

# Open superstring field theory on the restricted Hilbert space

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**ABSTRACT:** It appears that the formulation of an action for the Ramond sector of open superstring field theory requires to either restrict the Hilbert space for the Ramond sector or to introduce auxiliary fields with picture  $-3/2$ . The purpose of this note is to clarify the relation of the restricted Hilbert space with other approaches and to formulate open superstring field theory entirely in the small Hilbert space.

**KEYWORDS:** String Field Theory, Superstrings and Heterotic Strings, BRST Quantization

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## 1 Introduction

The problem of formulating an action for interacting covariant open superstring field theory has a long history, starting with Witten's cubic action [1]. This cubic theory has two short comings: one problem is the presence of singularities in the Neveu-Schwarz (NS) sector due to collisions of picture changing operators. Another issue is that the kinetic term (more precisely the inner product) is degenerate in the Ramond (R) sector. The first problem can be remedied by smearing out the picture changing operator [2] (see also [3] for earlier work in this direction). This results in a consistent (although non-polynomial) BV-action for the NS sector of open superstring field theory on the small Hilbert space. On the other hand, an action for the NS sector in the large Hilbert space has been formulated long time ago by Berkovits [4, 5]. This theory is attractive due its simple form and is well suited for explicit calculations (e.g. [6]) but its BV-quantization is less clear. However, recently it has been shown that Berkovits' theory is related to the BV-action on the small Hilbert space by a field redefinition [7, 8]. This shows that the former does indeed realize a decomposition of the supermoduli space. Furthermore, it was shown in [9] that the non-polynomial BV-action [2] (and thus the Berkovits action) does reproduce the perturbative tree-level S-matrix to all orders.

For the combined theory of NS- and R- sectors consistent (i.e. gauge invariant) field equations have been formulated in [10] and shown to produce the correct tree-level S-matrix elements [9] but, due to the lack of cyclicity, of the multi-string vertices these field equations cannot derive from an action. Furthermore, the above-mentioned issue with the kinetic term in the Ramond (R) sector was not addressed in [10]. On the other hand, in [11] and [12] the degeneracy of the Ramond kinetic term was avoided with the help of a suitable restriction of the Ramond Hilbert space. Indeed, it was noticed [13] in the early days of string field theory that Witten's theory propagates only a subset of constrained string fields [14]–[19]. This was subsequently related to the presence of an extra gauge symmetry (not generated by the BRST charge) that can be fixed to remove all fields that do not satisfy the constraint [20] (see also [21]).

A gauge invariant action for the interacting theory was recently proposed in [12] (see also [22]) with smeared picture changing operators and Ramond fields in the restricted Hilbert space. The above problem with cyclicity of the vertices was avoided by taking

the the NS field to live in the large Hilbert space akin to the Berkovits formulation. On another front, in [11] a geometric approach, based on the decomposition of the supermoduli space was outlined, which is formulated in the small Hilbert space with a constrained Ramond sector. Furthermore, in [23] another geometric construction was proposed where the restriction on the Ramond fields is substituted by the introduction of auxiliary fields.<sup>1</sup>

The purpose of this note is twofold. First we clarify the relation between the restricted and unrestricted Ramond Hilbert spaces. In particular, we show explicitly that the restrictions used in [12] and [11] are the same and furthermore that the cohomology of the restricted Hilbert space is the same as that of the unrestricted space. The latter result was previously obtained in [24].<sup>2</sup> In the second part we propose a modification of the construction [10] for the R-NS vertices which is cyclic in the small, restricted Hilbert space. Provided the picture changing operators used in [11, 12] can be defined in a way that is compatible with the interaction vertices, our construction immediately gives a classical action for the open superstring in the small, restricted Hilbert space. More generally, the vertices can be regarded as an algebraic construction of the interaction vertices of the auxiliary field construction of [23]. Then, invoking the results of [9] one concludes that the resulting action reproduces the correct tree-level S-matrix.

## 2 Restricted Hilbert space

Let us start with the restricted Ramond Hilbert space spanned by vectors of the form [12]–[21]

$$\psi = \phi_1|\downarrow\rangle + \gamma_0\phi_2|\downarrow\rangle - (-1)^{|\phi_1|}G_0\phi_2|\uparrow\rangle, \tag{2.1}$$

where  $|\downarrow\rangle = b_0|\uparrow\rangle$ ,  $|\phi|$  denotes the Grassman parity of  $\phi$ ,  $\gamma_0$  is the zero mode of the commuting superconformal ghost and  $G_0$  the (matter plus ghost) supercharge with the  $\gamma_0 b_0$  contribution subtracted. More concretely, we decompose the BRST charge  $Q$  as

$$Q = c_0L_0 + b_0M + \gamma_0G_0 + \beta_0K - \gamma_0^2b_0 + \tilde{Q} \tag{2.2}$$

where  $L_0, M, G_0, K, \tilde{Q}$  have no dependence on the ghost zero modes (see e.g. [21] for details). Then, using that  $\{\tilde{Q}, G_0\} = 0$  and  $G_0^2 = L_0$  it is not hard to see that

$$\begin{aligned} Q\psi &= \left(M(G_0\phi_2) + K(\phi_2) + \tilde{Q}(\phi_1)\right)|\downarrow\rangle + \gamma_0\left(G_0(\phi_1) + \tilde{Q}(\phi_2)\right)|\downarrow\rangle \\ &+ (-1)^{|\phi_1|}G_0\left(G_0(\phi_1) + \tilde{Q}(\phi_2)\right)|\uparrow\rangle. \end{aligned} \tag{2.3}$$

According to [21],  $\phi_2$  can be gauged away completely.<sup>3</sup> The closedness condition reduces to

$$\tilde{Q}\phi_1 = G_0\phi_1 = 0, \tag{2.4}$$

with a residual gauge freedom

$$\delta_\lambda\phi_1 = \tilde{Q}\lambda, \quad G_0\lambda = 0. \tag{2.5}$$

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<sup>1</sup>In fact, the proposals [11] and [23] were worked out for the closed type II superstring but the idea is easily adapted to the open string.

<sup>2</sup>We would like to thank Y. Okawa for pointing out this reference to us.

<sup>3</sup>Notice however, that there are some subtleties when  $G_0\phi_2 = 0$ .

Let us now compare this with the cohomology of the unrestricted Ramond sector. Because the cohomology of  $Q$  is known to be isomorphic to the relative cohomology  $H_{rel}^\bullet(Q)$  calculated on the subspace defined by  $b_0\psi = \beta_0\psi = 0$  [24, 25] we consider this case. A generic vector in this subspace is given by  $\psi = \phi|\downarrow\rangle$  with  $\phi$  independent of  $\gamma_0$  and  $c_0$ . Then,  $Q\psi = 0$  reduces to

$$\tilde{Q}\phi = G_0\phi = 0, \quad (2.6)$$

with the same residual gauge freedom as above. Thus the cohomology of the restricted Ramond sector (2.1) agrees with that of the unrestricted Ramond Hilbert space as previously shown in [24].

Next, we compare the restriction (2.1) with the approach of [11]. The constraint, originally formulated in [26], arose from the need to have a right-inverse  $Y_0$  for the picture-changing operator

$$X_0 = (G_0 - 2\gamma_0 b_0)\delta(\beta_0) + b_0\delta'(\beta_0). \quad (2.7)$$

This operator acts on picture  $(-\frac{3}{2})$  states and existence of  $Y_0$  implies that  $X_0$  cannot have a cokernel.<sup>4</sup> This leads to the condition on picture  $(-\frac{1}{2})$  states  $\psi$ ,

$$\beta_0^2\psi = 0 \quad (2.8)$$

with general solution,

$$\psi = \phi_1^{(0)}|\downarrow\rangle + \gamma_0\phi_1^{(1)}|\downarrow\rangle + \phi_2^{(0)}|\uparrow\rangle + \gamma_0\phi_2^{(1)}|\uparrow\rangle \quad (2.9)$$

where  $\phi_i^{(j)}$  are independent of  $\gamma_0$  and  $c_0$ . Now requiring that the condition (2.8) is preserved by  $Q$  implies that  $\phi_2^{(1)} = 0$  and  $\phi_2^{(0)} = -(-1)^{|\phi_1^{(1)}|}G_0\phi_1^{(1)}$  and thus (2.8) and (2.1) define the same invariant subspace. Finally we note that  $X_0$  is indeed no cokernel, i.e. every vector in this subspace can be written as  $\psi = X_0\tilde{\psi}$ , where  $\tilde{\psi}$  is an arbitrary string field with picture  $-\frac{3}{2}$ . This follows from the identities [26]

$$\delta(\gamma_0) = |0, -\frac{3}{2}\rangle\langle 0, -\frac{3}{2}| \quad (2.10)$$

$$\delta(\beta_0) = |0, -\frac{1}{2}\rangle\langle 0, -\frac{1}{2}|, \quad (2.11)$$

$$\delta'(\beta_0) = -|0, -\frac{1}{2}\rangle\langle 1, -\frac{1}{2}| + |1, -\frac{1}{2}\rangle\langle 0, -\frac{1}{2}| \quad (2.12)$$

where the index  $-\frac{1}{2}$  resp.  $-\frac{3}{2}$  denotes the picture and  $|n, -\frac{1}{2}\rangle = \gamma_0^n|0, -\frac{1}{2}\rangle$ . Then, for  $\tilde{\psi} = \phi_1|\downarrow\rangle + \phi_2|\uparrow\rangle$  with  $\phi_i = \sum_{n=0}^{\infty} \beta_0^n \phi_i^{(n)} \delta(\gamma_0)$  we find

$$X_0\tilde{\psi} = \left(G_0(\phi_1^{(0)}) - (-1)^{|\phi_2|}\phi_2^{(1)}\right)|\downarrow\rangle - (-1)^{|\phi_2|}\gamma_0\phi_2^{(0)}|\downarrow\rangle + G_0\phi_2^{(0)}|\uparrow\rangle \quad (2.13)$$

where we have used that  $\delta(\gamma_0)\delta(\beta_0) = |0, -\frac{3}{2}\rangle\langle 0, -\frac{1}{2}|$ . We then see that  $X_0\tilde{\psi}$  is indeed of the form (2.1) with

$$\phi_1 = G_0(\phi_1^{(0)}) - (-1)^{|\phi_2|}\phi_2^{(1)} \quad \text{and} \quad \phi_2 = (-1)^{|\phi_2|}\phi_2^{(0)}. \quad (2.14)$$

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<sup>4</sup>Note that there is no well-established algebraic characterization of the picture  $(-\frac{1}{2})$  states in terms of the modes of  $\beta$  and  $\gamma$ . For (2.7), one possible choice is to require that  $\beta_k^{n_k}|\psi\rangle = \gamma_l^{m_l}|\psi\rangle = 0$  for  $l > 0$  and  $k \geq 0$  and natural numbers  $n_k$  and  $m_l$ . This is not a problem for free string field theory but becomes an issue in the presence of interaction vertices which generically do not preserve this definition.

### 3 Open superstring field theory in the restricted Hilbert space

The vertices of open superstring field theory can be written as

$$C_n(\Psi_1, \dots, \Psi_n) = \omega(\Psi_1, M_{n-1}(\Psi_2, \dots, \Psi_{n-1})), \quad (3.1)$$

where  $\Psi$  denotes a combined string field in the R- and NS-sector and  $M_n$  are string n-products. These products were constructed through a gauge transformation of the free theory defined by a hierarchy of gauge products on the large Hilbert space with each gauge product obtained from lower order products by means of a contracting homotopy  $\xi$  for the nilpotent operator  $\eta_0$ , that enters in the bosonization of the superconformal ghost  $\gamma(z)$ . More precisely, we require the existence of an operator  $\xi$  such that  $[\eta_0, \xi] = 1$ . Upon changing  $\xi$ , the construction of [2] produces actions that are related by field redefinitions, so that any choice for  $\xi$  is equally good. One additional condition on  $\xi$  is that the resulting vertices should be non-singular. In [2] a class of such good homotopies built out of

$$\xi = \oint \frac{dz}{2\pi i} f(z) \xi(z) \quad (3.2)$$

was proposed, where  $f(z)$  is required to be holomorphic in some annulus that contains the unit circle and  $\xi(z)$  enters in the bosonization of superconformal ghost,  $\beta(z) = \partial\xi(z)e^{-\phi(z)}$ .

In [10] the homotopy for  $[\eta_0, \cdot]$  was taken to be the same irrespective of whether the string products defining the string products have zero or one Ramond input. To illustrate this we consider the string product

$$M_2 = \frac{1}{3} \{X, m_2\} P_2^{<0>} + X m_2 P_2^{<1>} + m_2 P_2^{<2>} \quad (3.3)$$

where  $P_2^{<n>}$  is the projector on  $n$  Ramond inputs among the two inputs of  $m_2$  and  $m_2 = *$  is Witten's string product. The picture changing operator,  $X$  is related to  $\xi$  through the graded commutator,  $X = [Q, \xi]$ . Finally,  $\{X, m_2\}$  is the graded anti-commutator of  $X$  and  $m_2$ . For zero Ramond inputs  $M_2$  is cyclic with respect to the standard symplectic form by construction since the combination  $\{X, m_2\}$  sums over all possible insertions of a picture changing operator (see [2] for details and notation). For vertices involving two Ramond fields we have

$$\omega(N, M_2(R, R)) = \omega(N, m_2(R, R)) = \omega(R, m_2(R, N)) \quad (3.4)$$

where  $N$  and  $R$  denote NS- and R- string fields respectively. At first sight it looks as if  $M_2$  were not cyclic since there is an  $X$  missing in front of  $m_2$  on the right hand side of (3.4). However, we will see in the end that this is exactly what we need, because of subtleties in defining a symplectic form on the R-string fields.

Next, let us consider the 4-vertex. First, we have from (3.3)

$$[M_2, M_2](R, R, R) = 2X m_2 \circ m_2(R, R, R) = 0 \quad (3.5)$$

due to associativity of the star product ( $m_2 \circ m_2 = 0$ ). Thus, to this order the  $A_\infty$  consistency condition (or equivalently the BV-equation) allows us to set  $M_3(R, R, R) = 0$ .

For two Ramond inputs we have

$$\frac{1}{2}[M_2, M_2](R, N, R) = m_2 \circ X m_2(R, N, R) = -[Q, [m_2, \mu_2]](R, N, R),$$

where

$$\mu_2 = \xi m_2 P_2^{<1>} + \frac{1}{3}\{\xi, m_2\} P_2^{<0>}. \quad (3.6)$$

Since the gauge products  $\mu_n$  never have more than one Ramond input [10], the  $A_\infty$  consistency condition,  $\frac{1}{2}[M_2, M_2] + [Q, M_3] = 0$ , then fixes  $M_3$  completely as

$$M_3(R, N, R) = m_3(R, N, R), \quad (3.7)$$

where  $m_3 = [m_2, \mu_2]$  and we have used associativity of  $m_2$ . Associativity then also implies that  $\eta M_3(R, N, R) = -\eta[m_2, \mu_2](R, N, R) = 0$  and thus  $M_3$  is in the small Hilbert space.

Similarly, for one Ramond input

$$\begin{aligned} \frac{1}{2}[M_2, M_2](N, R, N) &= X m_2 \circ X m_2(N, R, N) = -\frac{1}{2}[Q, [X m_2 P_2^{<1>}, \mu_2 P_2^{<1>}]](N, R, N) \\ &= -\frac{1}{2}[Q, [M_2, \mu_2 P_2^{<1>}]](N, R, N) = -\frac{1}{2}[Q, [M_2, \mu_2]](N, R, N). \end{aligned} \quad (3.8)$$

To continue we choose the homotopy for  $\eta$  defining the gauge product  $\mu_3$  as

$$\mu_3 = \frac{1}{4}\{\xi, m_3\} P_3^{<0>} + \xi m_3 P_3^{<1>}. \quad (3.9)$$

Then,

$$\mu_3(N, R, N) = \xi m_3(N, R, N) = \xi m_2 \circ \xi m_2(N, R, N). \quad (3.10)$$

Using, associativity of  $m_2$  again we then find

$$\begin{aligned} M_3(N, R, N) &= \frac{1}{2}([M_2, \mu_2] + [Q, \mu_3])(N, R, N) \\ &= M_2^{<1>} \mu_2(N, R, N) = X m_2^{<1>} \mu_2(N, R, N) = X m_3 P_3^{<1>}(N, R, N) \end{aligned} \quad (3.11)$$

which is in the small Hilbert space. More generally, for a generic permutation of the R- and N inputs

$$M_3 P_3^{<1>} = X m_2^{<1>} \mu_2 P_3^{<1>} = X m_3 P_3^{<1>} \quad (3.12)$$

holds. Thus, modulo the factor  $X$  that will be dealt with below, proving cyclicity of  $M_3$  is reduced to show cyclicity of  $m_3$ . Explicitly, we have

$$\begin{aligned} \omega(N_1, M_3(R_1, N_2, R_2)) &= \omega(N_1, m_3(R_1, N_2, R_2)) \\ &= \omega_L(N_1, \xi_0 m_2(\xi m_2(R_1, N_2), R_2)) + \omega_L(N_1, \xi_0 m_2(R_1, \xi m_2(N_2, R_2))), \end{aligned} \quad (3.13)$$

where  $\omega_L$  is the symplectic form evaluated in the large Hilbert space and which reproduces the symplectic form,  $\omega$ , on the small Hilbert space upon insertion of the zero mode  $\xi_0$  [2]. Now, commuting  $\xi_0$  through to  $R_1$  and using cyclicity of  $m_2$  we get

$$\begin{aligned} \omega(N_1, M_3(R_1, N_2, R_2)) \\ = \omega_L(\xi m_2(\xi_0 R_1, N_2), m_2(R_2, N_1)) + \omega_L(\xi_0 R_1, m_2(\xi m_2(N_2, R_2), N_1)). \end{aligned} \quad (3.14)$$

Since  $\xi$  is BPZ-even we then have

$$\begin{aligned} \omega(N_1, M_3(R_1, N_2, R_2)) \\ = \omega_L(m_2(\xi_0 R_1, N_2), \xi m_2(R_2, N_1)) + \omega_L(\xi_0 R_1, m_2(\xi m_2(N_2, R_2), N_1)) \\ = \omega_L(\xi_0 R_1, m_2(N_2, \xi m_2(R_2, N_1))) + \omega_L(\xi_0 R_1, m_2(\xi m_2(N_2, R_2), N_1)) \\ = \omega(R_1, m_3(N_2, R_2, N_1)). \end{aligned} \quad (3.15)$$

Similarly, for two adjacent Ramond inputs,

$$\begin{aligned} \omega(N_1, M_3(R_1, R_2, N_2)) \\ = \omega(N_1, m_2(R_1, \mu_2(R_2, N_2))) - \omega(N_1, \mu_2(m_2(R_1, R_2), N_2)) \\ = -\omega_L(N_1, m_2(\xi_0 R_1, \mu_2(N_2, R_2))) - \omega_L(N_1, \mu_2(m_2(\xi_0 R_1, R_2), N_2)). \end{aligned} \quad (3.16)$$

Now, for the first term we use cyclicity of  $m_2$  while for the second we use cyclicity of  $\mu_2$  for two R-inputs which gives

$$\begin{aligned} \omega(N_1, M_3(R_1, R_2, N_2)) &= \omega_L(\xi_0 R_1, m_2(\mu_2(R_2, N_2), N_1)) + \omega_L(m_2(\xi_0 R_1, R_2), \mu_2(N_2, N_1)) \\ &= \omega_L(R_1, \xi_0 m_2(\mu_2(R_2, N_2), N_1)) + \omega_L(R_1, \xi_0 m_2(R_2, \mu_2(N_2, N_1))) \\ &= \omega(R_1, m_3(R_2, N_2, N_1)). \end{aligned} \quad (3.17)$$

Thus,  $m_3$  is cyclic with respect to the symplectic form  $\omega(\cdot, \cdot)$ . In order to prove cyclicity to arbitrary order we first recall the recursion relations defining the higher order products [10].

For zero or one Ramond input we have

$$M_{n+2}^{<0/1>} = \frac{1}{n+1} \sum_{k=0}^n [M_{k+1}, \mu_{n-k+2}] P_{n+2}^{<0/1>}, \quad M_1 = Q \quad (3.18)$$

and for two Ramond inputs

$$M_{n+3}^{<2>} = m_{n+3} P_{n+3}^{<2>} = \frac{1}{n+1} \sum_{k=0}^n [m_{k+2}, \mu_{n-k+2}] P_{n+3}^{<2>} \quad (3.19)$$

where

$$m_{n+3} = \frac{1}{n+1} \sum_{k=0}^n [m_{k+2}, \mu_{n-k+2}] \quad (3.20)$$

with  $m_2 = *$ . Finally, the gauge products  $\mu_n$  are given by

$$\mu_{n+2} = \frac{1}{n+3} \{ \xi, m_{n+2} \} P_{n+2}^{<0>} + \xi m_{n+2} P_{n+2}^{<1>}. \quad (3.21)$$

It is not hard to see (by induction) that vanishing of  $M_3(R, R, R)$  implies that vanishing of  $M_{n+3}(\cdots, R, \cdots, R, \cdots, R, \cdots)$  for all  $n$ . Indeed, upon inspection of (3.20), subject to the homotopy (3.21), it is apparent that such a term would have to be of the form  $\xi \sum_{k=0}^n m_{n-k+2} m_{k+2}$  which vanishes due to the  $A_\infty$  condition  $[m, m] = 0$ . Furthermore, it holds that

$$(n-1)M_{n+1}^{<1>} = X(m_n^{<1>} \mu_2 + m_{n-1}^{<1>} \mu_3 + \cdots) = (n-1)Xm_{n+1}P_{n+1}^{<1>}. \quad (3.22)$$

To show this identity we proceed by induction. We have from (3.18)

$$\begin{aligned} nM_{n+1}^{<1>} &= [M_n^{<1>}, \mu_2^{<1>}] + [M_{n-1}^{<1>}, \mu_3^{<1>}] + \cdots + [Q, \mu_{n+1}^{<1>}] \\ &\quad + M_n^{<1>} \mu_2^{<0>} + M_{n-1}^{<1>} \mu_3^{<0>} + \cdots \\ &\quad - \mu_2^{<1>} M_n^{<0>} - \mu_3^{<1>} M_{n-1}^{<0>} + \cdots. \end{aligned} \quad (3.23)$$

Now, we use  $[Q, \mu_p^{<1>}] = Xm_p^{<1>} - \xi[Q, m_p^{<1>}]$  together with the identity,  $[m, M] = 0$ , that is,

$$\begin{aligned} [Q, \mu_{n+1}^{<1>}] &= Xm_{n+1}^{<1>} + \xi([m_n^{<1>}, M_2^{<1>}] + [m_{n-1}^{<1>}, M_3^{<1>}] + \cdots \\ &\quad + M_2^{<1>} m_n^{<0>} + M_3^{<1>} m_{n-1}^{<0>} + \cdots \\ &\quad + m_n^{<1>} M_2^{<0>} + m_{n-1}^{<1>} M_3^{<0>} + \cdots). \end{aligned} \quad (3.24)$$

Upon substitution of (3.24) into (3.23) and using (3.21) as well as  $[m, m] = 0$  the result follows.

Thanks to (3.19) and (3.22) the problem of proving cyclicity of  $M_n$  is again reduced to show cyclicity of  $m_n$ . To prove cyclicity of  $m_{n+3}$ ,  $n \geq 1$ , one then proceeds exactly as in (3.13)–(3.17) expressing  $m_{n+3}$  in terms of  $[m_{k+2}, \mu_{n-k+2}]$  and then using cyclicity of  $m_q$ ,  $q \leq n+2$  as well as cyclicity of  $\mu_p$ ,  $p \leq n+2$  for  $p$  NS-inputs.

Let us now explain how these vertices lead to a gauge-invariant action for the open superstring in the small Hilbert space. Following [23] we write

$$\begin{aligned} S &= \frac{1}{2}\omega(\phi, Q\phi) - \frac{1}{2}\omega(\tilde{\psi}, XQ\tilde{\psi}) + \omega(\tilde{\psi}, Q\psi) \\ &\quad + \frac{1}{3}\omega(\Psi, \mathcal{M}_2(\Psi, \Psi)) + \frac{1}{4}\omega(\Psi, \mathcal{M}_3(\Psi, \Psi, \Psi)) + \cdots \end{aligned} \quad (3.25)$$

where,  $\Psi = \phi + \psi$  and  $\tilde{\psi}$  is an auxiliary Ramond string field with picture  $(-\frac{3}{2})$ . The higher string products  $\mathcal{M}_n$  are given by

$$\mathcal{M}_n = M_n P^{<0>} + m_n(P^{<1>} + P^{<2>}) \quad (3.26)$$

which differs from (3.3) by the ubiquitous factor  $X$ . To prove gauge invariance we use that  $\mathcal{M}_n$  is cyclic w.r.t.  $\omega$ . The standard proof of gauge-invariance has to be modified as  $\mathcal{M}$  is not an  $A_\infty$ -algebra. However,  $M$  is an  $A_\infty$ -algebra and differs from  $\mathcal{M}$  in that it contains an additional  $X$ -insertion on Ramond outputs and contains no BRST operator  $Q$ . There are three different types of gauge-transformations with odd parameters  $\Lambda$ ,  $\lambda$  and  $\tilde{\lambda}$  having picture  $-1$ ,  $-\frac{1}{2}$  and  $-\frac{3}{2}$ .



Using antisymmetry of  $\omega$  and cyclicity of  $\mathcal{M}_n$  one arrives at the identities ( $n, k \geq 2$ ),

$$\begin{aligned}\omega(\Lambda, M_n \circ M_k) &= \omega(\Lambda, \mathcal{M}_n \circ M_k) \\ &= \omega(\mathcal{M}_n \Lambda, P_1^{<0>} \mathcal{M}_k + X P_1^{<1>} \mathcal{M}_k) = \omega(M_n \Lambda, \mathcal{M}_k),\end{aligned}\quad (3.27)$$

$$\omega(\Lambda, Q M_k) = \omega(Q \Lambda, M_k) = \omega(Q \Lambda, \mathcal{M}_k), \quad (3.28)$$

$$\omega(\Lambda, M_n \circ Q) = \omega(\mathcal{M}_n \Lambda, Q). \quad (3.29)$$

where  $\Lambda$  denotes the coderivation built from  $\Lambda$  as its 0-string map and we suppressed the string field  $\Psi$ . Explicitly, (3.29) reads as

$$\begin{aligned}\omega(\Lambda, M_n(Q\Psi, \dots, \Psi) + M_n(\Psi, Q\Psi, \dots, \Psi) + \dots) \\ = \omega(\mathcal{M}_n(\Lambda, \Psi, \dots, \Psi) + \mathcal{M}_n(\Psi, \Lambda, \dots, \Psi) + \dots, Q\Psi).\end{aligned}$$

Define the transformation  $\delta\phi, \delta\psi, \delta\tilde{\psi}$  as

$$\delta\phi + \delta\tilde{\psi} = Q\Lambda + \sum_{n \geq 2} \mathcal{M}_n \Lambda(e^\Psi), \quad (3.30)$$

$$\delta\psi = X\delta\tilde{\psi}. \quad (3.31)$$

Summing over (3.27)–(3.29) we obtain zero on the left-hand side due to the  $A_\infty$  relations, while on the right-hand side we find,

$$\begin{aligned}0 &= \omega(\delta\phi, Q\phi) + \omega(\delta\tilde{\psi}, Q\psi) + \sum_{k \geq 2} \omega((\delta\phi + \delta\psi), \mathcal{M}_k(\Psi, \Psi, \dots, \Psi)) \\ &= \delta \left( \frac{1}{2} \omega(\phi, Q\phi) + \omega(\tilde{\psi}, Q\psi) + \sum_{k \geq 2} \frac{1}{k+1} \omega(\Psi, \mathcal{M}_k(\Psi, \Psi, \dots, \Psi)) \right) - \omega(\tilde{\psi}, Q\delta\psi) \\ &= \delta S,\end{aligned}\quad (3.32)$$

where we used  $\omega(\tilde{\psi}, Q\delta\psi) = \delta \left( \frac{1}{2} \omega(\tilde{\psi}, QX\tilde{\psi}) \right)$  in the last step. Consequently, the transformations (3.30) and (3.31) are a bosonic gauge symmetry of the action. By replacing  $\Lambda$  with  $\tilde{\lambda}$  in (3.27)–(3.29) one verifies that the following transformation is a fermionic gauge symmetry,

$$\delta\phi + \delta\tilde{\psi} = Q\tilde{\lambda} + \sum_{n \geq 2} \mathcal{M}_n \mathbf{X} \tilde{\lambda}(e^\Psi), \quad (3.33)$$

$$\delta\psi = X\delta\tilde{\psi}, \quad (3.34)$$

where  $\mathbf{X}\tilde{\lambda}$  denotes the coderivation with 0-string product  $X\lambda$ .

In order to derive the gauge transformations corresponding to the parameter  $\lambda$ , let us recall that  $M_n$  and  $m_n(P^{<0>} + P^{<1>})$  give two commuting  $A_\infty$  structures [10]. Together with cyclicity of  $m_n(P^{<0>} + P^{<1>})$  w.r.t.  $\omega$  one can then deduce that the following transformations are a gauge symmetry of  $S$ , by imitating the previous derivation,

$$\delta\phi + \delta\tilde{\psi} = \sum_{n \geq 2} \mathcal{M}_n \lambda(e^\Psi), \quad (3.35)$$

$$\delta\psi = Q\lambda + X\delta\tilde{\psi}. \quad (3.36)$$

Notice that all gauge transformations preserve the constraint  $\psi = X\tilde{\psi}$  up to states of the form  $Q\lambda$  with  $\lambda$  not expressible in the form  $\lambda = X\rho$  for some picture  $-\frac{3}{2}$  state  $\rho$ .

Let us now comment on the applicability of our formalism to writing the proposal for the superstring action [12] in the small Hilbert space. Assuming the constraint (2.8), we can rewrite (3.25) without the need for the auxiliary field  $\tilde{\psi}$  as

$$S = \frac{1}{2}\omega(\phi, Q\phi) + \frac{1}{2}\omega(\psi, YQ\psi) + \frac{1}{3}\omega(\Psi, \mathcal{M}_2(\Psi, \Psi)) + \frac{1}{4}\omega(\Psi, \mathcal{M}_3(\Psi, \Psi, \Psi)) + \dots \quad (3.37)$$

where  $Y = c_0\delta'(\gamma_0)$  is the inverse picture changing operator in the restricted Hilbert space. The gauge transformation of this action agrees with that of (3.25) up to the contribution coming from the kinetic term that is

$$\delta S \propto \omega((X - X_0)(m_2(\Psi, \Lambda) + m_2(\Lambda, \Psi) + m_3(\Psi, \Lambda, \Psi + \dots)), YQ\psi) \quad (3.38)$$

Formally this term can be removed by replacing  $X$  by  $X_0$  (as well as  $\xi$  by  $\Theta(\beta_0)$ ) in the definition of the higher string products  $M_n$  and the gauge products  $\mu_n$  when applied to states containing one or two Ramond states, e.g. instead of (3.3) we take

$$M_2 = \frac{1}{3}\{X, m_2\}P_2^{<0>} + X_0m_2P_2^{<1>} + m_2P_2^{<2>} \quad (3.39)$$

and instead of (3.6) we take

$$\mu_2 = \Theta(\beta_0)m_2P_2^{<1>} + \frac{1}{3}\{\xi, m_2\}P_2^{<0>}. \quad (3.40)$$

However, for this choice of homotopy to be well defined, one needs that the  $m_n$ s are compatible with the particular realisation of the picture  $(-\frac{1}{2})$  states in terms of the zero modes  $\beta_0$  and  $\gamma_0$  described in section 2.

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