Review Article

Getting Across the Plasma Membrane and Beyond: Intracellular Uses of Colloidal Semiconductor Nanocrystals

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Semiconductor nanocrystals (NCs) are increasingly being used as photoluminescent markers in biological imaging. Their brightness, large Stokes shift, and high photostability compared to organic fluorophores permit the exploration of biological phenomena at the single-molecule scale with superior temporal resolution and spatial precision. NCs have predominantly been used as extracellular markers for tagging and tracking membrane proteins. Successful internalization and intracellular labelling with NCs have been demonstrated for both fixed immunolabelled and live cells. However, the precise localization and subcellular compartment labelled are less clear. Generally, live cell studies are limited by the requirement of fairly invasive protocols for loading NCs and the relatively large size of NCs compared to the cellular machinery, along with the subsequent sequestration of NCs in endosomal/lysosomal compartments. For long-period observation the potential cytotoxicity of cytoplasmically loaded NCs must be evaluated. This review focuses on the challenges of intracellular uses of NCs.

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1. INTRODUCTION

Semiconductor nanocrystals (NCs) “quantum dots” are increasingly being used in a wide range of biomedical applications, from cell biology to medical diagnostics. They have a core diameter of 2–10 nm and significantly larger hydrodynamic diameter, making them suitable as large yet relatively biocompatible markers, and have remarkable photophysical properties related to quantum confinement effects [1]. Their superior brightness, higher photostability, and narrower spectral emission compared to conventional organic fluorophores have progressively lead biophysicists to adopt them as a new tool for single-molecule imaging, in vitro and in vivo. NCs have become an alternative for organic fluorophores and complementary tool of fluorescent proteins in single-molecule fluorescence and whole-cell labelling assays.

In this review, we focus on the intracellular applications of semiconductor nanocrystals in biological imaging. We first discuss their unique optical properties, we then introduce some considerations on their surface chemistry and we explore in the following sections the different possible strategies to deliver NC inside the cell and to specifically target them to a protein of interest. Finally, we report on recent applications of NCs in whole animal imaging in vivo and address the risk of potential cytotoxicity.

2. CHEMICAL AND OPTICAL PROPERTIES

NCs are inorganic particles of 200 to 1000 atoms. NC cores are commonly synthesized from group II-VI (e.g., CdSe, CdS, ZnSe, and CdTe) and III-V (e.g., InAs, InP, and PbS) semiconductor materials. For any energy exceeding the band gap, which depends on the core diameter, absorption of
a photon generates an electron-hole pair, which on recombination results in the emission of a less-energetic photon. Due to their broad absorption spectra, NCs can efficiently be excited with a multitude of laser lines. Variations in the particle composition and size result in different band-gap energies and hence NCs different photoluminescent (PL) emission, ranging from the near UV to the IR (400–1350 nm) [2]. NCs have narrow and symmetric photoluminescence (PL) emission peaks with typical full widths at half maximum (FWHM) of 25–35 nm [3] that facilitate multicolour imaging by allowing efficient single-colour excitation whilst minimizing emission cross-talk [4], see [5] for a critical discussion. Unlike with organic dyes, the PL emission arises from the radiative recombination of an exciton. For NCs, relaxation to the ground state takes ~10 nanoseconds, about one order of magnitude longer than singlet-singlet electronic transitions in organic fluorophores. The slow PL decay makes NCs attractive sources for time-gated imaging, which can be used to reduce the relative contribution of cellular autofluorescence to the total collected signal [6]. Figure 1 graphs the evolution of the collected fraction of long-lived NC emission, relative to that of the short-lived autofluorescence for different time gates Δt at a fixed lifetime ratio of 1:10. Larger gates are required to attain the same suppression of background for increasing levels of autofluorescence. For intensity-based detection NCs benefit from their large brightness (εφ) which results from a 10-to-100 time larger molar extinction coefficients (ε∼10^5–10^6 M^-1 cm^-1) than organic dyes [7, 8] at comparable quantum yield φ. Finally, due to their significantly higher photostability than organic fluorophores, NCs are attractive for long-period observation (LPO). The resistance to photobleaching results from the deposition of an additional semiconductor shell (e.g., ZnS or CdSe) having a larger band gap than the core. The result is the confinement of the excitons to the core. However, NCs are not completely inert to prolonged illumination. The photophysical properties facilitate LPO at the single-NC level, a particularly interesting property in single-particle tracking (SPT) applications [9], tracing cell lineage [10], and live animal imaging [11], that all combine the demand for imaging small numbers of fluorophores over extended observation periods.

Beyond their established function as molecular markers, NCs are increasingly being used for FRET-based biosensing (see [12] for review). NCs are both a scaffold and central donor for exciting multiple organic acceptor fluorophores in these inorganic/organic hybrid FRET sensors [13–16]. Also, NCs are attractive FRET donors because, through selecting the appropriate size, they can be dialed into almost arbitrary acceptors. The large overlap integrals between donor emission and acceptor absorbance allow for larger FRET efficiencies or transfer over larger donor/acceptor distances. Due to the broad absorption bands and narrow-band emission, one can chose excitation wavelengths minimizing direct acceptor excitation and minimal bleed-through of donor fluorescence into the FRET detection channel.

At the single-NC level, the radiative recombination of the exciton can temporarily be prevented despite ongoing excitation, resulting in intermittent PL emission, known as “blinking” [17]. Blinking results from the stabilization of the exciton at the NC surface and is associated with surface defects. Dark states reduce the duty cycle, complicate the interpretation of intensity-based measurements, and prompt the elaboration of specific algorithms for quantitative SPT [18].

However, blinking can be turned to an advantage in as much as it allows the identification of single NCs and the detection of single-pair FRET (spFRET, Figure 2(a)), as shown on panel (b) between a QD565STV NC donor and an AlexaBiotin organic fluorophore acceptor (Yakovlev, Luccardini, and others’ personal observations). Blinking of neighboring NCs can also be used for ultrahigh resolution studies beyond the classical resolution limit [19] and allows the emission of single particle to be isolated from the crowd. NC detection is not restricted on detecting PL. Their electron density and crystal structure provide sufficient contrast in transmission electron microscopy (EM) [9, 20]. Their use in EM is an additional advantage over labelling samples with conventional dyes that need to be photoconverted or require the addition of electron-dense material to generate contrast on EM images. However, the contrast obtained with NCs is lower than when using Au nanoparticles for immunolabelling.

3. NANOCRYSTAL SURFACE CHEMISTRY

Successful cell biological applications of semiconductor NCs had to await the development of reliable protocols for synthesizing water-soluble and colloidaly stable nanoparticles. To be of use in cellular imaging, NCs need to be first rendered water-soluble and nonaggregating and then functionalized to be specifically targeted to a molecule of interest. They should also be stable and ideally have a long shelf life as well as to allow for experiment series under reproducible conditions. The time needed to develop potent solubilization and functionalization strategies justifies the time elapsed after the first proposition of NCs as biological probes [3, 22] and their wider use by the biological community which is only beginning. NCs are synthesized in organic solvents and are subsequently coated with a hydrophobic shell of surfactant trioctyl phosphine oxide (TOPO) to maintain the particles monodispersed in organic solvents. Their water solubility is obtained by capping the NC surface with an additional hydrophilic coating layer. Among the many solubilization strategies that have been designed the most efficient, in terms of colloidal stability and biocompatibility, is at present the amphiphilic polymer coating [23–25]. Particle aggregation can further be reduced through the addition of a polyethylene glycol (PEG) layer, which also minimizes nonspecific interactions [20, 26, 27]. Taken together, the improvements in understanding NC surface chemistry and hence controlling their colloidal properties have prompted an ever increasing number of studies using colloidal semiconductor NCs as PL markers in cell biological applications (see, e.g., [28, 29] for review).

The easier accessibility of extracellular epitopes of cellular membrane antigens readily motivates the increasing number of studies using NCs instead of organic-fluorophore conjugated antibodies as extracellular markers in immunofluorescence [9, 30, 31]. Different linkers have been used for functionalizing NCs, including streptavidin [32–34], receptor
Figure 1: Time-gated acquisition of nanocrystal photoluminescence suppresses short-lived autofluorescence [6]. (a) Schematic representation of the relative timing of the laser pulse (instantaneous, blue), along with the normalized decays of autofluorescence (AF, purple, $\tau = 1$ nanosecond), NC photoluminescence (NC, green, $\tau = 10$ nanoseconds), and their sum (red), respectively. (b) Background rejection versus gate time. SNR is the ratio of the integrated signal of the NC divided by the integrated signal of the AF. The numbers/colors represent 5 different ratios $I_{NC}/I_{AF}$. To obtain the same SNR at a higher level of AF, a larger time gate is required. The shift in time is relative to the center of a sigmoidal function $1/(1 + \exp (-T/t))$ that describes detector gating. We assumed a detector on response (10–90%), $T = 4.4$ nanoseconds. Thus, at $\Delta t = 0$ detection efficiency is 50%.

Figure 2: Use of blinking to detect single-particle fluorescence resonance energy transfer (spFRET). (a) Schematic representation of the donor/acceptor geometry consisting of a central QD565-ITK/STV donor (green) and biotinylated Alexa594 acceptor (red). NCs were immobilized on glass slides using a biotin-antibody linker. (b) Time-resolved traces of PL intensity simultaneously observed in the donor (D565/20 nm) and FRET channel (D655/40 nm) upon donor 440-nm excitation. The green-emitting NC donor transfers its energy to multiple orange-red fluorescing acceptors. Donor bleed through and acceptor direct excitation are negligible, and contribute less than 0.5% each to the total signal, respectively. Note the concomitant blinking in both channels, indicating no energy transfer when the quantum dot donor is in an OFF state, a hallmark of spFRET [21]. cps = counts per second.

Despite their obvious advantage for extracellular labelling, four main difficulties are encountered when using NCs for intracellular labelling of cytoplasmic constituents in live cells. First, to deliver NCs into the cell, the plasma membrane has to be made transiently permeable for these nanoscale (but in a cellular context yet relatively large) objects, while maintaining the cell intact and viable [41]. Second, as NCs are also unspecifically taken up, probably by a process similar to pinocytosis, any specific uptake has to
dominate over these nonspecific uptake mechanisms to ensure a specific labelling. Pinocytosis occurs in all types of cells, leading to pinosomes which can be bigger than 1 μm (macropinocytosis). Because their size, macropinosomes provide an efficient route for nonselective endocytosis of solute macromolecules, and hence NCs in solution. Third, once the NCs have penetrated the cell, they must stay monodispersed and reach their molecular target through diffusion or transport. However, nanometric hard particles are frequently recognized as exogenous objects and are engulfed in endosomal/lysosomal compartments. Finally, even in the case of a successful cytoplasmic loading, the main obstacle remains the difficulty in addressing NCs to their specific target sites and in removing the unbound NC fraction from the cytoplasm.

4. CROSSING THE PLASMA MEMBRANE

Whole-cell labelling has been demonstrated with biocompatible, but nonfunctionalized (bare) NCs. The addition of NCs to the extracellular medium leads to their spontaneous uptake [28, 42]. Not only specialized macrophages and fibroblasts but also many cells internalize both extracellular particles and fluid via phagocytosis and pinocytosis, respectively. Virtually all cells are able to take up NCs via endocytic mechanisms. This uptake leads to endosomes that are much bigger than the NCs itself (macropinosomes >1 μm, clathrin coated pits ~120 nm, caveolae ~60 nm, and clathrin- and caveolin-independent endocytosis ~90 nm [43]). However, these tracks often lead to aggregations of NCs crowded in intracellular compartments (recognized by the absence of blinking). Thus, additional and more specific loading techniques are required for specific NC loading.

Microinjection is a simple tool for loading monodispersed NCs into the cytoplasm [10, 36]. Dubertret and coworkers injected NCs into Xenopus laevis oocytes and traced the cell lineage throughout embryonic development. Single-cell electroporation [44] potentially is another technique for loading charged NCs into individual cells, but its efficiency critically depends on the size and charge of NCs (Luccardini and Yakovlev unpublished observations). However, similar to patch clamping or microinjection, it is time-consuming techniques; and more efficient techniques are desirable when the loading of larger cell populations is required.

Bulk electroporation of cell suspensions allows the parallel delivery of NCs into thousands of cells, but has been reported to go along with NC aggregation [36, 45]. This technique probably traps NCs on the plasma membrane where they are endocytoted during the time that is required for the cells to settle on the cover glass before imaging (Luccardini and Yakovlev, personal observations). Thus, the osmotic lysis of pinosomes (Figure 3, upper panel) provides a simple and convenient method to efficiently load monodispersed NCs into many cells simultaneously, under identical conditions. During loading, the cell morphology did not change and plasma membrane integrity and cell viability were not affected through the osmotic shock and inclusion of NCs (Figure 3, lower panel). This technique enabled, for example, the loading of NCs to track single kinesin motors in live cells [46]. Chemical methods to deliver NCs to the cytoplasm include the use of cationic polymers [36, 45] and cationic lipids [10, 48]. After liposome formation, NCs penetrate the plasma membrane, but accumulation in endosomal compartments is frequently observed [36, 39, 49]. Also, liposome-loaded NCs have been found in late endosomes/lysosomes [50], and in keeping with this observation, tend to concentrate in regions close to the nucleus [10]. Overcoming NC sequestration, encapsulation of NCs in a PEG-grafted polyethyleneimine coat has been reported to permit their escape from endosomal compartments [51]. Another possibility for NCs delivery into the cytosol is their conjugation to specific peptide sequences [52, 53], similar to what has been used for the delivery of magnetic nanoparticles [54]. Although this is a particularly interesting and active area of research, and NC translocation to the cytoplasm [55, 56] and specific labelling of intracellular organelles such as mitochondria [36, 57] or the nucleus [36, 45] have been published, the true impact of these studies can only be evaluated with a careful study of the three-dimensional (3-D) intracellular localization of the NCs, for example, combining specific immunostaining and quantitative 3D imaging [35, 58], and careful colocalization analysis [5]. Finally, the conjugation of NCs to membrane-permeable toxins like botulinum toxin should represent an attractive strategy to deliver NC into the cytoplasm, although further work needs to confirm these initial observations.

In summary, while many different strategies of NC loading have been explored and some of them to produce a monodispersed cytoplasmic labelling at least in the cell types studied, the absence of rigorous criteria for successful cytoplasmic loading and the lack of appropriate controls along with the often uncritical and overoptimistic interpretation of intracellular fluorescent puncta make it hard to be directly extrapolated from the published literature on the own experiment. In principle, if NCs are localized in the cytoplasm rather than sequestered in some intracellular compartment, they should be evenly distributed in and randomly diffused throughout the accessible volume; in contrast, many images rather show localized distributions and heterogeneous clusters of different sizes and brightnesses. A definite proof needs SPT and the analysis of single molecule fluorescence. Blinking and consistent diffusion coefficients will clarify if particles are monodispersed and trapped or they can diffuse freely. As yet, it seems safe to say that the uptake and internalization of nanoscale particles into cells has not been completely understood and probably varies both from cell type to cell type. Also, it depends on the surface chemistry of the nanoparticles. Additionally, purification steps could play a crucial role; for example, in determining the concentration of excess ligands in solution.

5. REACHING SPECIFIC INTRACELLULAR TARGETS

Site-specific labelling of intracellular proteins is far more difficult than extracellular target recognition, since the cytoplasm constitutes a crowded molecular environment, containing a plethora of proteins, nucleic acids, and other molecules. So as to achieve specificity in intracellular
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Figure 3: Evaluation of cytoplasmic nanoparticle loading in live cells by osmotic lysis of pinosomes. COS-7 cells were incubated in hypertonic solution (10 minutes, 37°C, Invitrogen I-14402) for pinocytic loading of QD565ITK nanocrystals (NCs, Quantum dot corporation). Shifting to hypotonic culture medium caused the osmotic lysis of the internalized pinosomes and release of NCs into the cytoplasm. (a) Bright-field image at ×100 magnification. Scale bar for (a) to (c): 4 μm. (b)–(c) Epifluorescence images from a time-resolved image stack of the same cell. Green circles identify individual NCs that intermittently changed from ON to OFF state (blinking) between frame 250 (b) and 253 (c). Cell viability following loading was tested using the trypan blue exclusion assay at low magnification, ×10. Osmotic shock without (d) and with 1 nM QD565ITK nanocrystals in the extracellular fluid (e) did not compromise cell viability. (f) In contrast, adding ethanol reliably killed cells as reported by the dark trypan blue labelling. Scale bar for (d) to (f): 40 μm.

targeting, tagging strategies rely on specific target recognition (reviewed in [12, 59]). Another requirement for LPO imaging is that the chemical bond linking the cytoplasmic target and the label chosen for its detection is stable over the experiment time. It is in response to this need that the Tsien laboratory (University of California, Calif, USA) introduced genetically encoded fluorescent proteins in cell biology (reviewed in [60]). An alternative strategy uses self-labelling protein tags. The introduction of a small protein tag or of a unique combination of amino acids on the target protein allows their interaction with a specific fluorophore-bearing substrate, here an NC. Examples of self-labelling protein tags are biarsenical compounds [61, 62], SNAP tag [63], and Halo tag [64]. These approaches are helpful for developing new NC functionalization strategies for specific intracellular targeting.

6. WHOLE ANIMAL IMAGING, IN VIVO

Compared with applications to subcellular imaging in cell biology, NC-based whole-animal imaging has developed very fast [65]. Due to their long-wavelength emission, brightness, and long-term photostability, NCs are ideal probes for sensitive in vivo imaging in deep tissues of small animals or imaging superficial tissue layers of larger species [11]. The possibility of synthesizing NCs emitting in the infrared wavelengths minimizes scattering, optimizes depth penetration and allows discrimination against collagen autofluorescence and thus should permit ultradeep imaging of “optically thick” tissue [66, 67], provided that cytotoxicity is not an issue (see Section 7).

One of the first live-animal applications of NCs was the selective labelling of tumor vasculature in mice by using PEGylated NCs coated with specific peptidic sequences against vascular markers. In 2002 Åkerman et al. [26] showed in histological staining that after intravenous NC injection, functionalized NCs can be addressed to specific blood vessels. A high level of PEG substitution on top of the functionalization of the NCs reduced their uptake into the endothelial reticulum. One year later, Larson et al. were able to image by multiphoton microscopy NCs through the skin of live mice, in capillaries embedded 100 μm in tissue [4]. Bal lou et al. demonstrated the importance of long-chain PEG (5 kDa) coating for increasing the duration of NCs circulating in the blood flow of mice [20]. They were able to detect NCs by noninvasive whole body fluorescent imaging, up to four months after injection. The same report also showed that NCs deposit in liver, skin, and bone marrow in a surface-coating dependent manner and that polymer- and PEG-coated (upto 3,400 Da MW) NCs are cleared from the blood after injection. Gao et al. developed polymer-coated NCs functionalized with a monoclonal antibody directed against prostate cancer cells as a cell-specific marker [68]. After NC injection in mice, transplanted with human cancer prostate cells, they succeeded in specifically detecting and imaging the tumor site. However, as their NCs emitted in the visible spectrum, the authors used spectral unmixing algorithms to detect the NC signal in the presence of autofluorescence. Along these lines, Kim et al. [11] intradermally injected near-infrared-emitting NCs in mice and imaged sentinel lymph nodes (SNL) one cm deep in tissue. This work enables for the first time SNL mapping and cancer surgery under image guidance. Metastatic tumor cell extravasations
were monitored in mice by intravenous injection of cells labelled with NC, which were examined by fluorescence emission spectroscopy [47]. More recently, Stroh et al. combined NCs and multiphoton intravital microscopy to distinguish in mice tumor vessels from perivascular cells and extracellular matrix [48]. With this approach, they also investigated the ability of NC-loaded silica beads (100–500 nm diameter) to access the tumor and monitored the trafficking of the precursor cells, a promising technique for cancer prevention and treatment.

So et al. designed recently “self-illuminating” NC conjugates permitting in vivo imaging without an external light source; instead, luciferase on the NC surface transfers its excitation to the NC core in a Bioluminescence resonance energy transfer (BRET) assay [69]. Intramuscular or subcutaneous injection in mice of 5 pmol of polymer-coated NCs conjugated to the Renilla reniformis luciferase was enough to image a BRET emanating from 3 mm depth tissue, after coelenterazine injection for activation. We note that this study is one of the few applications that used NCs as acceptors rather than donors.

7. CYTOTOXICITY

As NCs are increasingly being used as biological photoluminescent probes, in both acute cell assays and chronic, in the entire animal, in vivo, it is important to evaluate if they represent a specific risk of toxicity for the organism under study.

Although probably not classically termed cytotoxicity in a strict sense, one obvious problem resulting from the nanoscopic size of nanoparticles is that NCs can directly affect the biological system under study by impairing the mobility, interaction, binding, or other biological action of the ligand molecule to which they are attached. Hence, any study using NC-conjugated biomolecules must exclude the inhibition of the enzyme, receptor, motor, or other by the NC.

Concerns against the use of semiconductor NCs for cell biological applications go well beyond arguments of steric hindrance. It is well known that Cd\(^{2+}\) can be released from the CdSe core after oxidative attack (corrosion) [70]. Bare CdSe NCs are particularly harmful in this regard [36, 71], limiting their utility for direct-injection strategies. Additional shells (ZnS) and capping (silanization) can reduce Cd\(^{2+}\) leakage, and further purification steps can remove already released Cd\(^{2+}\) [71–73]. In our hands, a supplementary purification step prior to loading NC reduces the toxic action of NCs [74], as measured by a resazurin or cell adhesion assay (Figure 4). Nevertheless, it is important to bear in mind that despite spurious claims of nanoparticles being indiscriminately harmless [57], there is a general consensus that NCs are toxic and that their toxicity depends on their concentration, precise chemical composition, the particle size, colloidal stability, as well as solubilization and functionalization groups. Also, CdSe particles are generally more toxic inside the cell than extracellularly, in line with the known action of Cd\(^{2+}\) by inhibiting protein synthesis, carbohydrate metabolism and—with time—by its accumulation in kidney and liver [75]. At the same time, the undisputable cytotoxic action of Cd nanoparticles has not precluded acute staining experiments of cells, because the concentration of NCs can be always kept low enough to prevent immediate cytotoxic damage within the experimental time window, but still high enough for enough fluorescence [4, 20, 39, 45, 47, 50]. However, because of the ligand desorption over time, a simple ligand exchange functionalization is not effective to durably prevent intracellular NC degradation. Since as much as NCs are intrinsically colloidally unstable and cytotoxic for cells [76], the specific kind of coating is essential for at least regarding the cytotoxic effect [29, 36, 73]. PEG coating can reduce the unspecific uptake of NCs, it reduces their toxic effect for extracellular application at the same initial concentration [29, 71].

For biomedical applications as well as chronic animal experiments, the major healthcare concern of NC labelling is related to the leakage of Cd\(^{2+}\) into the organism, even at low dose. Extracellular application of CdSe particles already presents a cytotoxic risk because Cd\(^{2+}\) does not only block Ca\(^{2+}\) ion channels (like Co\(^{2+}\) as well, which is released from magnetic NCs) but also it permeates through the channel and enters the cytoplasm. We note that the absence of a visible effect, often based on the detection of cell morphology changes and cell viability assays does not exclude a cumulative poisoning of the organism which first impairs the metabolism of the cells, without being immediately noxious. Interestingly, a similar debate has long haunted the evaluation of nonlinear photodamage caused by two-photon fluorescence excitation, where the introduction of rigorous
physiologically relevant criteria based on microscopic observables like the kinetics of Ca²⁺ transients [77, 78] has ended the futile discussion.

In conclusion, more work is needed to critically evaluate the cytotoxicity of NCs, both upon short- and long-term exposure. To better understand the deleterious action of different NCs on the organism under study, standardized samples, experimental conditions, cells, and assays would be a great leap forward and pave the ground for biomedical applications that would additionally benefit from a tight collaboration with toxicologists.

8. CONCLUSIONS

In this review, we focus on nanocrystal applications in vivo, both in cell biology and medical diagnostics, and on the potential toxicity of NCs for biological imaging. The advances in understanding NC colloidal properties together with the ability of developing stable surface chemistries has brought about a large choice of functionalization strategies which now offer to biologists a versatile tool kit for many applications that rely on fluorescence and electron microscopy. The main advantages of NCs over conventional organic fluorophores are the possibility to detect easily single molecules, mostly derived from their superior brightness and the long-term photostability; the spectral tunability and narrow-band emission; and, going along with these, the ease of NC use in multicolour fluorescence. However, nanoparticles are not a cure-all. Particularly Cd-based NCs are potentially cytotoxic, and the modulation of their optical properties (e.g., their intrinsic fluorescence intermittency) through their local chemical environment (see, e.g., [79]) needs to be considered in each application.

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