG amplitudes during all trials (repeated measures analysis of variance F = 5.511, p = 0.026). The results demonstrate exaggerated startle responses in SCR and EMG measures – an abnormal defensive response to high-intensity stimuli which indicates a steady state of increased arousal. DISS patients without PTSD exhibited balanced autonomic responses to the startle trials. DISS may, therefore, unfold malignant properties only in combination with persistent physiological hyperarousability.

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Introduction

In the face of an extremely threatening event, subjects may experience immediate dissociative responses, including feelings of detachment, derealization, depersonalization, and out-of-body experiences [1]. Dissociation serves the purpose of temporarily disconnecting the person's experience from his or her feelings [2]. Pertitraumatic dissociation (DISS) can be viewed as a partially adaptive

K.H. Ladwig, PhD, MD habil., Institut und Poliklinik für Psychosomatische Medizin Psychotherapie und Medizinische Psychologie Universitätsklinikum Rechts der Isar der TUM Langerstrasse 3, D–81675 München (Germany) E-Mail kh.ladwig@lrz.tu-muenchen.de response to a trauma [3]. In this view, victims of a psychotraumatic event may protect themselves against the overwhelming exposure of threatening stimuli by inhibiting information processing [4].

Empirical evidence, however, suggests that DISS is a risk factor for the development of a subsequent post-traumatic stress disorder (PTSD). In a retrospective approach, studies in Cambodian refugees [5], in Vietnam veterans [6, 7], in firestorm [3] and earthquake [8] survivors, and in traumatized police officers [2] uniformely demonstrated that patients with PTSD exhibited significantly more within-trauma dissociative symptoms than patients without PTSD. In a longitudinal approach, Shalev et al. [9] and more recently Ursano et al. [10] demonstrated that DISS was strongly associated with subsequent development of PTSD.

To date, the mechanisms that link within-trauma dissociation with the development of later PTSD remain largely unidentified. Janet [11] was the first to conceptualize dissociation in the context of physiological hyperarousal. Dissociation, in this sense, may be an expression of greater vulnerability and increased responsiveness of exposure to a threatening event [7]. However, preliminary empirical evaluation of physiological arousal has not confirmed this concept. Griffin et al. [12] studied female rape victims and revealed a suppression rather than an aggravation of autonomic physiological responses upon imaginary exposure to the psychotrauma in the high-dissociation group.

Exaggerated startle responses have been demonstrated to be a criterion for persistent presence of increased arousal in subjects in the aftermath of psychotraumatic events [12–16]. To further elucidate the deteriorating impact of within-trauma dissociation with the development of subsequent PTSD, we employed the startle paradigm to assess an objective measurement of nonspecific hyperreactivity in relation to dissociation. We studied patients who had survived a cardiac arrest. Cardiac arrest survivors and survivors of an acute myocardial infarction (AMI) have experienced a life-threatening situation and, therefore, are at risk to develop PTSD [17, 18]. We expected larger magnitude responses and slower habituation of acoustic startle-induced autonomic parameters [orbicularis occuli electromyogram (EMG) and electrodermal activity] in patients who had experienced DISS.

DISS may be a strong predictor for subsequent PTSD; however, not all patients with symptoms of dissociation during a traumatic event may develop the disease condition. We studied whether this subgroup exhibited a particular psychophysiological response pattern.

Patients and Methods

Patient Characteristics

Patients were drawn consecutively from the LICAD (Living with an Implantable Cardioverter Defibrillator) Study initially including 149 patients treated with an automatic implantable cardioverter defibrillator (ICD) who attended the cardiology outpatient clinic of the 'Deutsches Herz Zentrum München' for routine ICD checkup. Patients were not included when the first implantation of an ICD device was less than 3 months ago. Written informed consent was obtained from all patients. Of these patients, 115 had experienced a cardiac arrest or a myocardial infarction and thus fulfilled the inclusion criteria of the present study. Among these, no patient refused to participate. Five patients were excluded from the analysis because of severe hearing loss and 7 patients because of missing data in the psychophysiologic assessment. Thus, the final study population comprised 103 patients. Mean age of these patients was 61.0 years (SD: 13.9), 91 (88%) were male and 12 (12%) were female. Illness onset was sudden cardiac arrest with subsequent reanimation in 71 (69%) patients and AMI in 32 (31%) cases. Mean time period since the acute cardiac event was 37 (79 IQD) months.

We identified 6 patients with PTSD in the study group. For validation of the criterion, we used a diagnostic checklist recommended by the WHO [19] of all symptoms necessary for the current operational ICD-10 diagnosis of PTSD and then counted the prevalence for all study patients. There were also 8 patients who were indexed as partial PTSD patients. Partial PTSD was diagnosed if a minimum number of symptoms for the re-experiencing criterion, and either the avoidance criterion or the hyperarousal criterion were met [20, 21].

Acoustic Startle Reflex (ASR) Paradigm

We adopted the ASR paradigm from Shalev et al. [16]. It comprised 15 loud tones as independent variables (stimuli). The acoustic startle stimulus was a 500-ms burst of 1,000 Hz with a near instantaneous rise time presented binaurally through headphones (Panasonic). The intensity of the acoustic stimulus was 95 dB (sound pressure level). Intertrial intervals were randomly selected and ranged from 17 to 32 s.

Dependent variables of the ASR paradigm were the EMG response to assess sensimotor responses and the skin conductance response (SCR) to assess autonomic reactivity.

Signals were amplified and filtered by a bioamplifier (B-scope[®], Regensburg, Germany). The EMG signal was recorded through 4mm (sensor diameter) surface electrodes (Biopac Beckman type Ag/ AgCI) and filtered in order to obtain a 90- to 500-Hz frequency range. SCR was measured directly by a coupler using a constant 0.5 V through 9-mm (sensor diameter) electrodes (Beckman-type Ag/AgCI) placed on the subject's nondominant palm. SCR was analyzed in a spectrum from 15.9 mHz to 10 Hz and digitalized at 50 Hz. SCR values were measured in microsiemens. Sampling frequency was 1,000 Hz for the EMG and 50 Hz for the SCR.

To assess the magnitude of the startle responses, an EMG score for each tone trial was calculated by subtracting the mean EMG level during the 2 s immediately preceding tone onset from the highest EMG response measured within 40–200 ms after tone onset. The response window for skin conductance was 1–4 s after tone onset. Square root transformations were performed on the EMG and SCR scores. **Table 1.** Sociodemographic and clinicalcharacteristics of the study population,stratified for three levels of DISS

	No DISS (n = 31)	Low-level DISS (n = 40)	High-level DISS (n = 32)	p value		
Means age (SD), years	63.9 (10.1)	64.5 (12.9)	53.9 (15.8)	0.002 (F test)		
Patients						
Sex						
Male	27 (87%)	37 (93%)	27 (84%)	0 5 47		
Female	4 (13%)	3 (7%)	5 (16%)	0.547		
Education						
< High school	19 (61%)	23 (58%)	10 (32%)			
High school	4 (13%)	5 (13%)	11 (36%)	0.060		
University degree	8 (26%)	12 (30%)	10 (32%)			
Myocardial infarction	12 (39%)	16 (40%)	4 (12%)	12%)		
Cardiac arrest	19 (61%)	24 (60%)	28 (88%)	0.024		

Habituation was defined in two ways: (1) as response slope of the regression equation Y = bX + a for trial 2–15, where Y equals the magnitude of the response and X the log trial number calculated for each subject. (2) As trials-to-nonresponse. An SCR $\leq 0.15 \ \mu$ S (untransformed) or an EMG $\leq 18 \ \mu$ V (untransformed) was considered as non-response trial. Two consecutive non-response trials fulfilled the non-response criterion.

The room temperature and humidity were maintained at $22 \degree C$ and 55%, respectively. The patient investigation was carried out between 9.00 a.m. and 1.00 p.m. Study subjects underwent a hearing test and received instructions while the electrodes were attached according to published recommendations.

Psychometric Measurements

A rater-administered version of the Peritraumatic Dissociative Experiences Questionnaire (PDEQ) [22] was used to assess withintrauma dissociation. Because of the retrospective design of the study, patients were asked whether a symptom had been present at the time of the trauma without rating the severity of the symptom. Thus, the range of the scale with eight items was from 0 to 8. The internal consistency (Cronbach's α) was 0.68. To assess the extent of retrograde amnesia, patients were asked whether they could remember details immediately at onset, some hours before, or whether they suffered from a patchy recall loss of 1 or more days before the event.

The Impact of Event Scale (revised version) IES-R [23–25] is a 22-item self-report inventory that indexes intrusive and avoidance symptoms (each subscale with 8 items, range 1–4) and startle symptoms (6 items, range 1–4). Furthermore, patients were asked whether the event had markedly changed their lives and whether they could actually recall the event. Prior traumatization was assessed by presenting a list of possible traumatizing events.

Statistics

Group differences in continuous and categorical data were examined by t test, Mann-Whitney test, Kruskal-Wallis test and χ^2 test,

Psychophysiology of Post-Traumatic Dissociation

respectively ($\alpha \le 0.05$). Group differences for the 15 startle trials were calculated by analysis of variance (ANOVA) for repeated measures. Statistical analysis was carried out with SPSS (version 10.0).

Results

Characteristics of the Dissociation Groups

In the immediate aftermath of an acute life-threatening cardiac event, 72 (70%) of the 103 surviving patients in the present study experienced one or more symptoms of DISS (mean = 1.82, SD 1.81, range = 0–8). Approximately one third (n = 32) of the sample reported three or more symptoms, which was considered as a clinically meaningful level of dissociative responses.

Patients with a high level of DISS (table 1) were significantly younger and more likely to have a higher educational level. There were significantly more patients with a history of a cardiac arrest than with a myocardial infarction in the high DISS group, indicating more intense psychotraumatogenic properties of the cardiac arrest situation.

Table 2 displays the psychotraumatic impact of the event stratified for the three DISS groups. The data confirm a close relationship between the DISS level and the subsequent development of core PTSD symptoms: intrusion, avoidance and startle. High DISS was also associated with a higher prevalence of retrograde amnesia, both for hours ($\chi^2 = 15.90$, p < 0.001) and for days ($\chi^2 = 9.87$, p < 0.007). It is of interest to note that the time span which

	No DISS (n = 31)	Low-level DISS (n = 40)	High-level DISS (n = 32)	p value
Time period since event, months (median, IQD)	42 (78)	37 (68)	28 (36)	0.420 ^a
Patients				
Prior traumatization	14 (45%)	26 (65%)	21 (66%)	0.163 ^b
Amnestic states				
Memory loss of days	3 (10%)	0	7 (23%)	0.007 ^b
Memory loss of hours	4 (13%)	1 (3%)	12 (38%)	<0.001 ^b
Recall of details some hours before event	26 (84%)	39 (98%)	19 (61%)	<0.001 ^b
Recall during break-down	13 (42%)	22 (55%)	8 (26%)	0.047 ^b
Impact of Event Scale ^c				
IES-Total Score	27.1 (7.6)	27.0 (4.7)	34.7 (13.5)	0.004 ^a
Intrusion	9.1 (2.5)	8.7 (1.3)	12.1 (5.7)	<0.001 ^a
Avoidance	9.6 (3.7)	9.0 (2.3)	12.0 (5.1)	0.007 ^a
Startle	8.5 (2.7)	9.3 (2.7)	10.7 (4.3)	0.063 ^a

Table 2. Psychotraumatic symptoms in the study population, stratified for three levels of DISS

 γ^2 test.

Means (SD).

Table 3. Psychophysiological characteristics of the study population, stratified for no/low and high DISS

	No and low- level DISS (n = 71)	High-level DISS (n = 32)	p value
Skin conductance			
Magnitude			
SCR mean trial 1–15	0.43 (0.30)	0.56 (0.33)	0.017
SCR trial 1	0.87 (0.41)	1.12 (0.41)	0.007
Habituation		. ,	
Trials to non-response	6.5 (5.2)	8.1 (4.8)	0.069
SC slope	-0.19 (0.14)	-0.26 (0.19)	0.064
Electromyogram			
Magnitude			
EMG mean trial 1–15	5.0 (3.3)	5.8 (3.0)	0.055
EMG trial 1	7.5 (4.2)	9.8 (4.5)	0.014
Habituation		· · ·	
Trials to non-response	6.1 (5.1)	8.2 (5.4)	0.065
EMG slope	-1.26 (1.3)	-2.18 (1.7)	0.005

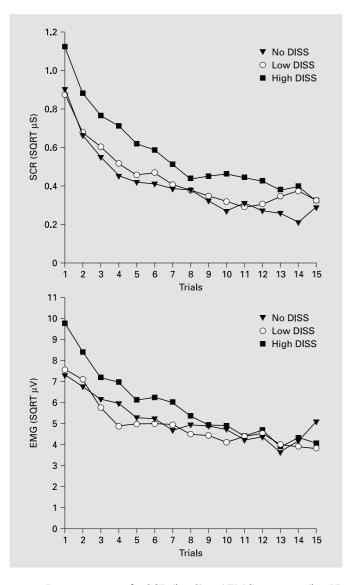
had passed since being exposed to the index event was not significantly different among the three DISS groups (Kruskal-Wallis test, $\chi^2 = 1.74$, p = 0.42).

Psychophysiological Responses

The investigation confirmed higher mean magnitude levels over all 15 stimuli of SCRs (SCR 1-15; ANOVA for repeated measures: F = 4.46, p = 0.034) and but no differences in mean EMG responses (EMG 1–15; F = 1.39, p =0.242) in high DISS responders in comparison to patients with no or low DISS levels (fig. 1). A closer examination of figure 1 reveals that the EMG response magnitude to high-level DISS patients converges approximately at trial number 10 with the study counterparts. The subdivision of the total number of trials into three segments disclosed a significant difference between high-level DISS and the two other groups in EMG responses for the first (1-5 trials) segment (ANOVA F = 4.137, p = 0.045). Figure 1 further shows that differences in magnitude were most pronounced in the high DISS group in comparison to the two lower DISS groups. No differences between the DISSfree and the low-level DISS group were observed. For further analysis, we combined the patient groups with no or low DISS levels.

Table 3 confirms higher response magnitudes for the high-level DISS group (n = 32) in comparison to patients

Ladwig et al.



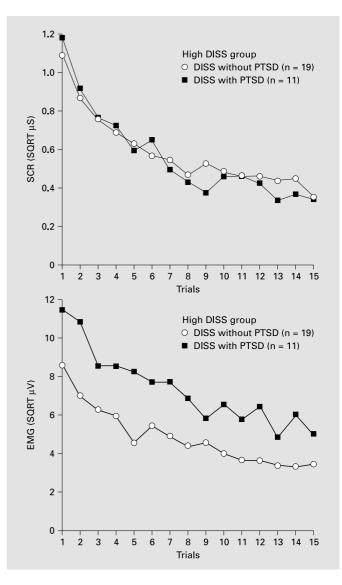


Fig. 1. Response means for SCR (in μ S) and EMG responses (in μ V) for 15 trials, stratified in patients with no, low and high DISS. SQRT = Square root transformation.

with no or low DISS levels (n = 71) for the SCR and EMG both for the mean magnitude of the first trial and for the average over all 15 trials. Table 3 further shows that SCR and EMG habituation was impaired in the high-level DISS group and reached borderline significance for both parameters of habituation (trials to non-response and slope) except for the EMG slope which exhibited a highly significant impaired decrease in responsiveness (p = 0.005) in the high-level DISS group.

Every patient in the full PTSD group suffered from high-level DISS. Accordingly, the positive predictive

Fig. 2. Response means for SCR (in μ S) and EMG responses (in μ V) for 15 trials in patients with high levels of dissociation, stratified for patients with and without subsequent development of PTSD. SQRT = Square root transformation.

power was 78.6% and the negative predictive power 76.8% for high-level DISS to predict subsequent full PTSD. Nevertheless, a considerable subgroup of 19 (63%) patients in the high DISS group did not develop a subsequent PTSD. We further characterized this particular patient subgroup to assess what might have inhibited the development of the psychotraumatic disease process in these patients. The subgroup analysis revealed (fig. 2) that high-level DISS patients with subsequent PTSD (n = 11) in comparison to high-level DISS patients who did not develop PTSD (n = 19) exhibited significantly higher

Psychophysiology of Post-Traumatic Dissociation

Psychopathology 2002;35:241-248

EMG values (F = 5.511, p = 0.026) whereas no differences in SCR measurements were observed (F = 0.185, p = 0.878).

Discussion

Prevalence of DISS

The present investigation reveals that the experience of dissociative symptoms is common among patients who survived a life-threatening cardiac event. To our knowledge, this phenomenon has not been investigated in this particular patient group. However, the symptomatology has in part been studied in attempts to investigate neardeath experiences [26] which have an extremely low incidence (0.3–0.5%) in cardiac arrest survivors [27]. Interestingly, those vivid hallucinations have been discussed in the context of (hypoxic) stress-induced limbic lobe dysfunction [28].

Seventy percent of the present study population experienced one or more of such symptoms in the immediate aftermath of an acute life-threatening cardiac event. This is similar to the finding of Ursano et al. [10] who reported 79% of DISS symptoms assessed with the PDEQ in patients 1 month after motor vehicle accidents. The incidence of a clinically meaningful level of DISS (with more than 3 symptoms reported) was 35% in the motor vehicle accident study [29] and was 31% in the present study.

Among factors which are associated with DISS, higher levels of DISS were more prevalent in younger patients in the present study. Age was also negatively associated with dissociative tendencies in emergency workers [30], in Vietnam veterans [31], and in motor vehicle accident victims [29].

Dissociation may be a marker of prior traumatization. Shalev et al. [9] have assumed that DISS may result from a defensive behavior acquired during previous traumatization. The data of the present study do no support this assumption. Our results are similar to those of a vehicle accident study [10, 29] which also did not find such an association. Fullerton et al. [29] concluded from their findings that the neurobiological mechanisms that relate DISS and subsequent PTSD appear to be different from those associated with kindling due to a prior traumatic event.

Startle Response Patterns

A more direct approach to neurobiological mechanisms is provided by the assessment of the ARS experiment which systematically measures flexor motor and autonomic nervous system responses to loud and sudden tones. Although the ARS is a stereotyped response it shows considerable plasticity. The present study reveals a significantly exaggerated startle response amplitude for the SCR and a heightened (however not significant) startle response for the EMG in patients who experienced high levels of dissociative symptoms during a life-threatening event. Habituation is markedly impaired in both domains, however, being highly significant in EMG measurements. The data suggest a persistent presence of increased arousability which has been proven for patients in the aftermath of psychotraumatic events [12–16]. The impaired habituation in the index group additionally indicates that these patients remain in a steady state of an increased fight/flight reaction [4, 16, 32]. A failure of extinction of startle responses is characteristic of individuals exposed to extreme stressors [33]. The startle data support the hyperarousal assumption first proposed by Janet [11] in the beginning of the last century.

Modifications in startle responses have been linked to higher central nervous system regions, mainly to the central nucleus of the amygdala which is an important mediator of emotional memory [33, 34]. This region receives input from the perirhinal cortex and activates directly the nucleus reticularis pontis caudalis [35]. Lesions of the central nucleus of the amygdala block ASR [36], whereas electrical stimulation enhances ASR [37].

There is only one published report in which SCR and EMG responses in DISS patients were studied [12]. Surprisingly, these investigators found lower physiological reactivity during trauma imagery in the high dissociation group which contrasts with previous findings of a higher physiological reactivity in PTSD patients. The investigators concluded from their findings that the result may mask differences in the PTSD group as a whole.

Subgroup Analysis

The lack of a distinct subgroup of patients with clinically meaningful levels of dissociation in the present study to predict PTSD suggests the need to investigate those mechanisms having impact on the subsequent disease process [38]. The data of the present study reveal that patients with high levels of DISS who later develop PTSD exhibit significantly higher EMG magnitudes and lower habituation than patients with high levels of DISS but without subsequent PTSD development.

The cross-sectional design of the present study does not allow a causal explanation. However, these results point to a non-provoked inherent hyperpotentiation of vulnerable subjects [39] which may suggest a biological basis for susceptibility to malignant trauma processing. Individual differences in vulnerability and resilience to dissociation may be present already at the level of neuronal sensitization [40].

Limitations. The most important limitation of this study is its cross-sectional design and the results should be interpreted with caution. However, to the best of our knowledge, psychophysiological startle data have not been analyzed in DISS patients before and thus the present data provide the empirical basis for defining hypotheses which have to be confirmed in a prospective study. The results may be restricted to traumatic events which are of short duration and followed by rapid rescue treatment. The extent to which the findings may be generalized to other PTSD populations remains unclear. In the present study, SCR and EMG data were not always synchronized, similar to previous published studies [15, 16]. Yet, it remains speculative why synchronicity is often not present for different domains of psychophysiological response.

Conclusion

Dissociation is a response pattern to overwhelming threat characterized by an immediate breakdown of information processing. Although it may protect the victim from a full conscious appreciation of the threating appraisal at the time of a trauma, it does not protect against subsequent development of PTSD. Patients with dissociate symptoms were at increased risk of subsequent PTSD and also exhibited higher levels of arousal. However, the experience of dissociation symptoms alone may not lead to a malignant disease process. Dissociation may, therefore, induce malignant properties only in combination with persistent physiological hyperarousal triggered by central nervous mechanisms. Longitudinal studies are required to investigate whether exaggerated startle responses (i.e. differences in neuronal sensitization) are already present at an early stage after trauma exposure when patients still do not differ in their clinical appearance and acute symptomatology.

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Psychophysiology of Post-Traumatic Dissociation

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Psychopathology 2002;35:241-248