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5 **Human milk lipids**

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21 **Abstract:**

22 Human milk lipids provide the infant with energy and essential vitamins, polyunsaturated fatty
23 acids, and bioactive components. Adding complex lipids and milk fat globule membranes to
24 vegetable oil based infant formula indicate the potential to enhance infant development and
25 reduce infections. Cholesterol provision with breastfeeding modulates infant sterol
26 metabolism and may induce long-term benefits. Some 98-99 %of milk lipids are comprised
27 by triacylglycerols, whose properties depend on incorporated fatty acids. Attention has been
28 devoted to the the roles of the long-chain polyunsaturated fatty acids docosahexaenoic
29 (DHA) and arachidonic (ARA) acids. Recent studies on gene-diet interaction (Mendelian
30 randomisation) show than breastfeeding providing DHA and ARA improves cognitive
31 development and reduces asthma risk at school age particularly in those children with a
32 genetically determined lower activity of DHA and ARA synthesis. It appears prudent to follow
33 the biological model of human milk in the design of infant formula as far as feasible, unless
34 conclusive evidence for the suitability and safety of other choices is available. The recent
35 European Union legislative stipulation of a high formula DHA content without required ARA
36 deviates from this concept, and such a novl formula composition has not been adequately
37 evaluated. Great future opportunities arise with significant methodological progress for
38 example in lipidomic analyses and their bioinformatic evaluation, which should enhance
39 understanding of the biology of human milk lipids. Such knowledge might lead to improved
40 dietary advice to lactating mothers, as well as to further opportunities to enhance infant
41 formula composition.

42 **Key words:** Breastfeeding, milk fat globule membranes, phospholipids, sphngomyelins,
43 gangliosides, arachidonic acid, docosahexaenoic acid,

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46 Lipids are a major source of energy provided with human milk to the infant (1, 2), but also
47 provide essential nutrients such as polyunsaturated fatty acids (PUFA) and lipid soluble
48 vitamins. Many studies have demonstrated important biological effects of the milk lipids
49 provided to the recipient infant, for example on gastrointestinal function, lipid and lipoprotein
50 metabolism, membrane composition and function, infant growth, neurodevelopment and
51 immune function (3).

52 Human milk lipids provide a major portion of the total energy intake in young infants, with a
53 mean 44 % of the energy supply (4) (Figure 1). The average intake of human milk lipids in
54 fully breastfed infants amounts to 21.42 g/day between birth and 6 months of age (4). This
55 results in an impressive 3.9 kg of human lipid supplied during the first half year of life to fully
56 breastfed infants, equivalent to some 35 000 kcal provided by human milk lipids alone during
57 the first six months of life. While the mean lipid content in human milk is relatively stable
58 during the course of the first months of lactation, there is very wide inter-individual and intra-
59 individual variation of milk fat concentrations (table 2) (4-6). In fact, among the
60 macronutrients in milk, fat shows the most variable concentration. For example, in mature
61 milk samples collected at the infant age of 2 months, we find a coefficient of variation of 37.3
62 % for milk fat but only of 14.4 % for lactose and 12.9 % for protein (4). Milk fat content tends
63 to increase with longer duration of breastfeeding and varies during the course of a day (1, 6).
64 Milk fat concentration increases with an increasing time interval to the preceding milk
65 expression from the same breast, and it increases with maternal fat deposition in pregnancy
66 indicated by the degree of gestational weight gain (7). Milk fat increases during the course of
67 each breastfeeding meal, with markedly higher milk fat contents in hind milk (at the end of
68 feeding) than in foremilk (at the beginning of the feed) (Figure 2) (8). This may be of
69 biological benefit in that infants will initially get milk rich in the essential water soluble
70 substrates, whereas those that are hungrier and drink more milk obtain a milk with an
71 increasing fat and energy content to satisfy their caloric needs. Of interest, the increase of
72 milk fat content during the meal is accompanied with a marked increase in the mean size of
73 milk fat globule. Thereby, hindmilk has a higher ratio of triglycerides in the core of the milk fat
74 globule (providing energy) to the surface membranes (rich in phospholipids, complex lipids
75 and essential long-chain polyunsaturated fatty acids, LC-PUFA).

76

77 **Milk fat globules and complex lipids**

78 Milk can be characterized as an emulsion of milk fat globules in an aqueous liquid. Milk fat
79 globules with markedly variable sizes are formed in the mammary alveolar cells and contain
80 a core of nonpolar lipids comprised primarily of triacylglycerols, with added small amounts of
81 monoglycerides, diglycerides and non-esterified fatty acids. These non-polar lipids are

82 formed in the endoplasmatic reticulum from fatty acids obtained from the maternal
83 circulation, as well primarily intermediate chain fatty acids with 12 and 14 carbon atoms
84 synthesized from acetyl-CoA. Upon the secretion from the endoplasmatic reticulum of
85 mammary epithelial cells into the cytosol, this triglyceride-rich core is covered by an inner
86 membrane derived from the endoplasmatic reticulum consisting of a monolayer primarily of
87 phosphatidylethanolamine, phosphatidylserine, phosphatidylinositol and cholesterol. When
88 these lipids droplets are further excreted from mammary epithelial cells into the alveolar
89 space, they are covered by a piece of the apical plasma membrane, which results in the
90 addition of another phospholipid bilayer and hence a phospholipid trilayer, and the other
91 components of the mammary epithelial cell membrane such as membrane proteins and
92 glycoproteins (Figure 3). This outer layer of the milk fat globule membrane (MFGM) consists
93 of a bilayer of amphipatic lipids, primarily phosphatidylcholine, sphingomyelin and
94 cholesterol, as well cerebrosides, gangliosides, glycosylated proteins and polypeptides,
95 filaments, mucins, lactadherin, butyrophilin and others, and hence MFGM contain a high
96 density of bioactive components (9).

97 Phospholipids, plasmalogens and sphingolipids including ceramides and gangliosides
98 provide about 0.2 to 1% of total milk lipids or about 100 to 400 mg/L (2). The concentration of
99 different phospholipids per 100 g milk were reported as 8.5 mg sphingomyelin, 6.8 mg
100 phosphatidylethanolamine, 6.0 mg phosphatidylcholine, 1.4 mg phosphatidylserine and 1.1
101 mg/100 g for phosphatidylinositol (10). Phospholipids serve structural roles as indispensable
102 components of all plasma membranes of body cells and organelles, and they have an impact
103 on membrane functions and metabolism. Complex lipids also have roles in signal
104 transmission and cell recognition (2, 3). Gangliosides contribute some 10% of brain lipids,
105 with high concentrations in the cerebral cortex.

106 The biological importance of MFGM is getting increased attention after several controlled
107 trials reported benefits of adding bovine MFGM of complex lipid fractions to infant formula
108 with fat derived predominantly from vegetable oil. A trial on formula enriched with
109 sphingomyelin in preterm infants reported neurobehavioural benefits (11). In a small trial in
110 Indonesia, the addition of a ganglioside-rich bovine milk lipid fraction was reported to improve
111 the hand and eye coordination IQ, performance IQ and total IQ assessed with the Griffiths
112 Mental Developmental Scale at age 24 weeks (12). Another trial providing a milk formula with
113 addition of a similar preparation for 12 weeks enrolled 450 infants aged 8-24 months in India
114 and reported no difference for rotavirus or for all-cause diarrhoea. In a large study that
115 enrolled more than 500 Peruvian infants, MFGM supplemented formula did not affect
116 diarrhea incidence but reduced longitudinal diarrhea prevalence (13). A larger trial that
117 enrolled more than 250 toddlers aged 2.5-6 years in Belgium reported that a milk preparation
118 enriched with a phospholipid-rich lipid fraction resulted in less days with fewer and lower

119 parental scoring of internal, external and total behavioural problems (14). A further trial
120 enrolled 160 formula fed infants in Sweden as well as a breastfed reference group and
121 evaluated effects of added bovine MFGM, along with reduced formula contents of energy
122 and protein. The MFGM group achieved higher cognition scores in the Bayley test at age one
123 year (Figure 4), and showed a much lower incidence of acute otitis media, and less use of
124 antipyretic drugs (15, 16). These observations lead to the conclusion that MFGM and/or the
125 complex lipids provided with the MFGM fraction may have important biological roles for the
126 development of nervous immune functions.

127

128 **Cholesterol**

129 Milk fat globule lipids also provide considerable amounts of free and esterified cholesterol,
130 resulting in a total cholesterol content of 90 to 150 mg/L in human milk, in contrast to typically
131 only 0-4 mg/L in infant formula. Cholesterol is an indispensable building block for all cell
132 membranes and is incorporated in considerable amounts into myelin in nervous system
133 during the period of rapid brain growth, and it serves as the substrate for the synthesis of bile
134 acids, lipoproteins, vitamin D, hormones and oxysterols that modulate cholesterol, lipid and
135 glucose homeostasis (3, 9, 17-19). The provision of cholesterol with breastfeeding is
136 associated with higher plasma concentrations of total low density lipoprotein cholesterol in
137 breastfed than in formula fed infants (20). The provision of preformed cholesterol most likely
138 is the cause for the about threefold lower endogenous cholesterol synthesis rate in breastfed
139 than formula fed infants, since the synthesis rate is inversely correlated to the daily
140 cholesterol supply in mg/kg bodyweight (21). In formula fed piglets, dietary cholesterol supply
141 downregulated hepatic hydroxymethyl glutaryl coenzyme A reductase, the rate regulating
142 enzyme for endogenous cholesterol synthesis (22). In human infants aged 4 months, the rate
143 of endogenous cholesterol synthesis also appeared to be regulated by dietary cholesterol
144 supply. Breastfed infants with a high cholesterol and low phytoestrogen intake had the lowest
145 fractional synthesis rate, while infants receiving cows' milk-based formula with low
146 cholesterol and low phytoestrogen had an intermediate rate, and infants fed soy-based
147 formula with no cholesterol and high phytoestrogen had the highest rate of synthesis (23).
148 When cholesterol was added to soy-based infant formula, the rate of synthesis was changed
149 to similar results as in infants fed cows' milk-based formula, which leads to the conclusion
150 that the amount of dietary cholesterol supply regulates cholesterol synthesis in infants.
151 Lasting effects of early feeding on later cholesterol levels were reported in several studies
152 and reviewed in meta-analyses. A rather modest lowering of total and low density lipoprotein
153 cholesterol was found in adults who had been breastfed in infancy, compared to previously
154 formula fed people, with a greater effect size of exclusive than of partial breastfeeding (24,

155 25). It was proposed that 30 % of infants are exclusively breastfed resulting in a blood
156 cholesterol reduction in adulthood by 0.15 mmol/L, the population prevalence of
157 cardiovascular disease could be reduced by as much as 5% (25). However, Ip et al noted
158 that the analysis reporting reduced serum lipid levels in adults previously breastfed did not
159 segregate the data according to gender and did not explicitly analyse potential confounders;
160 and they concluded that in view of the limited methodological quality of the meta-analysis the
161 relationship between breastfeeding and adult cholesterol levels cannot be correctly
162 characterized (26). Meta-analyses of available data do not allow definitive conclusions can
163 regarding the relationship between breastfeeding and on all-cause mortality from
164 cardiovascular diseases in adult life, although the confidence limits around the point
165 estimates and the observed between-study heterogeneity do not exclude potential beneficial
166 or adverse cardiovascular effects of breastfeeding (26, 27). Therefore, it appears particularly
167 promising to evaluate the short- and long-term effects of addition of well bioavailable
168 preparations of cholesterol to infant formula in randomized controlled trials, which may shed
169 further light on the potential biological importance of a dietary cholesterol supply in infancy.

170

171 **Fatty acids provided with milk lipids**

172 Triacylglycerols contribute some 98-99 % of human milk fat. The properties of milk
173 triglycerides are very much influenced by their fatty acid composition. Milk lipids of European
174 women today typically contain some 35-40 % saturated fatty acids, 45-50 %
175 monounsaturated fatty acids and approximately 15 % PUFA (Table 1). The saturated palmitic
176 acid (C16:0) provides approximately 25% of all milk fatty acids and hence the major part of
177 the total saturated fatty acid content. About 70% of human milk palmitic acid is esterified in
178 the middle position (sn-2 position) of triacylglycerols which facilitates absorption. During
179 intestinal digestion, fatty acids in the sn-1 and sn-2 positions are liberated as non-esterified
180 fatty acids by pancreatic lipases. These non-esterified fatty acids are quite well absorbed if
181 they are unsaturated and hence better water soluble. In contrast, liberated long-chain
182 saturated fatty acids such as palmitic acid are poorly water soluble and poorly absorbed, but
183 rather bind to calcium and form calcium soaps that are excreted with stools, thereby reducing
184 both fat and calcium absorption. However, if palmitic acid is esterified in the sn-2 position, as
185 it is predominantly the case in human milk lipids, pancreatic lipolysis yields a palmitoyl-
186 monoglycerol which is well water soluble and well absorbed, thereby reducing fat and
187 calcium malabsorption (28).

188 The human milk contents of the mono-unsaturated fatty acid oleic acid (C18:1n-9) and of the
189 essential PUFA linoleic acid (C18:2n-6) and α -linolenic acid (C18:3n-3) vary with the
190 maternal dietary intake of these fatty acids. This is illustrated by the approximately 3fold

191 increase of linoleic acid content in mature human milk in the USA since the mid 1940ies,
192 along with the increase of dietary vegetable oil and linoleic acid consumption in the
193 population, whereas alpha-linolenic acid contents have remained rather constant (Figure 5)
194 (29). Thereby the average ratio of the omega-6 linoleic acid to the omega-3 α -linolenic acid in
195 human milk has also increased approximately 3 fold. We studied the transfer of linoleic acid
196 provided to lactating women into their milk using stable isotope labelled fatty acids. An oral
197 dose of 1 mg/kg bodyweight of linoleic acid uniformly labelled with the stable carbon isotope
198 ^{13}C was provided repeatedly during the 2nd, 6th, and 12th week of lactation (30). Before
199 and at several times during a 5-day period after tracer intake, samples of breath and milk
200 were collected, the volume of daily milk production was assessed, and dietary nutrient
201 intakes were calculated from prospective dietary protocols. Some 3.5-4.5 % of the ingested
202 linoleic acid was oxidized to CO_2 and exhaled with breath, with no significant differences
203 between the studied time points. Dietary linoleic acid was rapidly transferred into milk, with a
204 peak enrichment reached about 12 hours after intake (Figure 6). Linoleic transfer into milk in
205 unchanged form or as its metabolites did not change during the course of lactation. The data
206 indicate that about 30% of milk linoleic acid is derived directly from dietary intake, whereas
207 about 70% originates from maternal body fat stores. It is tempting to speculate that this
208 largely indirect transfer of dietary linoleic via intermediate body stores may represent a
209 biological benefit to the breastfed infant, since this mechanisms buffers short term variation
210 of maternal dietary supply of the parent essential fatty acid and provides the infant with a
211 relatively stable parent essential fatty acid supply. However, long-term changes in dietary
212 supply will also modify maternal body fat stores and thereby explain the observed marked
213 changes over time (Figure 5). Only about 11% of the milk content of the linoleic acid
214 metabolite dihomo-gamma-linolenic acid ($\text{C}_{20:3\text{n}-6}$) in milk originates from direct
215 endogenous conversion of maternal dietary linoleic acid, while of the milk arachidonic acid
216 ($\text{C}_{20:4\text{n}-6}$) only 1.2% is directly derived from maternal linoleic acid intake (30).

217

218 **Long-chain polyunsaturated fatty acids**

219 The provision of the long-chain polyunsaturated fatty acid metabolites (LC-PUFA) with milk,
220 in particular of omega-6 arachidonic acid (ARA) and omega-3 docosahexaenoic acid (DHA)
221 has received considerable attention, because many of the biological effects of the essential
222 fatty acids in early life appear to be mediated by LC-PUFA rather than the precursor
223 essential fatty acids. Brenna et al. performed a systematic review on 106 studies of human
224 breast milk worldwide and culled to include only studies that used modern analysis methods
225 capable of making accurate estimates of fatty acid contents, as well as criteria related to the
226 completeness of reporting (31). The final analysis included 65 studies with milk of 2474

227 women. The authors found a milk ARA content of 0.47 ± 0.13 % (mean \pm SD, % wt./wt.),
228 whereas milk DHA content was lower at 0.32 ± 0.22 % (31). Higher milk DHA contents were
229 found in coastal populations and those with regular marine food consumption. The greater
230 stability of milk ARA levels with a coefficient of variation (CV) of only 29%, as compared to
231 DHA with a CV of 69%, appears to reflect a greater degree of metabolic regulation of milk
232 ARA content. Stable isotope studies have led us to the conclusion that 90% of human milk
233 AA are not derived directly from absorbed dietary lipids but rather from maternal ARA body
234 stores (32). In contrast, dietary DHA supply is a key determinant of milk DHA content. We
235 showed that the dietary DHA intake is linearly correlated to milk DHA (33) (Figure 6).
236 Breastfeeding women need to achieve a daily DHA intake of at least 200 mg to provide a
237 milk with a DHA content of at least 0.3%, which is required for a fully breastfed infant to
238 obtain the daily supply of about 100 mg DHA/day considered desirable to meet metabolic
239 needs (34). Given that the regulation of human milk ARA and DHA content differs, milk DHA
240 and ARA are not closely correlated ($r=0.25$, $P=0.02$) (31), and the ARA/DHA-ratio is not
241 constant. It remains controversial whether the ratio of ARA to DHA in milk - or rather the
242 amounts of DHA and of ARA supplied - are of greater relevance for biological effects in the
243 infant. A balanced supply of both ARA and DHA appears to be relevant for the adequate
244 incorporation of ARA and DHA into the growing brain (35).

245 In view of the marked accretion of ARA and DHA in the growing brain and the ample
246 experimental evidence of the impact of LC-PUFA on membrane function, eicosanoid and
247 docosanoid formation and the resulting regulation of physiological processes, as well as the
248 development and function of neural and immune tissues, the impact of LC-PUFA provision
249 with human milk and also with infant formula has received considerable interest.

250 The provision of DHA was shown to enhance the early development of visual acuity. The
251 European Food Safety Authority (EFSA) concluded that a cause and effect relationship has
252 been established between the intake of infant and follow-on formula supplemented with DHA
253 at levels around 0.3% of total fatty acids and visual function at 12 months in formula-fed
254 infants born at term from birth up to 12 months and in breastfed infants after weaning up to
255 12 months (36). However, some controversy remains with regards to the effects of the supply
256 of preformed LC-PUFA on neurodevelopment of healthy term infants. For example, the
257 authors of a meta-analysis on randomized trials evaluating infant formula with LC-PUFA
258 compared to formula without LC-PUFA concluded that while some studies showed a
259 significant benefit, overall no significant effect was detectable (37, 38). The authors noted the
260 limitation of their conclusions by a large degree of heterogeneity of the included studies,
261 which provided markedly different interventions and also used a variety of very different
262 outcomes and approaches to outcomes assessment. Of importance, the included studies did
263 not adjust for the major impact of genetic variation modulating the rate of endogenous

264 synthesis of LC-PUFA and related clinical endpoints, in particular variation in the *Fatty Acid*
265 *Desaturase (FADS)* gene cluster (39, 40). The lack of adjusting for this major modulating
266 confounding factor in the included studies may considerably reduce the sensitivity to detect
267 effects of dietary LC-PUFA effects. The comparison of breastfed infants provided with
268 preformed LC-PUFA with infants fed formula without LC-PUFA in observational studies is
269 also difficult to interpret, because human milk LC-PUFA and particularly DHA supply are
270 closely associated with different dietary and lifestyle choices, including maternal smoking and
271 parental socioeconomic status, which may also influence neurodevelopmental outcomes.

272 Further insight into PUFA effects are offered by considering the interaction of breastfeeding
273 which always supplies preformed LC-PUFA, and the genetic variation in the *FADS* gene
274 cluster that predicts the enzyme activities of fatty acid desaturases 1 and 2. Gene variants of
275 the *FADS* gene cluster have a major impact on the fatty acid composition of blood, tissues
276 and human milk (39-41). We assessed the single nucleotide polymorphisms in the *FADS*
277 genes along with human milk fatty acid composition in 772 breastfeeding mothers who
278 participated in the prospective Ulm Birth Cohort study both at 1.5 months after infant birth,
279 and also at 6 months postpartum in a subset of 463 mothers who were still breastfeeding at
280 this time (42). At both time points, we found significant associations of *FADS* genotype with
281 milk ARA contents and the ratio of ARA to di-homo-gamma-linolenic acid, indicating that
282 maternal *FADS* genotypes impact on the formation of LC-PUFA provided with breastmilk
283 (42). The variation of *FADS* genotypes was shown to also modulate the interaction of
284 breastfeeding and cognitive development. Genotyping for the rs174575 variant in the *FADS2*
285 gene was performed in 5934 children participating in the ALSPAC study in whom IQ tests
286 had been performed at the age of about 8 years (43). In line with other observational studies,
287 previously breastfed children had higher IQ scores than previously formula fed children, but
288 the relative impact of human milk nutrient supply and of confounding factors associated with
289 breastfeeding cannot be easily deciphered from these observational data alone. Causal
290 inferences on the role of human milk LC-PUFA supply can be drawn from the fact that the
291 beneficial effect of breastfeeding was much more pronounced, with an added advantage of
292 about 4.5 IQ points, in the group of children with a genotype predicting a low ability of LC-
293 PUFA synthesis (43). Replication of these findings was published with the analysis of data
294 from two Spanish birth cohort studies (44). Since the genotype is considered to be distributed
295 in the population at random ("Mendelian randomisation") and unrelated to the parental
296 decision to breastfeed and to other related lifestyle predictors of IQ at school age, these data
297 provide powerful evidence for causality between early LC-PUFA supply and status during the
298 breastfeeding period and later IQ achievements.

299 The relevance of LC-PUFA supply for child neurodevelopment was also demonstrated in a
300 randomized clinical trial that enrolled 119 breastfeeding women in Texas, USA (45). The

301 women were assigned to receive identical capsules containing either a high-DHA algal oil
302 providing approximately 200 mg DHA daily or a vegetable oil without DHA from delivery until
303 4 months after birth. Provision of DHA to the mother increased DHA in milk by about 70%,
304 and in infant plasma phospholipids by about 20% (45). At the age of 30 months, child
305 psychomotor development was significantly better if mothers had received added DHA
306 during the first 4 months of breastfeeding. At the age of 5 years, there were no differences in
307 visual function, but children whose mothers had received added DHA performed significantly
308 better on the Sustained Attention Subscale of the Leiter International Performance Scale
309 (46.5 ± 8.9 vs 41.9 ± 9.3 , $P < 0.008$). These results support the conclusion that the DHA supply
310 during early infancy is of importance for specific aspects of neurodevelopment.

311 Mendelian randomisation also provided strong support for the conclusion that the LC-PUFA
312 supply with breastfeeding is causally linked to protection against later manifestation of
313 bronchial asthma. Many studies have reported a protective effect of breastfeeding on asthma
314 development, even though results are not consistent (26). We evaluated the influence of the
315 *FADS1 FADS2* gene cluster polymorphisms on the association between BF and asthma in
316 2245 children participating in two prospective German birth cohort studies, the GINI and
317 LISA studies (46). Logistic regression modelling was used to analyse the association
318 between exclusive breastfeeding and doctor's diagnosed asthma occurring up to the age of
319 10 years, stratified by genotype. In the stratified analyses, heterozygous and homozygous
320 carriers of the minor allele that show a low activity of LC-PUFA synthesis had a much
321 reduced risk for later asthma if they were breastfed for 3 or 4 months and hence were
322 provided with preformed LC-PUFA that can compensate for low endogenous synthesis
323 [adjusted odds ratio between 0.37 (95% CI: 0.18-0.80) and 0.42 (95% CI: 0.20-0.88)].
324 Interaction terms of breastfeeding with genotype were significant and ranged from -1.17 (P-
325 value: 0.015) to -1.33 (0.0066). Similarly, heterozygous and homozygous carriers of the
326 minor allele who were exclusively breastfed for 5 or 6 months after birth had a reduced risk of
327 asthma [0.32 (0.18-0.57) to 0.47 (0.27-0.81)] in the stratified analyses. In contrast, in
328 individuals carrying the homozygous major allele predicting a greater degree of endogenous
329 LC-PUFA formation, breastfeeding with provision of LC-PUFA showed no significant effect
330 on asthma development. These results of a Mendelian randomisation study demonstrate a
331 lasting causal protection of breastfeeding for at least 3 months against doctor's diagnosed
332 asthma until school age in children with a low rate of LC-PUFA synthesis and a modulating
333 effect of postnatal PUFA status.

334 A systematic review on human studies on roles of LC-PUFA and an expert workshop that
335 reviewed the information and developed recommendations was recently performed with
336 support from the Early Nutrition Academy (34). It was concluded that breastfeeding women
337 should get ≥ 200 mg DHA/d to achieve a human milk DHA content of at least $\approx 0.3\%$ of fatty

338 acids. Infant formula for term infants should contain DHA and AA to provide 100 mg DHA/d
339 and 140 mg AA/d, and a supply of 100 mg DHA/d should continue during the second half of
340 infancy. No quantitative advice on AA levels in follow-on formula fed after the introduction of
341 complimentary feeding was provided due to lack of sufficient data and considerable variation
342 in AA amounts provided with complimentary foods.

343

344 **Should infant formula LC-PUFA composition be guided by human milk composition?**

345 With regards to infant and follow-on formula, the recent revision of the European legislation
346 that came into force in 2016 stipulates that all infant and follow-on formula must contain
347 between 20 and 50 mg DHA/100 kcal (approximately 0.5-1 % of fatty acids), whereas
348 formula without DHA content will not be allowed any more to be placed on the European
349 Union market once this legislation is implemented (47). To the great surprise of many
350 paediatricians and of experts in the field, no requirement for a minimum content of
351 arachidonic acid in infant formula has been defined. This legal regulation is based on advice
352 provided by the European Food Safety Authority that reviewed a variety of aspects and
353 nutrients, including also the LC-PUFA DHA and ARA. In a first report on nutrient
354 requirements and dietary intakes of infants and young children published in 2013, adequate
355 LC-PUFA intakes were defined as 100 mg DHA/day and 140 mg ARA/day from birth to the
356 age of 6 months, while 100 mg DHA/day were considered adequate from 6 to 24 months
357 (48). These conclusions are in line with many other scientific reports, including the recent
358 recommendations of the Early Nutrition Academy supported global expert group that are
359 based on a systematic review of the available scientific evidence (34). In contrast, the
360 subsequently published EFSA report on the compositional requirements of infant and follow-
361 on formula advised that all infant and follow-on formula should contain relatively high
362 amounts of DHA at 20-50 mg/100 kcal, but without the need to provide any preformed ARA
363 (49). This DHA level stipulated by EFSA and the new European legislation is much higher
364 than the about 0.2 to 0.3 % DHA found in most LC-PUFA enriched formulae for term infants
365 currently marketed around the world, which however generally contain also preformed ARA
366 at levels equal to or often 2fold higher than the DHA content. The proposed obligatory
367 inclusion of DHA in all infant and follow-on formulae is welcomed by many scientists and
368 paediatricians in view of the indications for beneficial effects (50), but the advice to provide
369 infant formula from birth that supplies DHA but no ARA has been heavily criticized (51).
370 During pregnancy and infancy, both DHA and ARA are deposited in relatively large amounts
371 in human tissues, including the brain (52, 53). Fetal accretion of both DHA and ARA during
372 pregnancy is facilitated by their active and preferential materno-fetal placental transfer (54).
373 Pregnant women's red blood cell levels of both DHA and ARA were positively associated

374 with their children's intelligence quotient at school age (55). At birth, higher cord blood
375 contents of both DHA and ARA predicted less later behavioural problems, emotional
376 difficulties, hyperactivity and attention deficit at age 10 years (56). After birth, breastfed
377 infants always get both preformed DHA and ARA, usually with a higher provision of ARA
378 than of DHA (31, 57). DHA along with ARA has been added to infant formulae since the
379 1980ies in an attempt to approach the nutrient supply and functional effects achieved with
380 breastfeeding (58-60). The global Codex Alimentarius standard on the compositional
381 requirements for infant formula stipulates the optional addition of DHA to infant formula,
382 provided that the ARA content is equal to or higher than the DHA content, thus following the
383 model of typical human milk composition (61).

384 Infant formula providing both DHA and ARA have been evaluated in many controlled trials in
385 infants (50). In contrast, the proposed composition of term infant formula with up to 1 % DHA
386 and no ARA is a novel approach that has not been systematically tested for its suitability and
387 safety in healthy infants born at term. ARA is an essential component of all cell membranes.
388 The amount of ARA incorporated into the developing brain during infancy exceeds the
389 deposition of DHA. Although humans can synthesize ARA to some extent from linoleic acid,
390 infants fed formula without pre-formed ARA tend to develop lower ARA levels in blood
391 plasma and erythrocytes than breast-fed infants who receive both DHA and ARA (52, 58,
392 62). In preterm infants, provision of high amounts of n-3 LC-PUFA without a concomitant
393 supply of ARA has been associated with adverse effects on growth (63, 64). Further
394 concerns regarding the effects of a high supply of DHA without increasing ARA intakes to
395 infants are raised by the findings of a randomized controlled trial assigning term infants to
396 formula providing either no LC-PUFA, or different levels of 0.32, 0.64 and 0.96 % DHA at the
397 same ARA level of 0.64 % (65). The investigators performed developmental testing of the
398 participating children up to the age of 6 years. Positive effects in tests on word production, a
399 card sorting task and an intelligence test were observed with the lower DHA dose. However,
400 performance of children assigned to the highest DHA dose of 0.96 % but with a reduced ratio
401 of dietary ARA to DHA was attenuated in the MBCDI Word Production Test and the
402 Dimensional Change Card Sort Test at the highest DHA level, and it was attenuated at both
403 the two higher DHA levels in the Peabody Picture Vocabulary Test (65). Thus, in contrast to
404 what might have been expected, an increase of formula DHA contents above 0.32% did not
405 further improve or at least stabilize developmental outcomes, but actually had adverse
406 effects which might well be due to the reduced dietary ARA to DHA ratios provided with the
407 higher DHA levels.

408 The effects of equivalent formulae with similar DHA and ARA contents on brain composition
409 were tested in infant baboons. Brain composition in various regions was analysed. The
410 formula with about 1% DHA induced a trend to lower ARA levels in the retina and all the

411 eight regions of the brain analysed, with significantly reduced ARA values in the globus
412 pallidus and the superior colliculus, even though the formula contained 0.64% ARA. These
413 observations raise serious concerns that infant formula with high contents of DHA but lack of
414 ARA may induce adverse effects on brain composition and related functional outcomes.

415 These findings in human infants and in nonhuman primates question the suitability and
416 safety of the compositional requirements stipulated by the new European legislation, i.e. to
417 provide infant formula from birth with up to 1% of fatty acids as DHA without a proportional
418 increase in the intake of ARA. It is generally agreed upon that any major change in infant
419 formula composition should be subjected to a full pre-clinical and clinical evaluation of
420 nutritional adequacy and safety prior to the wide use and marked introduction of such a
421 modified formula (66-71). Therefore, it appears to be inappropriate and premature to market
422 formula for term infants from birth with 20-50 mg/100 kcal DHA without added ARA in the
423 absence of accountable data on the suitability and safety from a thorough clinical evaluation
424 of this novel approach (51).

425

426 **Conclusions and Perspectives**

427 In addition to meeting the infants needs for energy and essential vitamins and
428 polyunsaturated fatty acids, human milk lipids provide a mixture of milk fat globule
429 membranes, complex lipids and bioactive compounds that may have important biological
430 roles in the breastfed infant, for example with regard to the development of nervous and
431 immune functions. Further studies defining the specific components responsible for such
432 effects and the underlying mechanisms could help to design the best options of nutritional
433 interventions. Methodological progress in the field of metabolomics and lipidomics using
434 liquid chromatography couple with triple mass spectrometry now allows to determine detailed
435 profiles of molecular species of complex lipids in milk as well as in extremely small sample
436 volumes of infant serum or plasma (e.g. 10 microlitres) with high quantitative precision (72-
437 75). Such lipidomic measurements can serve to provide markers for tissue composition (76)
438 and were shown to be associated with important clinical endpoints in children and adults (77-
439 79). It is therefore likely that the use of these sophisticated and detailed analytical methods, if
440 combined with appropriate bioinformatics strategies, provide the opportunity to obtain better
441 insights into the physiological roles of complex lipids in early life, which may lead to further
442 improvements in nutritional strategies. Progress in biotechnology and food technology offers
443 new avenues for preparing lipid components that can more closely mimic the complex lipid
444 body provided with breastfeeding. Careful exploration and evaluation of the short and long
445 term impact in infants could potentially lead to implementation of major improvements for the
446 feeding of infants that cannot be breastfed. Opportunity also exists in improving out

447 understanding on the optimal supply of LC-PUFA in early and later infancy and in the
448 underlying mechanisms and mediators of their effects e.g. on neurodevelopment and
449 behavior, immune-related health outcomes such as allergy and asthma, and pulmonary
450 function.

451

452 **Key messages**

- 453 • Human milk lipids provide a major portion of the energy supply to breastfed infants,
454 as well as essential vitamins, polyunsaturated fatty acids, complex lipids, and
455 bioactive components
- 456 • Recent data evaluating the addition of preparations of complex lipids with or without
457 milk fat globule membranes to vegetable oil based infant formula show promising
458 indications for potential improvements of infant development and reduction of
459 infection risk
- 460 • Analyses of gene-diet interaction following the concept of Mendelian randomisation
461 add to the evidence that the supply of long-chain polyunsaturated fatty acids in
462 infancy is causally related to improving cognitive development and to reducing
463 asthma risk at school age. Current evidence supports the provision of omega-3
464 docosahexaenoic acid (DHA) along with omega-6 arachidonic acid (ARA) with infant
465 formula.
- 466 • Significant methodological progress both in food technology enabling the provision of
467 new lipid preparations, and in lipidomic analyses, offer major opportunities to explore
468 the biological effects of complex lipids in infancy.

469

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476 anticipates the future policy in this area.

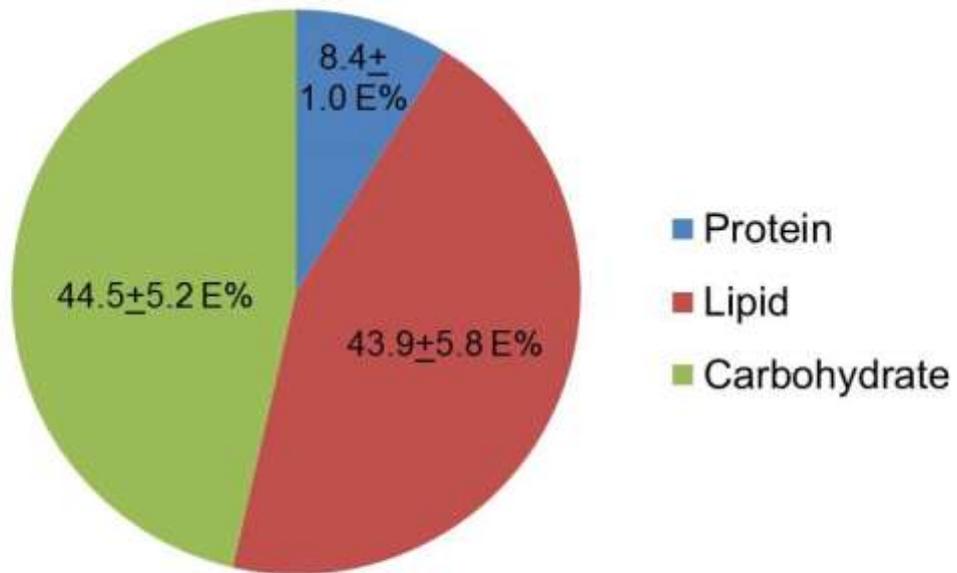
477

478 **Conflict of interest:**

479 The production of this manuscript has been supported by a grant provided by the Nestlé
480 Nutrition Institute.

481

482 Figure 1: Contribution of macronutrients to total energy intake in breastfed infants aged one
483 month. Drawn from data of (4).

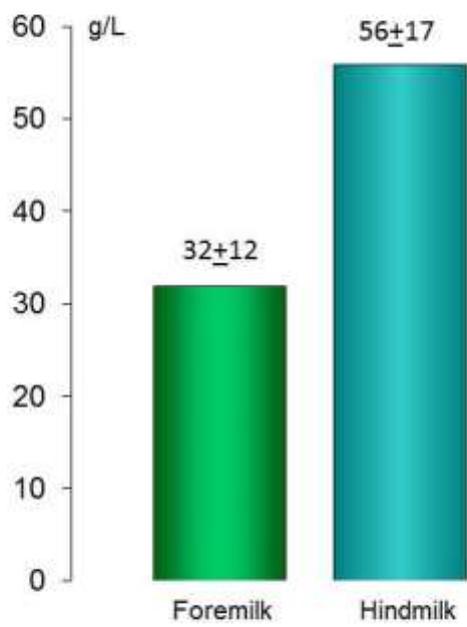


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486 Figure 2: Milk fat concentration in fore- and hindmilk collected before and after breastfeeding
487 of 15 term infants. Drawn from data of (80).

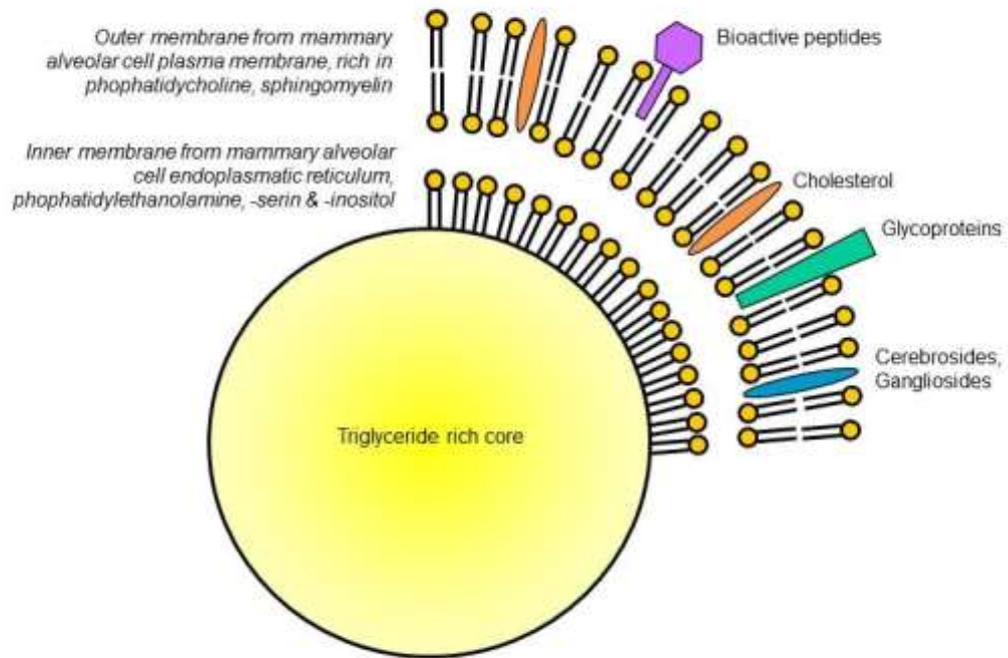
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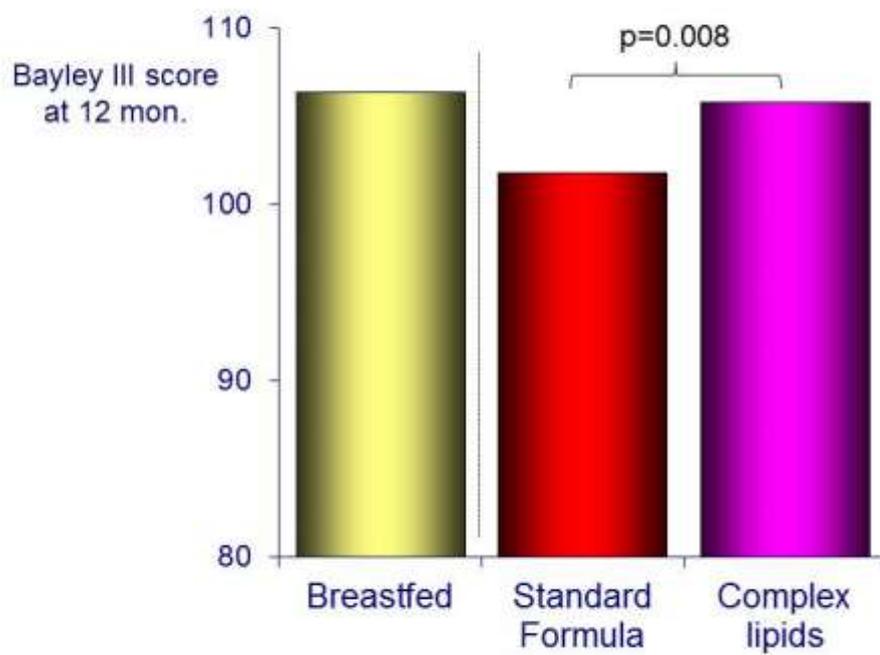
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491 Figure 3: Schematic depiction of human milk fat globules



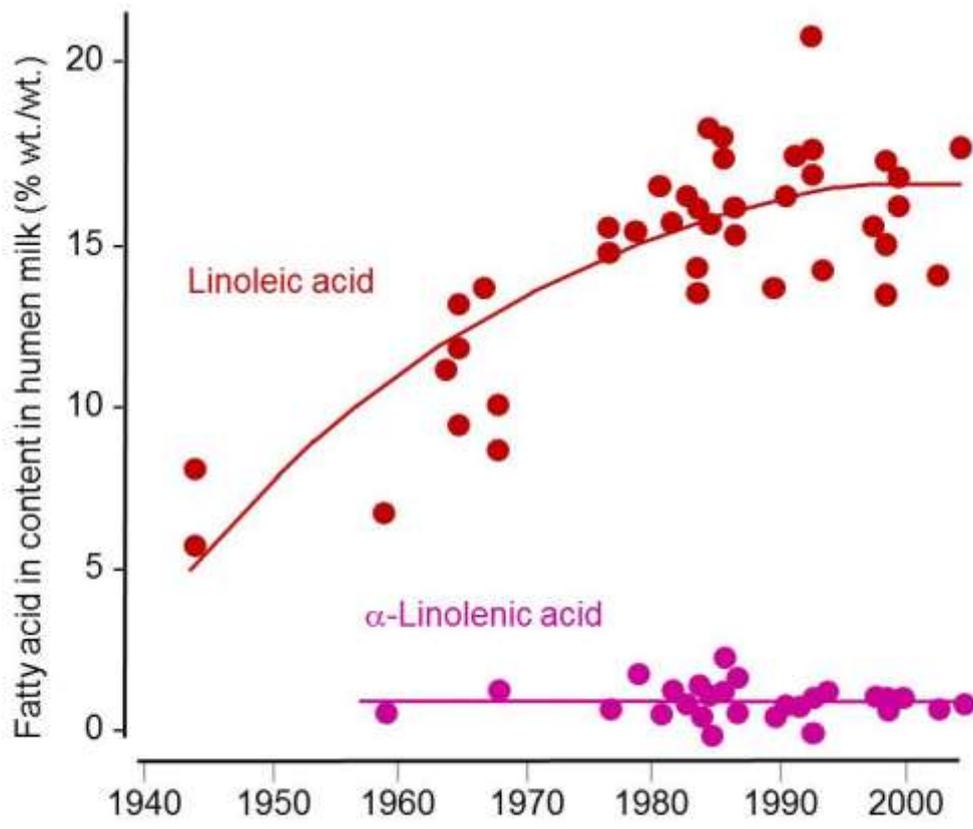
492

493 Figure 4: Infants fed a vegetable oil formula with an added bovine milk fat globule
494 preparation with complex lipids and bioactive proteins showed an improved cognitive
495 outcome at age 1 year that those fed standard formula, and were more similar to the test
496 results in a breastfed reference group. Drawn from data of (16).



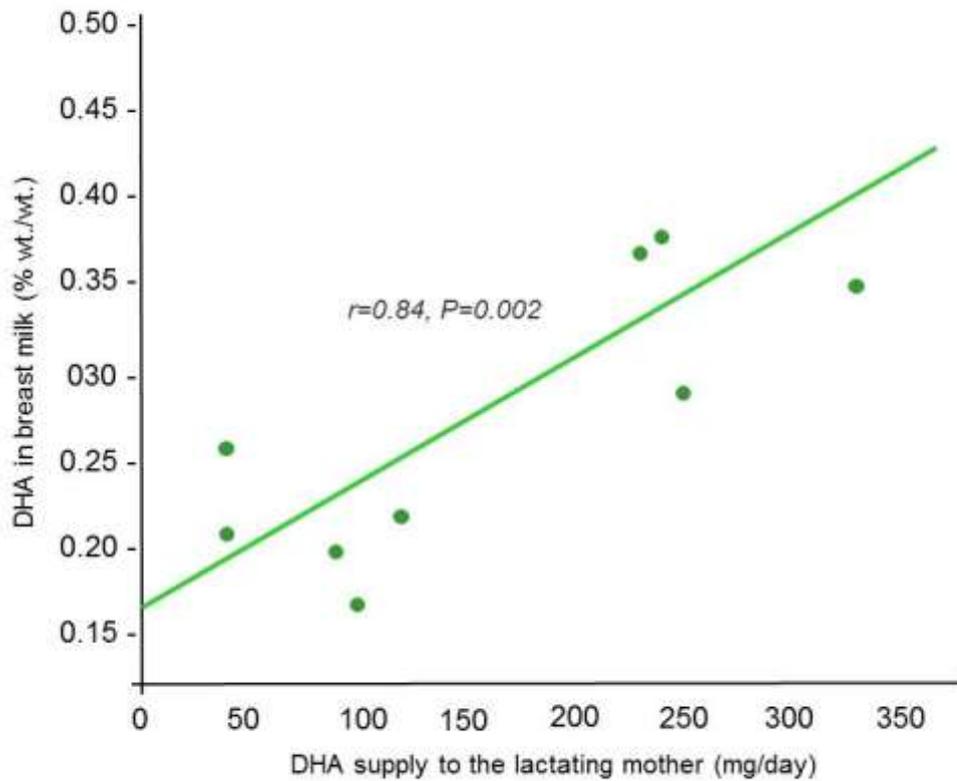
497

498 Figure 5: Evolution of the linoleic and alpha-linolenic acid contents in mature human milk in
499 the USA over time. Drawn from data of (29).



500
501
502

503 Figure 6: The DHA supply to the lactating women determines the DHA content in her
504 breastmilk. Drawn from data of (33)



505

506

507

508 **Table 1:** Longitudinal evolution of human milk constituents in 30 prospectively followed
 509 lactating women (mean and standard deviation). The intraclass correlation coefficient that
 510 reflects the stability of human milk constituents over time in each woman indicates a very
 511 high intra-individual variation for carbohydrates, while stability over time was higher for milk
 512 energy, protein and fat content. Among fatty acids, omega-3 FA had the lowest ICC. Modified
 513 from (4).

	Age (months)				Intraclass correlation coefficient*	Change in mean over time p value*
	1	2	3	6		
Energy (kcal/100ml)	66.1 (11.1)	68.3 (13.4)	63.0 (10.5)	62.4 (13.3)	0.40	0.065°
Carbohydrates (g/l)	7.28 (1.36)	8.05 (1.15)	7.84 (1.39)	7.96 (1.74)	0.04	0.135
Lactose (g/l)	72.4 (13.5)	80.3 (11.6)	78.0 (13.9)	79.2 (17.3)	0.04	0.129
Galactose (g/l)	0.13 (0.04)	0.11 (0.03)	0.11 (0.04)	0.09 (0.03)	0.26	<0.001
Protein (g/100ml)	1.38 (0.16)	1.16 (0.15)	1.04 (0.13)	0.96 (0.16)	0.43	<0.001
Non-protein nitrogen (g/dl)	0.23 (0.02)	0.20 (0.02)	0.18 (0.02)	0.17 (0.02)	0.35	<0.001
Fat (g/100ml)	3.20 (1.27)	3.16 (1.18)	2.92 (1.23)	2.71 (1.25)	0.40	0.164
Saturated fatty acids¹	39.0 (5.62)	37.7 (4.38)	37.2 (4.82)	36.8 (4.64)	0.21	0.202
Monounsaturated fatty acids¹	45.8 (4.62)	46.7 (4.48)	47.0 (4.25)	47.0 (4.26)	0.31	0.517
Polyunsaturated fatty acids (PUFA)¹	15.2 (4.26)	15.6 (2.95)	15.7 (3.43)	16.3 (4.17)	0.38	0.530
18:2n-6 (linoleic acid)¹	12.8 (3.88)	13.2 (2.81)	13.5 (3.32)	14.0 (4.08)	0.41	0.435

20:4n-6 (arachidonic acid)¹	0.51 (0.16)	0.52 (0.13)	0.52 (0.10)	0.52 (0.15)	0.31	0.981
18:3n-3 (α-linolenic acid)¹	0.62 (0.16)	0.69 (0.18)	0.61 (0.14)	0.67 (0.13)	0.16	0.074
20:5n-3 (EPA)¹	0.12 (0.03)	0.12 (0.03)	0.10 (0.03)	0.12 (0.05)	0.31	0.090
22:6n-3 (DHA)¹	0.25 (0.11)	0.24 (0.11)	0.26 (0.09)	0.30 (0.15)	0.21	0.206
n-3 LC-PUFA¹	0.48 (0.15)	0.48 (0.16)	0.49 (0.13)	0.56 (0.23)	0.17	0.148
n-6 LC-PUFA¹	1.22 (0.34)	1.22 (0.30)	1.17 (0.20)	1.11 (0.31)	0.34	0.229

¹% fatty acid of milk total lipids; *based on linear random-effects model with subject as a random effect and month as fixed effect; °linear trend

514

515

516 **Table 2:** Absolute fatty acid supply with human in prospectively followed lactating women
 517 (mg/day, mean and standard deviation). Modified from (4).

	Age (months)			
	1	2	3	6
Saturated fatty acids	7420.3 (2425.5)	7911.4 (2398.4)	7344.1 (2390.0)	4205.1 (3107.4)
Monounsaturated fatty acids	8712.8 (2998.6)	9821.8 (3115.3)	9238.6 (2974.8)	5344.3 (3953.1)
Polyunsaturated fatty acids (PUFA)	2851.5 (913.8)	3278.8 (1063.0)	3082.1 (999.4)	1884.8 (1454.4)
18:2n-6 (linoleic acid)	2407.0 (767.2)	2764.9 (915.0)	2635.1 (859.7)	1619.5 (1275.4)
20:4n-6 (arachidonic acid)	95.6 (32.9)	109.6 (38.6)	101.1 (33.1)	58.7 (43.5)
18:3n-3 (α-linolenic acid)	118.8 (47.7)	144.7 (49.0)	118.8 (39.1)	76.8 (58.2)
20:5n-3 (EPA)	22.7 (9.23)	24.2 (7.90)	20.4 (6.45)	14.1 (10.77)
22:6n-3 (DHA)¹	48.5 (25.5)	51.3 (20.2)	50.3 (17.1)	32.7 (23.4)
n-3 LC-PUFA	92.3 (42.9)	101.2 (36.8)	95.0 (30.8)	62.2 (44.1)
n-6 LC-PUFA	228.7 (75.4)	256.9 (86.5)	229.7 (72.7)	126.3 (92.2)
n-3 PUFA	215.9 (85.2)	244.1 (81.6)	209.6 (66.1)	138.9 (99.5)
n-6 PUFA	2635.7 (836.0)	3021.8 (990.9)	2865.0 (927.9)	1745.8 (1362.9)

518

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