

Mental performance in 8-year-old children fed reduced protein content formula during the 1st year of life: safety analysis of a randomised clinical trial

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Abstract

In humans, maximum brain development occurs between the third trimester of gestation and 2 years of life. Nutrition during these critical windows of rapid brain development might be essential for later cognitive functioning and behaviour. In the last few years, trends on protein recommendations during infancy and childhood have tended to be lower than that in the past. It remains to be demonstrated that lower protein intakes among healthy infants, a part of being able to reduce obesity risk, is safe in terms of mental performance achievement. Secondary analyses of the EU CHOP, a clinical trial in which infants from five European countries were randomised to be fed a higher or a lower protein content formula during the 1st year of life. Children were assessed at the age of 8 years with a neuropsychological battery of tests that included assessments of memory (visual and verbal), attention (visual, selective, focused and sustained), visual-perceptual integration, processing speed, visual-motor coordination, verbal fluency and comprehension, impulsivity/inhibition, flexibility/shifting, working memory, reasoning, visual-spatial skills and decision making. Internalising, externalising and total behaviour problems were assessed using the Child Behaviour Checklist 4–18. Adjusted analyses considering factors that could influence neurodevelopment, such as parental education level, maternal smoking, child's gestational age at birth and head circumference, showed no differences between feeding groups in any of the assessed neuropsychological domains and behaviour. In summary, herewith we report on the safety of lower protein content in infant formulae (closer to the content of human milk) according to long-term mental performance.

Key words: Protein: Metabolic programming: Mental performance: Infants

In humans, the critical window of brain development is between the third trimester of gestation and 2 years of age⁽¹⁾. Intra-uterine and neonatal insults have long-term detrimental effects on neurodevelopmental outcomes^(2,3), which are not regulated only genetically. It is known that nutrients regulate brain development during gestation and early postnatal life^(4,5). Therefore, early nutrition during these critical windows of rapid brain development might be essential for later cognitive functioning

and behaviour. Nutritional insults and malnutrition in early periods may affect brain cell proliferation and differentiation, synaptogenesis or dendritic arborisation and myelination, processes that are in turn associated with delays in cognitive functions⁽³⁾.

There is compelling evidence relating nutrient supply and nutritional status in early life with mental performance. However, some nutrients appear to have a stronger effect on brain development during gestation and early infancy, such as

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Abbreviations: BF, breast-fed; HP, higher protein; LP, lower protein.

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protein, Fe, Zn, Se, folates⁽⁵⁾, long-chain PUFA⁽⁶⁾ and vitamin D⁽⁷⁾, among others.

Classical models to study the effects of nutrition on later mental performance are nutritional intervention studies in preterm infants, who are within a critical period vulnerable to suboptimal early nutrition in terms of brain development. A clinical trial performed in 360 preterm infants, who were randomised to a preterm enriched formula (higher energy, protein and fat) or a standard term formula, showed that infants who were nutrient supplemented had improved intelligence quotients at 7.5-8 years of life⁽⁸⁾.

Other models relating nutrient supply and nutritional status to later cognitive function were usually observational or interventional studies on under-nourished children in developing countries. Studies providing energy and protein supplementation to under-nourished children showed benefits on their development, suggesting that this may be attributed to energy and protein supplementation rather than to micronutrients^(9,10). A study conducted in Guatemala demonstrated that infants exposed to a protein-energy supplementation (during gestation and the first 2 years of life) scored significantly better in knowledge, numeracy, reading, vocabulary, time-reaction in information-processing tasks during adolescence than those who were not supplemented⁽¹¹⁾. Moreover, when these children were followed-up 20 years later, the authors found a better educational achievement among those who received protein supplementation in infancy compared with those who received energy supplementation⁽¹²⁾.

Regarding well-nourished infants, there are no data from large prospective follow-up studies on the possible effect of different levels of protein intake early in life on later neurodevelopment. In the last few years, trends on protein recommendations during infancy and childhood have tended to be lower than that in the past, as shown by a significant reduction recommended by the last WHO and the FAO Joint Report⁽¹³⁾.

The Childhood Obesity Project, a randomised clinical trial conducted in well-nourished infants from five European countries, showed that lower protein (LP) formula intake during the 1st year of life led to significant lower BMI at 2⁽¹⁴⁾ and 6 years⁽¹⁵⁾ of age. Formulae provided to infants during the 1st year of life were both within the normal ranges recommended by the EU Directive for Infant and the Follow-on Formulae $^{(16)}$. However, variability in normal diets among healthy infants may lead to significantly different protein intakes.

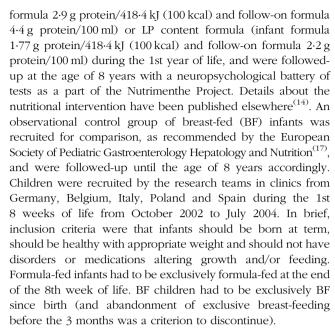
It remains to be demonstrated whether LP intakes (within the normal ranges) among healthy infants, a part of being able to reduce obesity risk, are safe in terms of mental performance achievement.

Our aim was to demonstrate that LP content in infant formulae during the 1st year of life does not affect cognition and behaviour later in childhood compared with higher protein (HP) formulae intake.

Methods

Design

This study was a European multi-centre randomised clinical trial, in which infants were randomised to be fed a HP (infant



The growth of children was assessed until the age of 6 years (15). At 8 years of age, all the participants who had not expressed previously their willingness to not be contacted were approached by telephone to be invited for an additional visit.

Neuropsychological assessment

A neuropsychological battery of tests was carried out in children from the five European countries at the age of 8 years. Table 1 shows the tests used to assess the different domains.

Psychologists from the different countries were trained and provided with Standard Operating Procedures Manuals.

The Recall of Objects Test was used to assess visual memory in short term and long term $^{(18)}$. This test has a reliability of 0.74from 4 to 17 years. In this test, the child was asked to look at a sheet with different pictures for 20 s. The child was then asked to recall the objects immediately (short-term memory) and after 20 min (delayed memory). After this, the child was asked to recognise the drawings in a sheet of paper (recognition). Hits, intrusions and perseverances were recorded at the different trials. Immediate visual memory score (hits 1st part-(intrusions 1st part + perseverances 1st part)) and delayed visual memory score (hits 2nd part-(intrusions 2nd part+perseverances 2nd part)) were calculated.

The Rey Auditory Verbal Learning Test (19) was administered to assess verbal memory. To pass this test, the examiner read lists of words that the child had to remember and repeat (in the short term, long term and as recognition). Hits, intrusions and perseverances were recorded at the different trials. There was a 1st part in which learning and attention was assessed, a 2nd part in which an interference list of words was included and a 3rd and 4th part in which short-term and long-term verbal memory were assessed, respectively. Overall learning achievement, loss after interference and loss after temporal delay were calculated. Total perseverances, intrusions and interferences were summarised. Test-re-test correlation coefficients ranged from 0.36 to 0.68. The Cronbach's α coefficient was $0.80^{(20)}$ The validity of





Table 1. Neuropsychological battery of tests passed at 8 years of age

Domain	Function	Test		
Memory	Visual episodic memory	Recall of Objects Test (short and long-term memory)(18		
•	Verbal memory	Rey Auditory Verbal Learning Test ⁽¹⁹⁾		
Attention	Sustained and focused attention	Continuous Performance Test ⁽²⁰⁾		
	Spatial attention	Cancellation test (Tachar) of Woodcook-Muñoz ⁽²¹⁻²³⁾		
Perception	Visual-perceptual integration	Hooper Visual Organisation Test(24)		
Language	Semantic fluency	Animals – FAS		
	Verbal comprehension	Nepsy's Token Test (NEPSY-II)(25,26)		
Processing speed	Processing speed	Symbol digit modalities test ⁽²⁷⁾		
Motor	Visual-motor coordination	Grooved Pegboard ⁽²⁸⁾		
Executive functions	Impulsivity/inhibition, selective attention	Stroop (1st-3rd condition)(29)		
	Flexibility/shifting	Stroop (4th condition) ⁽²⁹⁾		
	Working memory	Reversal Digits (W-M) ⁽²⁹⁾		
	Reasoning	Kaufman- ABC II Pattern reasoning (30)		
	Selective and focused attention	Children's Colour Trail Test(31)		
	Visual-spatial skills			
	Flexibility/shifting			
	Decision making	Hungry Donkey Task ⁽³²⁾		

this test is supported for its correlation with similar tools from 0.50 to $0.65^{(19)}$.

The Continuous Performance Test (CPT)^(21,22) was used to assess sustained attention. To perform this task, the child was asked to press a computer key every time a yellow *A* appeared on the screen, but not to press the key if any other letter in any other colour appeared (during 11' 39". Hits, commissions and omissions were recorded at every different trial. The median test–re-test correlation of the CPT has been 0·67, suggesting a good level of reliability.

The Cancellation Test^(23–25) was used to assess visual attention and processing speed, asking the child to mark five figures that should be equal to a model in a template that included several models. The child had 3 min to find as many equal figures as possible. Hits, commissions and omissions were recorded. The reliability of the Cancellation test for processing speed was 0.91 and for visual-spatial thinking it was 0.80⁽²⁶⁾.

The Hooper Visual Organisation Test (HVOT)⁽²⁷⁾ was administered to assess visual-perceptual integration. The test consisted of thirty line drawings, each showing a common object that had been cut into several pieces. The pieces were scattered on the page like parts of a puzzle. The child's task was doing a mental puzzle, telling where the object would be if the pieces were put back together correctly. Each recognised object was a hit. The coefficient alpha for the HVOT was 0·882, and the interrater reliabilities ranged from 0·977 to 0·992⁽²⁸⁾.

The Animals test assessed verbal fluency at the semantic level, asking the child to state as many animals' names (hits) as possible.

The Nepsy's Token Test^(29,30) consisted of giving instructions to the child to mark on a figures template in order to assess the verbal comprehension (with each correct mark considered a hit). The validity of the Nepsy's token test has been shown by comparison with many tests such as the Wechsler Intelligence Scale for Children, fourth edition (WISC–IV; Wechsler, 2003)^(29,30).

The Symbol Digit Modalities Test⁽³¹⁾ consisted of asking the child to relate symbols with numbers following a given template with a reference key during a limited time period (90 s).

Hits were recorded. We used this test to assess processing speed. This test has shown a test–re-test reliability of $0.80^{(31)}$.

The Grooved Pegboard Test⁽³²⁾ consisted of asking the child to place pegs in holes as fast as possible. The child needed to ascertain the proper orientation of the peg to place it in the hole; therefore, this task helped determine visual-motor coordination. For each hand, (dominant/non-dominant) three scores were recorded: the total time for completing the test (s), total number of pegs dropped and the total number of pegs correctly placed in the board.

The Stroop Test⁽³³⁾ was used to assess reading fluency, selective attention and impulsivity/inhibition by asking the child (1st) to read as fast as possible the name of colours that appear written in black (hits), (2nd) to state the colour of coloured crosses that were shown (hits) and (3rd) to state the name of the colour that was written but coloured in a different colour (hits). Flexibility/shifting were then estimated through an interference index. The interference measure was calculated by subtracting the average time needed to complete the 1st two subtasks from the time needed to complete the third subtask (Interference = time 3rd task-(time 1st task × time 2nd task))). This test has shown reliabilities between 0.73 and 0.89⁽³³⁾.

The Reversal Digits task (of Woodcook–Muñoz battery of tests) $^{(25)}$ was used to assess Working memory (attention+hearing short-term memory) by asking the child to repeat in inverse order the lists of numbers that the examiner called out. Every correct answer was recorded as a hit. This test has a median reliability of $0.88^{(25)}$.

Reasoning was assessed using the Kaufman-ABC II test⁽³⁴⁾, by showing the child a series of images that formed a logical linear pattern, in which a part of the image was missing. The child should select the missing part from several options. Every correct image chosen was recorded as a hit. This test has shown an internal consistency of 0.89 and a test–re-test reliability of $0.74^{(34)}$.

The Children's Colour Trail Test⁽³⁵⁾ consisted of linking numbers considering its colours including interferences to assess the child's flexibility/shifting; time taken was recorded

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(the faster the child was, the better the performance). Cognitive flexibility was calculated by subtracting the time to perform the 1st part from the time to perform the 2nd part of the test. The interference index was also calculated (Interference index = (time 2nd part-time 1st part)/time 1st part) (higher the interference index, lower the inhibitory control). The temporal test-re-test reliability of this test was modest (from 0.46 to 0.60). The concurrent validity from correlation with other tests ranges from 0.59 to 0.74⁽³⁵⁾.

The Hungry Donkey Task (HDT)(36) was performed to assess the child's decision-making abilities. This was a computer-based test in which a donkey chose from four doors, each with a trend to cost an apple or get rewarded with apples (red or green apples). The objective was to fetch the donkey the maximum number of green apples as possible. The task consisted of four parts in which hits were recorded. The total score was calculated as the sum of hits from the four parts.

Behaviour

The degree of internalising and externalising behavioural problems was assessed by administering the mothers with the Child Behaviour Checklist 4–18 (CBCL)^(37,38). CBCL has a good reliability with internal coefficients ranging from 0.78 to 0.97 on the Syndrome scales and 0.72 to 0.91 on the DSM-Oriented scales (37,38). Z-scores were calculated using the references for societies⁽³⁷⁾.

Perinatal characteristics

At recruitment, perinatal characteristics such as gestational age, mother's age at child birth and delivery type were obtained from hospital records, and data regarding smoking habits (yes/ no), alcohol consumption (yes/no) and stress during pregnancy (yes/no) were obtained from the mothers through a questionnaire at the time of recruitment.

Socio-demographic factors

Nationality (foreign/not foreign) and the mother's marital status (single mother/mother married or living in partnership) were recorded through a questionnaire at recruitment. Information regarding parents' highest educational level was collected from the participants at the time of recruitment and recorded according to the International Standard Classification of Education – ISCED-97⁽³⁹⁾, and classified as low (pre-preliminary and primary level of education), medium (secondary and postsecondary level of education) and high (tertiary level of education).

Ethics

This study was carried out according to the Declaration of Helsinki⁽⁴⁰⁾, and was approved by ethics committees of all the study centres. Parents or legal caregivers signed the written informed consents to participate in the study.

Statistics

As there were socio-cultural differences between countries, we calculated sex and country internal Z-scores for all the tests. All the results are presented as Z-scores. Descriptive results are presented as median and interquartile range; 25th, 75th percentiles.

Differences between LP and HP groups were assessed using the Student t test or the Mann–Whitney U test (as appropriate) after assessing data distribution. To compare the two randomised groups (LP and HP) with the observational group (BF), we used ANOVA or the Kruskal–Wallis test with Bonferroni post boc comparisons (as appropriate).

We performed adjusted analyses using ANCOVA or the Mann-Whitney U test (as appropriate) with correction for multiple testing (Bonferroni post hoc comparisons) to assess differences by feeding groups. Considered confounders were sex, parents' education level (low, medium, high), delivery type (vaginal v. caesarean section), gestational age, child's head circumference at birth (Z-score referred to WHO standards (41). calculated with WHO Anthro software for PC(42) and at 8 years of age (cm), maternal smoking during pregnancy or any other time, mother's age and marital status (single v. married) at the time of child birth and parents' nationality (both parents foreign/not foreign).

Statistical significance was accepted at P < 0.05. The data management and statistical analyses were conducted using IBM SPSS, version 20.0 (IBM Corp.).

Results

A total of 1678 infants were recruited in the five European countries (550 HP, 540 LP and 588 BF) (Fig. 1). The median age at inclusion to formula groups was 14 d (interquartile range: 3-30 d). At 12 months of age, 1099 children still remained in the study. Of the 652 participants included in the study at 8 years of age, 537 (82.4%) (Fig. 1) agreed to be assessed with the neuropsychological battery of tests, which required approximately 2 h of participation.

Children in the formula-fed groups who had withdrawn from the study or did not take part in the neuropsychological assessment at 8 years of age did not differ from the children who took part in some of the baseline characteristics such us birth weight, birth length, gestational age and maternal stress during pregnancy (data not shown).

Children who withdrew from the study had bigger head circumference at birth (34·3 (sp 1·3) v. 34·1 (sp 1·4), P = 0.039) and younger mothers (29.3 (sp 5.4) v. 30.9 (sp 4.8), P < 0.001) compared with children in the study at 8 years of age, but leaving the study did not interact with the study formula. Children who participated in the study were born more frequently by caesarean section (28.5 v. 19.8 %, P = 0.001), had foreign parents more frequently (8.0 v. 6.7 %, P < 0.001), had fewer parents with low education level (14.5 v. 26.1%, P < 0.001), had single mothers less frequently (7.7 v. 4.5%, P=0.030), had mothers who smoked less frequently until the 12th week of gestation (24.4 v. 36.9 %, P < 0.001) and beyond (21.9 v. 31.5%, P=0.001) and had mothers who





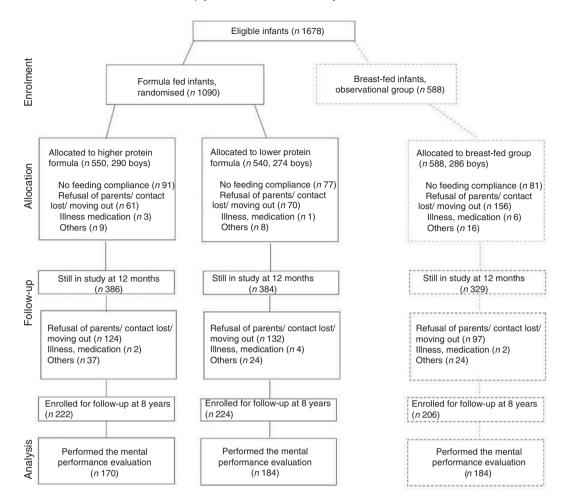


Fig. 1. Flow chart of participants from inclusion up to 8 years of age.

drank alcohol during pregnancy more frequently (25.4 v. 16·2 %, *P* < 0·001).

Table 2 shows the perinatal baseline characteristics of the study sample by feeding group at 8 years of age. Children fed the LP and HP formulae had similar baseline characteristics at inclusion, as there were no differences in anthropometrical, socio-demographic characteristics, gestational age and mother's age at child birth between them. Children fed the LP formula were born in higher proportion by caesarean section (P=0.020)and their mothers smoked more frequently (P=0.009, for tobacco before and beyond 12th week of gestation) compared with infants fed the HP formula.

BF infants significantly differed from formula-fed infants in several baseline characteristics such as gestational age, delivery type, maternal education, maternal age and maternal smoking habits (Table 2).

Figure 2 shows the main results for LP- and HP-fed children for all the assessed domains (Z-scores). There were no statistically significant differences between feeding groups in any of the assessed domains such as visual memory (neither immediate nor delayed), verbal memory (both in the short and the long term as well as immediate and delayed free recall), visual attention, sustained attention, visual-perceptual

integration, processing speed, visual-motor coordination, verbal comprehension, verbal fluency, impulsivity/inhibition, selective attention, flexibility/shifting, working memory, reasoning, selective and focused attention, visual-spatial skills, decision making and any of the behaviour scales assessed with CBCL (internalising problems, externalising problems or total problems).

We performed adjusted analyses, considering factors that could influence neurodevelopment, such as parental education level and nationality, mother's age and marital status, maternal smoking, child's gestational age at birth, delivery type and head circumference at birth and at 8 years of age. These adjusted analyses confirmed no differences in any of the neuropsychological domains and behaviour between 8-year-old children fed the higher or the LP formula during the 1st year of life.

Unadjusted analyses comparing children who had been BF for at least 3 months showed similar behaviour and performance in all the domains compared with the HP and LP children (data not shown), except for the HDT (decision making), which was worse in the BF group (0.123 (95% CI -0.839, 0.512)) than in the HP group (0.320 (95 % CI -0.308, 0.621)) (P = 0.048). Adjusted analyses including the confounders mentioned above discarded any significant difference.



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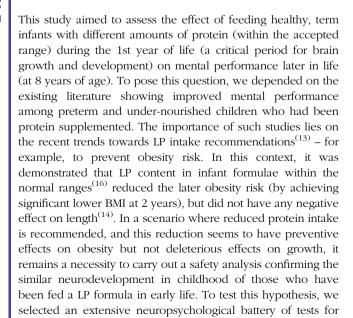
Table 2. Anthropometric baseline characteristics of the children assessed with the neuropsychological battery of tests at 8 years of age (Mean values and standard deviations; frequencies and percentages)

	Higher protein formula		Lower protein formula		Breast-fed		
	n	%	n	%	n	%	
N	170		184		184*		
Boys/girls (n)	90/	90/80		87/97		79/105	
Delivery							
Delivery type (% vaginal/% caesarean)	77-4/22-6		66.1/33.9*		85·9/14·1* ^{,b}		
Gestational age (week of birth)							
Mean	39.8		39.7		40·0* ^{,a}		
SD	1.	3	1.2		1.1		
Mother's age at child's birth (years)							
Mean	30.9		30.8		31·5* ^{,a}		
SD	4.7		4.9		4.2		
Anthropometry							
Birth weight (g)	3278	347	3245	313	3319	355 ^a	
Birth length (cm)	50⋅5	2.7	50⋅5	2.6	51.0	2.6	
Head circumference (cm)	34.1	1.4	34.1	1.3	34.2	1.3	
Maternal habits (% no/% yes)							
Smoked ≤ 12 weeks gestation (% no/% yes)	81.8/18.2		69.8/30.2†		93·5/6·5†, ^b		
Smoked > 12 weeks gestation	84-1/15-9		72.5/27.5†		96·2/3·8‡, ^b		
Alcohol during pregnancy	74-2/25-8		74-9/25-1		76.5/23.5		
Stress during pregnancy	85-8/14-2		80-3/19-7		85.9/14.1		
Socio-demographic characteristics							
Mother's education level							
Low	32‡	18-8	44‡	24.3	12‡	6.5	
Middle	92‡	54-1	97‡	53-6	82‡	44.6	
High	46‡	27.1	40‡	22.1	90‡	48-9	
Father's education level							
Low	46‡	27.2	53‡	29.37	18‡	9.8	
Middle	94‡	55.6	88‡	48-6	76‡	41.3	
High	29‡	17-2	40‡	22.1	90‡	48.9	
Parents' highest education level							
Low	22‡	12.9	29‡	15.9	7‡	3.4	
Medium	91‡	53.5	98‡	53.8	76‡	36.9	
High	57 ‡	33.5	55‡	30.2	123‡	59.7	
Both parents foreigner (no/yes (%))	99.4/0.6		98-9/1-1		98.9/1.1		
Single mother (no/yes (%))	97.1	/2.9	94.0	0/6-0	98-4/	1.6 ^a	

HP, higher protein; LP, lower protein.

P<0.05, † P<0.01, ‡ P<0.001 v. HP; aP<0.05, bP<0.001 v. LP.

Discussion



different domains and functions rather than a test assessing overall ability (i.e. intelligence quotient). In nutrition studies, one particular domain may be affected differently than others at different ages, and an overall ability test may not detect these differential patterns (43,44).

The results from this randomised clinical trial show no significant differences between 8-year-old children who had been fed the lower and the HP content formula during the 1st year of life in memory (verbal and visual), attention (spatial, focused and sustained), perception, language (fluency and comprehension), processing speed, motor coordination and executive functions (shifting/flexibility), inhibition/impulsivity, working memory, reasoning, selective and focused attention, visual-spatial skills or decision making, confirming the safety of the LP content formula.

Previous studies reporting different mental performances in children fed HP intakes (3,8,9,11,12) had been undertaken in special populations with special needs, but not in in-term, wellnourished children.

We did not find any difference in mental performance between the formula-fed groups and the BF group. Taking into account that BF children are considered as a gold standard of





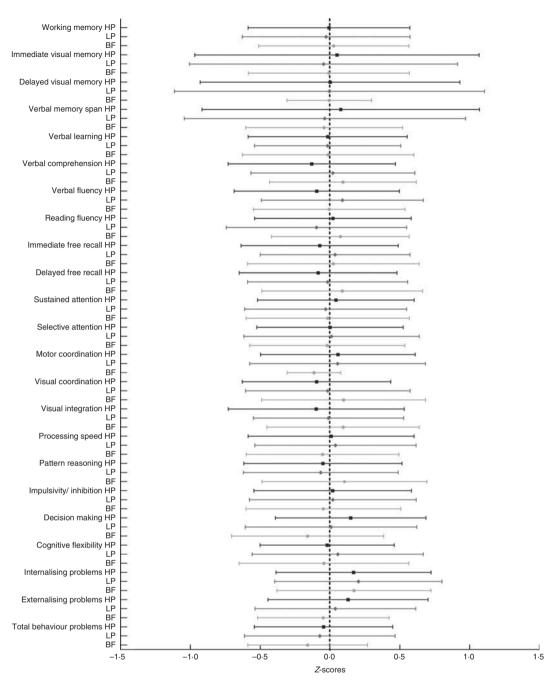


Fig. 2. Neuropsychological assessment results of children fed the lower and the higher protein formulae and breast-fed (mean (2 sp)). Effect size estimates are reported as online Supplementary material. BF, breast-fed group; HP, higher protein formula group; LP, lower protein formula group; attention; there were no differences between feeding groups in any of the assessed domains in adjusted models.

neurodevelopment, these results may indicate that lowering or increasing protein intake in healthy well-nourished infants during the 1st year of life may not produce any deleterious effect on later mental performance.

There is a large number of publications relating breast-feeding and cognitive development; however, such an association is weaker after adjusting for confounders such as maternal intelligence quotient (45). We hypothesise that our results are not fully consistent with some previously published because the heterogeneous duration of breast-feeding in our study sample, which could be no longer than 3 months. This

issue may be of importance, as it has demonstrated a durationdependent effect on brain structure development (46,47).

A limitation of our study might be the high attrition rate up to the age of 8 years, and the differences found between feeding groups in baseline characteristics (that could be modulators of mental performance). However, we performed covariate analyses including all the possible modulators (such as education level, delivery type or smoking during pregnancy) to adjust the possible effect of feeding mode by these factors and we observed the same results: no effect of protein intake on later cognition and behaviour.



The main strengths of our study are the randomised clinical trial design, the large multi-European country sample and the extensive neuropsychological battery of tests used to assess different domains and functions.

In summary, herewith we report on the safety of LP content in infant formulae (closer to the content of human milk) according to long-term mental performance.

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The authors' responsibilities were as follows: J. E. and V. L. contributed equally as first authors of the final version; R.C.-M., J. E., B. K., E. R. and D. G. conceived and designed the research; V. L., E. V., K. S., N. F. and G. B. acquired data; M. W. and V. G. managed data and advised the statistical analyses; V. L. performed the statistical analyses and drafted the article; and F. C. and J. E. revised the article for important intellectual content; all the authors read and gave their final approval of the version to be published.

There are no conflicts of interest.

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Supplementary Material

For supplementary material/s referred to in this article, please visit http://dx.doi.org/10.1017/S0007114515000768

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Appendix: European Childhood Obesity Project Group

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