

# 

**Citation:** Bissler JJ, Kingswood JC, Radzikowska E, Zonnenberg BA, Belousova E, Frost MD, et al. (2017) Everolimus long-term use in patients with tuberous sclerosis complex: Four-year update of the EXIST-2 study. PLoS ONE 12(8): e0180939. https://doi.org/10.1371/journal.pone.0180939

**Editor:** Kathrin Eller, Medizinische Universitat Graz, AUSTRIA

Received: January 6, 2017

Accepted: June 21, 2017

Published: August 9, 2017

**Copyright:** © 2017 Bissler et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: Novartis supports the publication of scientifically rigorous analysis that is relevant to patient care, regardless of a positive or negative outcome. Qualified external researchers can request access to anonymized patient-level data, respecting patient informed consent, through www.clinicalstudydatarequest.com, according to requirements noted on the web portal.

**Funding:** The study sponsor Novartis Pharmaceuticals Corporation contributed to the study design, data analysis, and the decision to **RESEARCH ARTICLE** 

# Everolimus long-term use in patients with tuberous sclerosis complex: Four-year update of the EXIST-2 study

John J. Bissler<sup>1</sup>\*, J. Chris Kingswood<sup>2</sup>, Elzbieta Radzikowska<sup>3</sup>, Bernard A. Zonnenberg<sup>4</sup>, Elena Belousova<sup>5</sup>, Michael D. Frost<sup>6</sup>, Matthias Sauter<sup>7</sup>, Susanne Brakemeier<sup>8</sup>, Petrus J. de Vries<sup>9</sup>, Noah Berkowitz<sup>10</sup>, Maurizio Voi<sup>10</sup>, Severine Peyrard<sup>11</sup>, Klemens Budde<sup>8</sup>

 Department of Pediatric Nephrology, St. Jude Children's Research Hospital, Le Bonheur Children's Hospital, and the University of Tennessee Health Science Center, Memphis, Tennessee, United States of America, 2 Department of Nephrology, Royal Sussex County Hospital, Brighton, United Kingdom,
Department of Lung Diseases, National Tuberculosis and Lung Diseases Research Institute, Warsaw, Poland, 4 Department of Internal Medicine, Universitair Medisch Centrum, Utrecht, The Netherlands,
Department of Pediatrics, The Russian National Research Medical University named after N.I. Pirogov of the Ministry of Health of the Russian Federation, Moscow, Russia, 6 Department of Pediatric Neurology, Minnesota Epilepsy Group, St. Paul, Minnesota, United States of America, 7 Department of Nephrology, Medizinische Klinik und Poliklinik IV, Klinikum der Universität München, Munich, Germany, 8 Department of Nephrology, Charité Universitätsmedizin, Berlin, Germany, 9 Division of Child and Adolescent Psychiatry, University of Cape Town, Cape Town, South Africa, 10 Department of Oncology, Novartis Pharmaceuticals Corporation, East Hanover, New Jersey, United States of America, 11 Department of Oncology, Novartis Pharmaceuticals S.A.S., Rueil-Malmaison, France

\* jbissler@uthsc.edu

## Abstract

### Objectives

We examined the long-term effects of everolimus in patients with renal angiomyolipoma associated with tuberous sclerosis complex or sporadic lymphangioleiomyomatosis.

### Methods

Following favorable results from the double-blind core phase of EXIST-2 (NCT00790400), patients were allowed to receive open-label everolimus (extension phase). Patients initially randomly assigned to everolimus continued on the same dose; those who were receiving placebo crossed over to everolimus 10 mg/day. Dose modifications were based on tolerability. The primary end point was angiomyolipoma response rate, defined as a  $\geq$ 50% reduction from baseline in the sum volume of target renal angiomyolipomas in the absence of new target angiomyolipomas, kidney volume increase of >20% from nadir, and angiomyolipoma-related bleeding grade  $\geq$ 2. The key secondary end point was safety.

### Results

Of the 112 patients who received  $\geq$ 1 dose of everolimus, 58% (95% CI, 48.3% to 67.3%) achieved angiomyolipoma response. Almost all patients (97%) experienced reduction in renal lesion volumes at some point during the study period. Median duration of everolimus exposure was 46.9 months. Sixteen (14.3%) patients experienced angiomyolipoma



publish. Novartis authors reviewed the draft for submission. Third party support funded by Novartis was involved in the preparation of the manuscript under the direction of the authors.

**Competing interests:** JJB, JCK, ER, MDF, EB, MS, SB, BAZ, and KB have served as investigators on this study and received research grants (to their institutions) from Novartis. JJB, JCK, ER, MDF, EB, MS, PJdV, and KB have served as consultants and/ or participated in advisory boards for Novartis. JJB, JCK, MDF, MS, PJdV (donated to charity), SB, and KB have received travel honoraria from Novartis. PJdV serves as a co-principal investigator on two phase 2 studies funded in part by Novartis. BAZ has received research grants, was part of advisory boards, and received travel grants from Novartis. NB, MV, and SP are employees of Novartis. This does not alter our adherence to PLOS ONE policies on sharing data and materials.

progression at some point in the study. No angiomyolipoma-related bleeding or nephrectomies were reported. One patient on everolimus underwent embolization for worsening right flank pain. Subependymal giant cell astrocytoma lesion response was achieved in 48% of patients and skin lesion response in 68% of patients. The most common adverse events suspected to be treatment-related were stomatitis (42%), hypercholesterolemia (30.4%), acne (25.9%), aphthous stomatitis and nasopharyngitis (each 21.4%). Ten (8.9%) patients withdrew because of an adverse event. Renal function remained stable, and the frequency of emergent adverse events generally decreased over time.

### Conclusions

Everolimus treatment remained safe and effective over approximately 4 years. The overall risk/benefit assessment supports the use of everolimus as a viable treatment option for angiomyolipoma associated with tuberous sclerosis complex or sporadic lymphangioleiomyomatosis.

### **Trial registration**

ClinicalTrials.gov NCT00790400

### Introduction

Tuberous sclerosis complex (TSC) is a genetic disorder with a birth incidence of 1 in 6000 and results in growth of hamartomas in organs such as the brain, kidneys, skin, lungs, eyes, and heart [1,2]. In the brain, subependymal giant cell astrocytomas (SEGAs) develop in up to 20% of patients and their growth can potentially lead to ventricular obstruction, acute hydrocephalus, and death [3,4]. Skin lesions of various types including hypopigemented macules, ungual fibromas, and facial angiofibromas are the most readily visible TSC manifestation with more than 90% of patients possessing one or more lesions [4].

Angiomyolipomas are the most common renal lesions associated with TSC, occurring in up to 80% of patients [5-8]. They are also seen in 33%-50% of patients with sporadic lymphangioleiomyomatosis (LAM) [9]. Angiomyolipomas usually manifest as multifocal, bilateral, asymptomatic disease, but as the lesions exceed 3 to 4 cm, they may become symptomatic [10– 12] and carry an increased risk for aneurysms that can lead to hemorrhage [11,13]. Renal angiomyolipomas associated with TSC or sporadic LAM (sLAM) exhibit upregulated activity of mammalian target of rapamycin (mTOR) resulting from mutations in either TSC1 or TSC2 genes [4,14]. The TSC consensus guidelines recommend mTOR inhibitors as first-line therapy for asymptomatic growing angiomyolipomas measuring >3 cm in diameter, whereas selective embolization and kidney-sparing resection are acceptable second-line therapies [15]. The EXIST-2 (EXamining everolimus In a Study of Tuberous sclerosis complex) study demonstrated superiority of everolimus over placebo in angiomyolipoma response rate, time to angiomyolipoma progression, and skin lesion response rate in patients with angiomyolipoma associated with either TSC or sLAM [16,17]. Results from an interim analysis of the open-label extension phase demonstrated stability of everolimus effects over time [18]. Here we report data from the end of the EXIST-2 extension phase to evaluate the longer-term efficacy and safety of everolimus in treating renal angiomyolipoma.

### Methods

### Study participants

A complete description of study participants, design, and outcomes has been published [16,18]. In short, patients  $\geq$ 18 years of age with a definitive diagnosis of TSC per consensus criteria or sLAM confirmed by biopsy or chest computed tomography (CT) with  $\geq$ 1 angio-myolipoma measuring  $\geq$ 3 cm on longest diameter using CT/magnetic resonance imaging (MRI) were eligible. Patients were excluded if their angiomyolipoma necessitated surgery at randomization or if they had angiomyolipoma-related bleeding or embolization within 6 months before randomization. Patients who had carbon monoxide diffusion capacity (DL<sub>CO</sub>)  $\leq$ 35%, below-normal oxygen saturation at rest, or oxygen saturation  $\leq$ 88% on a 6-minute walking test (6MWT, with up to 6 L/min of oxygen) were also excluded [16].

The study was reviewed by an independent ethics committee or institutional review board for each center (see S1 Table for list of committees/review boards), and was conducted in compliance with Good Clinical Practice and under the principles of the Declaration of Helsinki. Informed written consent was obtained from each patient or the patient's guardian before randomization. The protocol of this trial and supporting CONSORT checklist are available as supporting information (see S1 Protocol and S1 CONSORT Checklist).

### Study design and treatment

EXIST-2 was a prospective, double-blind, randomized, parallel group, placebo-controlled, multicenter phase 3 study of everolimus 10 mg/day versus placebo [16,18]. During the doubleblind core phase, patients were unblinded if they had any evidence of angiomyolipoma progression, and those receiving placebo were allowed to cross over to receive open-label everolimus. The study was unblinded on September 9, 2011, after a planned interim review showed everolimus to be highly effective and safe, while patients receiving placebo experienced continued deterioration [18]. An extension phase ensued in which patients who had been on everolimus during the core phase continued to receive the same dose and those who had been on placebo were switched to open-label everolimus (10 mg/day) (S1 Fig). Dose reductions to 5 mg/day or 5 mg/every other day were permitted based on safety. The extension phase continued until 4 years after the last patient was randomly assigned, ensuring patient follow-up of 4 to 5 years. Any patient who discontinued everolimus had a follow-up visit 28 days after the last dose to assess safety.

This analysis from EXIST-2 presents all data from patients who received everolimus during either the core or the extension phase of the study up to the data cutoff date of February 4, 2015.

### Study outcomes

The primary efficacy outcome was the angiomyolipoma response rate, defined as the proportion of patients with a confirmed angiomyolipoma response ( $\geq$ 50% reduction in the sum volume of target renal angiomyolipomas [ $\geq$ 1 cm in longest diameter] relative to baseline in the absence of new target angiomyolipomas, kidney volume increase of >20% from nadir [to account for possible growth in non-target lesions], and angiomyolipoma-related bleeding grade  $\geq$ 2) [16]. Initial response required confirmation by second MRI. All MRI scans were analyzed in a central institute by independent radiologists. Additional end points included response duration, time to angiomyolipoma response and progression, proportion of patients with  $\geq$ 30% reduction in angiomyolipoma volume, proportion of patients with angiomyolipoma-related surgery, skin lesion response rate ( $\geq$ 50% improvement on skin lesions, based on Physicians Global Assessment), SEGA response rate ( $\geq$ 50% reduction in sum volume of target SEGA lesions in the absence of new target SEGA lesion with no worsening of nontarget SEGA lesions and no new or worsening hydrocephalus). Pulmonary function tests were monitored in patients with LAM. Skin lesion types included in the assessment for skin lesion response were facial angiofibromas, shagreen patches, periungual or subungual fibromas, hypomelanotic macules, and forehead plaques.

Safety assessments included collection of all adverse events (AEs) and serious AEs, with their severity. AEs were assessed according to the National Cancer Institute Common Terminology Criteria for Adverse Events, version 3.0 [19]. Serious AEs were defined as those that were fatal or life-threatening, resulted in persistent or significant disability/incapacity, constituted a congenital anomaly/birth defect, or required inpatient hospitalization or prolongation of existing hospitalization. Clinically notable AEs, defined as those AEs eliciting specific clinical interest in connection with everolimus, were also reported. Renal function was assessed using glomerular filtration rate (GFR), which was calculated using the Modification of Diet in Renal Disease formula [20]. Severe renal impairment was defined as a GFR <30 mL/min/1.73 m<sup>2</sup> (chronic kidney disease stage  $\geq$ 4).

### Statistical analysis

Combined data from the core and extension phases were analyzed for all patients who received at least one dose of everolimus using an intention-to-treat principle. Descriptive statistics were used to summarize baseline data and efficacy variables. The best percentage change from baseline at any time in the sum volume of target angiomyolipomas was represented by a waterfall plot. A piecewise linear mixed model with repeated measurements was used to link the postbaseline sum volume of angiomyolipoma lesions to the duration on treatment. The model included the sum volume of angiomyolipoma lesions at baseline as a covariate and considered two periods of time: the period in the first 3 months, and the period beyond the first 3 months. Kaplan-Meier curves were constructed for median duration of angiomyolipoma response. Summary statistics determined median time to angiomyolipoma response and progression, with statistics given as point estimates with 95% confidence interval (CI). Exact 95% CIs for angiomyolipoma, skin lesion, and SEGA responses were obtained from the Clopper-Pearson method. For median time to angiomyolipoma, skin lesion, and SEGA responses, 95% confidence interval were calculated with the LIFETEST procedure using the method of Brookmeyer & Crowley, 1982 [21]. Box plots depict GFR and creatinine values over time with mean, median, and interquartile range indicated. Statistical analyses were performed using SAS software (SAS Institute Inc., Cary, NC, USA).

### Results

### **Baseline characteristics**

Overall, 112 patients received at least one dose of everolimus and were included in this analysis: 79 patients originally randomly assigned to everolimus and 33 patients who switched to open-label everolimus from placebo. At the data cutoff (February 4, 2015), 83 patients (74.1%) completed the treatment duration as per protocol (Fig 1).

Most (65.2%) patients were women, and their median age was 32.2 years at baseline (Table 1). More than five target angiomyolipoma lesions at baseline were observed in 59.8% of patients, and 29.5% of patients had renal angiomyolipoma measuring  $\geq$ 8 cm. The median sum of volumes of target angiomyolipoma lesions was 92.1 (range, 2.8–1611.5) cm<sup>3</sup>. More than one-third of patients (37.5%) had undergone angiomyolipoma-related surgery before



Fig 1. CONSORT flow diagram of patient disposition in the double-blind followed by open-label periods. <sup>a</sup>Patients with angiomyolipoma progression were unblinded at the end of the double-blind phase, and patients who were on placebo were allowed to cross over to open-label everolimus.

PLOS ONE

initiating everolimus, and the median GFR at baseline was 86 (range, 23–178) mL/min/1.73 m<sup>2</sup>. Fifty patients (44.6%) had  $\geq$ 1 target SEGA lesion.

### Everolimus exposure

The median time on study was 47.2 (range, 0.9–65.3) months, and the median duration of everolimus exposure was 46.9 (range, 0.5–63.9) months. The median dose intensity was 8.7 (range, 1.9–19.3) mg/day, and the relative dose intensity (ratio of administered dose to planned dose) was 0.86. Most (82.1%) patients were exposed to everolimus for  $\geq$ 2.8 years, and 12.5% had exposure for  $\geq$ 4.5 years. Ten (8.9%) patients discontinued the study because of AEs. Approximately 80% of patients required  $\geq$ 1 dose interruption and/or reduction; AEs were the most common reason (66.1% for dose interruptions, 59.8% for dose reductions). At 4 years

Characteristic	Everolimus N = 112
Age, median (range), years	32.2 (18.1–61.6)
Age category, years, n (%)	·
<30	49 (43.8)
≥30	63 (56.3)
Sex, n (%)	
Male	39 (34.8)
Female	73 (65.2)
Race, n (%)	·
White	99 (88.4)
Asian	11 (9.8)
Other <sup>a</sup>	2 (1.8)
Diagnosis of TSC, <sup>b</sup> n (%)	107 (95.5)
Diagnosis of sporadic LAM, n (%)	5 (4.5)
Diagnosis of LAM, n (%)	24 (21.4)
Presence of $\geq$ 1 SEGA lesion, n (%)	50 (44.6)
Presence of $\geq$ 1 skin lesion, n (%)	107 (95.5)
Facial angiofibromas or forehead plaque	105 (93.8)
Ungual or periungual fibroma	68 (60.7)
Hypomelanotic macules (three or more)	69 (61.6)
Shagreen patch	54 (48.2)
'Confetti' skin lesions	30 (26.8)
Prior renal angiomyolipoma—related surgery, n (%)	42 (37.5)
Prior nephrectomy, n (%)	21 (18.8)
Bilateral renal angiomyolipoma lesions, n (%)	88 (78.6)
Longest diameter of largest renal angiomyolipoma lesion, n (%)	
≥8 cm	33 (29.5)
$\geq$ 4 cm and <8 cm	64 (57.1)
$\geq$ 3 cm and <4 cm	7 (6.3)
<3 cm	6 (5.4)
Unknown	0
Not applicable	2 (1.8)
Target renal angiomyolipoma lesions $\geq$ 1 cm, n (%)	
0	2 (1.8)
1–5	43 (38.4)
6–10	67 (59.8)
Sum of volumes of target renal angiomyolipoma lesions	
Number of patients with $\geq$ 1 target renal angiomyolipoma	110
Median (range), cm <sup>3</sup>	92.1 (2.8–1611.5)

#### Table 1. Patient baseline characteristics.

Abbreviations: LAM = lymphangioleiomyomatosis; SEGA = subependymal giant cell astrocytoma; TSC = tuberous sclerosis complex.

<sup>a</sup>Designates patients who were of mixed race.

<sup>b</sup>All patients with diagnosed TSC had  $\geq$ 2 major features of TSC. In patients with both LAM and renal angiomyolipoma, another feature must have been identified to assign TSC diagnosis.

https://doi.org/10.1371/journal.pone.0180939.t001



Fig 2. Best percentage reduction in the sum volume of target renal angiomyolipomas each individual patient reported at any time point in the study in 101 evaluable patients.<sup>a a</sup> 11 patients were considered "non-evaluable" due to missing overall angiomyolipoma response status at each radiological assessment. Among the 12 patients with a best overall response with the status "not evaluable", only one patient reported at least one radiological assessment with a non-missing overall angiomyolipoma response status.

into the study, approximately 60% of patients were taking 10 mg/day of everolimus (as per protocol), approximately 30% of patients were taking between 5 and <10 mg/day of everolimus (mostly 5 mg/day) and 10% to 15% of patients were taking between 0 and <5 mg/day (mostly 5 mg/every other day) out of the 58 patients who had at least 4 years of exposure to everolimus in the study.

### Renal angiomyolipoma response

Of the 112 patients with  $\geq 1$  target renal angiomyolipoma at baseline, a confirmed response was achieved in 65 patients (58.0%; 95% CI, 48.3%–67.3%) at any time. The median time to angiomyolipoma response was 2.89 months (95% CI, 2.79 to 3.19). A best overall result of stable disease (between <50% reduction in lesion volume from baseline and <25% increase in volume over nadir) was reported in 34 patients (30.4%; 95% CI, 22.0%–39.8%); one patient (0.9%; 95% CI, 0.0%–4.9%), experienced disease progression within 18 weeks of the start of everolimus as a best response. Twelve (10.7%; 95% CI, 5.7%–18.0%) patients were not evaluable for response, primarily because of missing baseline kidney volume measurements.

At least one reduction from baseline in the sum of volumes of target angiomyolipoma lesions was observed in 98 (97%) of 101 evaluable patients at some point in the study (Fig 2). Renal angiomyolipoma volume reductions of  $\geq$ 30% were maintained for  $\geq$ 75% of patients at all time points. The proportion of patients achieving  $\geq$ 50% reductions increased over time (Fig 3). The longitudinal analysis confirmed that longer treatment duration was associated with lower postbaseline sum volumes of angiomyolipoma lesions, especially within the first 3 months after treatment was initiated. During the initial 3-month period, a 46% (95% CI, 37%–54%) mean reduction in the post-baseline sum volumes of angiomyolipoma lesions was estimated. Beyond this period, three additional months of treatment resulted in a slight reduction of less than 2% (95% CI, <1%–2%) in the post-baseline sum volumes of angiomyolipoma lesions. Overall, renal angiomyolipoma progression was observed in 16 (14.3%; 95% CI, 8.4%–22.2%) patients (Fig 4). Reasons for progression were increased angiomyolipoma size (6 patients) and kidney enlargement (10 patients); 9 continued the treatment despite disease progression because of a perceived clinical benefit. Progressive disease was preceded by dose reduction or interruption in 13 of the 16 patients (81.3%), similar to the reduction/interruption rate in the overall study





population (80.4%, 90/112). Only 2 of the 65 patients who achieved a confirmed angiomyolipoma response had disease progression based on their lowest angiomyolipoma volume during the course of the trial. The duration from the first response to angiomyolipoma progression or the last available radiologic assessment ranged from 3.0 to 55.5 months.

### Skin lesion response

Of the 107 patients with  $\geq$ 1 skin lesion at baseline, investigators noted response in 73 patients (68.2%; 95% CI, 58.5%–76.9%; complete clinical response [n = 1, 0.9%; 95% CI, 0.0%–5.1%]



Fig 4. Time to renal angiomyolipoma progression.

https://doi.org/10.1371/journal.pone.0180939.g004



and partial response of  $\geq$ 50% to <100% [n = 72, 67.3%; 95% CI, 57.5%–76.0%]); 29 (27.1%; 95% CI, 19%–36.6%) patients had stable disease. The proportion of responders increased steadily over time (from 23% [24/103] at week 12 to 70% [39/56] at week 204). The median time to skin lesion response was 8.41 months (95% CI, 5.59–11.53). None of the 73 responders experienced progression of skin lesions.

### Subependymal giant cell astrocytoma lesion response

Among 50 patients with  $\geq 1$  baseline SEGA lesion measuring  $\geq 1.0$  cm, the response rate was 48% (24/50; 95% CI, 33.7%–62.6%), and 42% of patients (21/50; 95% CI, 28.2%-56.8%) achieved stable disease (five were not evaluable). Patients experienced gradual decreases in median SEGA volumes over time (week 12, -35.2%; week 144, -53.3%; and week 240, -54.4%). More than half the patients had  $\geq 30\%$  reduction in SEGA volume at all time points, and the proportion of patients with  $\geq 50\%$  reduction in SEGA volume increased over time (Fig 5). The median time to SEGA response was 8.31 months (95% CI, 5.55–11.30 months). None of the 24 SEGA responders experienced SEGA progression during the study.

### Changes in pulmonary function

Among 29 patients with LAM who were treated with everolimus, forced expiratory volume in 1 second (FEV<sub>1</sub>), forced vital capacity (FVC), and  $DL_{CO}$  decreased slightly over time. The median percentage change in FEV<sub>1</sub> from baseline was -3.45% at week 24, -5.88% at week 96, and -9.00% at week 192. The median percentage change in FVC was 0% at week 24, -1.25% at week 96, and -4.29% at week 192. The median percentage change in DL<sub>CO</sub> was -2.69% at week 24, -10.19% at week 96, and -10.62% at week 192. In addition, there was an increase in total lung capacity (median percentage change in TLC: week 24, 0%; week 96, 0.89\%; week 192, 7.13\%) and residual volume (median percentage change in RV: week 24, 0%; week 96, 3.94\%; week 192, 14.29\%) over time. Percentage changes and absolute values over time are provided in Table 2.

	Everolimus n = 29		
	Week 24 n = 23	Week 96 n = 22	Week 192 n = 18
FEV <sub>1</sub>			
Baseline, median (min, max), L	2.8 (1.2, 3.6)	2.55 (1.2, 3.5)	2.8 (1.2, 3.6)
Assessment, median (min, max), L	2.6 (1.3, 3.6)	2.55 (1.0, 3.5)	2.45 (0.9, 3.4)
Median percentage change from baseline	-3.45	-5.88	-9
FVC			
Baseline, median (min, max), L	3.6 (1.8, 5.2)	3.6 (1.8, 5.2)	3.65 (2.1, 5.2)
Assessment, median (min, max), L	3.6 (2.0, 5.7)	3.6 (1.7, 5.4)	3.6 (2.1, 5.7)
Median percentage change from baseline	0	-1.25	-4.29
DL <sub>CO</sub>			
Baseline, median (min, max), mmol/min/Kpa	6.01 (2.7, 9.6)	5.76 (2.7, 9.6)	6.01 (2.7, 9.6)
Assessment, median (min, max), mmol/min/KPa	5.74 (1.3, 8.2)	5.23 (2.2, 9.4)	5.95 (2.4, 7.0)
Median percentage change from baseline	-2.69	-10.19	-10.62

#### Table 2. Pulmonary function tests in patients with LAM over time.

Abbreviations:  $DL_{CO}$  = diffusing capacity of the lung for carbon monoxide;  $FEV_1$  = forced expiratory volume in 1 second; FVC = forced vital capacity.

https://doi.org/10.1371/journal.pone.0180939.t002

### Safety outcomes

Most of the reported AEs were of grade 1 or 2 in severity. Individual grade 3 AEs occurred at a frequency  $\leq$ 4.5%, and the most frequently reported that occurred in  $\geq$ 2% were decreased blood phosphorus (laboratory abnormality; 4.5%), hypophosphatemia (per investigator; 2.7%), amenorrhea (3.6%), and epilepsy (2.7%). Individual grade 4 AEs were infrequent; the most common was blood uric acid increased (2.7%). The most common AEs suspected to be related to everolimus ( $\geq$ 20% of the patients) were stomatitis (42%), hypercholesterolemia (30.4%), acne (25.9%), and aphthous stomatitis and nasopharyngitis (21.4% each). Emergence of individual AEs was generally highest in the first year and decreased each subsequent year (<u>Table 3</u>). Serious AEs were observed in 37.5% of the patients, most frequently epilepsy (5.4%) and pneumonia (2.7%). Several AEs that have been previously noted with everolimus were monitored closely for their clinical impact. Infections were noted in 91.1%. Most

Table 3. Adverse events by preferred term regardless of rel	ationship to study drug and b	by year of emergence (>15% of p	patients).
---	-------------------------------	---------------------------------	------------

Adverse events, n (%)	$\leq$ 12 months N = 112	13–24 months n = 101	25–36 months n = 100	37–48 months n = 91	49–60 months n = 52
Stomatitis	46 (41.1)	9 (8.9)	5 (5.0)	5 (5.5)	2 (3.8)
Nasopharyngitis	36 (32.1)	21 (20.8)	20 (20.0)	20 (22.0)	6 (11.5)
Acne	28 (25.0)	8 (7.9)	6 (6.0)	2 (2.2)	0
Headache	26 (23.2)	11 (10.9)	6 (6.0)	4 (4.4)	1 (1.9)
Hypercholesterolemia	25 (22.3)	13 (12.9)	11 (11.0)	7 (7.7)	1 (1.9)
Aphthous stomatitis	21 (18.8)	15 (14.9)	9 (9.0)	5 (5.5)	2 (3.8)
Fatigue	19 (17.0)	2 (2.0)	4 (4.0)	4 (4.4)	2 (3.8)
Cough	18 (16.1)	4 (4.0)	4 (4.0)	3 (3.3)	0
Diarrhoea	17 (15.2)	7 (6.9)	7 (7.0)	4 (4.4)	1 (1.9)
Mouth ulceration	17 (15.2)	6 (5.9)	5 (5.0)	2 (2.2)	0
Nausea	17 (15.2)	5 (5.0)	2 (2.0)	3 (3.3)	0

https://doi.org/10.1371/journal.pone.0180939.t003

infections involved the upper respiratory tract and were mild to moderate in severity. In addition to administration of appropriate non-drug therapy and concomitant medication, infections lead to everolimus dose interruptions or adjustments in 41 patients (36.6%). Stomatitis/related events were reported in 73.2% of patients. Hemorrhage-related events (prolonged activated partial thromboplastin time, decreased hemoglobin, menorrhagia, epistaxis, vaginal hemorrhage, international normalized ratio increased, and metrorrhagia) were reported in 49.1% of patients. Female fertility—related events (including secondary amenorrhea) occurred in 47.9% (34 of 71 females between 10 and 55 years of age) of patients, and 31% (22/71) experienced  $\geq 1$  episode of amenorrhea. Three patients reported grade 3 amenorrhea suspected to be related to everolimus. Two patients reported amenorrhea events requiring dose adjustment; none led to study discontinuation. Noninfectious pneumonitis occurred in two patients (both grade 2).

Note that an adverse event is counted only in the time period in which it started.

None of the treated patients reported angiomyolipoma-related bleeding during the study. The most common AEs requiring additional therapy included nasopharyngitis and stomatitis (33% each). One death (status epilepticus) was reported and was not suspected by the investigator to be treatment related.

### **Renal function**

Most patients had GFR >30 mL/min/1.73 m<sup>2</sup> or normal serum creatinine values while receiving treatment with everolimus (92.9% and 83.9%, respectively). Median GFR and serum creatinine values remained stable during the everolimus treatment (Fig 6). Severe renal impairment (postbaseline GFR < 30 mL/min/1.73 m<sup>2</sup>) was observed in eight (7.1%) patients. All eight patients had GFR <60 mL/min/1.73 m<sup>2</sup> at baseline, including three patients with GFR <30 mL/min/1.73 m<sup>2</sup> before everolimus initiation. In addition, 8 of 23 patients with GFR <60 mL/ min/1.73 m<sup>2</sup> at baseline attained a GFR below 30 mL/min/1.73 m<sup>2</sup> while on everolimus, whereas in 15 patients GFR remained stable or increased. Grade 1 or 2 elevations in serum creatinine were observed in 15.2% of patients, and one patient (0.9%) had a grade 3 elevation in serum creatinine. Among the 18 patients with grade  $\geq$ 1 elevations in serum creatinine, half reported normal creatinine level at baseline and half reported grade 1 or 2 serum creatinine increase before starting everolimus. Renal events occurred in 5% (4/79) of everolimus- and 15% (6/39) of placebo-treated patients during the double-blind period (median treatment duration, 8.8 and 7.8 months, respectively) [16,17] and in 20.5% of the 112 everolimus-treated patients for this final analysis (treatment duration, 46.9 months). Significant worsening of existing proteinuria (continuous urine dipstick  $\geq$ 2 stages higher than baseline) occurred in two patients.

### Angiomyolipoma-related clinical events

Among the 112 patients who received everolimus, two patients underwent angiomyolipomarelated interventions. One patient receiving everolimus for 1.5 years underwent embolization after pain worsened in the right flank (progressive disease). Another patient underwent elective left partial nephrectomy following everolimus discontinuation (after 3 years of exposure) in an apparent attempt to use everolimus to reduce tumor burden prior to surgery.

### Discussion

This analysis from the conclusion of treatment in EXIST-2 supports the long-term efficacy and safety of everolimus in patients with renal angiomyolipoma associated with TSC or sLAM. Renal angiomyolipoma response continued to improve from 41.8% in the core phase (median



Fig 6. Median GFR (A) and creatinine (B) over time. Medians are connected by lines, means are displayed as dots. Boxes are drawn from P25 to P75. Whiskers extend from P10 to P90. # indicates values that lie outside [P10, P90]. \*Baseline assessment is the last performed before start of everolimus. Post-baseline laboratory assessments performed at unplanned schedule or more than 28 days after discontinuation of everolimus are not presented. Only results from central laboratory are included. Abbreviations: BL = baseline; GFR = glomerular filtration rate; P = percentile.

https://doi.org/10.1371/journal.pone.0180939.g006

Four-year update of the EXIST-2 clinical trial

PLOS ONE

exposure, 8.8 months) to 58% in the extension phase (median exposure, 46.9 months) [16]. Clinically relevant renal angiomyolipoma reductions persisted over time as shown by the longitudinal data analysis which demonstrated an important reduction in the first 3 months of treatment and a continuous but less marked reduction later on. Low rates of renal angiomyolipoma progression were observed.

Over the 4-year duration of everolimus treatment, angiomyolipoma-related complications or procedural interventions were uncommon, and no treated patient experienced an angiomyolipoma-associated hemorrhage, whereas one-third of the patients experienced major renal interventions before entering the trial.

Everolimus was also associated with sustained reductions in SEGA volume and improvement in skin lesions. In the subset of patients with TSC-associated LAM, a lower than expected rate of decline in pulmonary function was reported. A 12-month, placebo-controlled, doubleblind study in patients with LAM reported an annual decline in FEV<sub>1</sub> of 10% in patients taking placebo (n = 43) [22], whereas the current study reported a decline of 5.9% after nearly 2 years of everolimus treatment. Together, the evidence supports a multisystem clinical impact of everolimus on various manifestations of TSC.

The longer-term safety profile of everolimus was consistent with that previously reported in TSC-associated clinical settings with everolimus [23-26]. AEs occurred in most patients but were managed by dose adjustment in the majority. After the placebo arm was discontinued, it was difficult to distinguish between true everolimus side effects and AEs due to TSC (eg, infections were just as common in the placebo as in the everolimus group in the double-blind phase [16]). Some AEs, however, were predictable or known effects of mTOR inhibitors, often attributed to downregulation of cellular turnover (eg, amenorrhea, mouth ulcers). Nearly threequarters of the patients completed this long-term study as per protocol. Stomatitis, an event known to be associated with everolimus, was the most frequent AE. While infections were common and mostly mild to moderate in severity during the study, it should be noted that severe life-threatening infections have been reported in patients treated with everolimus for TSC [27]. The frequency of emergent AEs of all types decreased over time. Renal function remained stable in most patients, and no angiomyolipoma-related bleeding events were reported. Immediate surgical intervention with embolization was performed in one patient (during the study period), and nephrectomy was performed in another (after treatment discontinuation). The observation of a single embolization while on everolimus over a median exposure of approximately 4 years indicates that treatment was successful and suggests a need for longer-term mTOR inhibition to continue to prevent or slow tumor regrowth, preserve renal function, and reduce the need for future embolization. This reduction in hemorrhage frequency could be due to restoration of a more appropriate mTORC1 axis in the TSC mutant vascular pericytes, thus preventing vascular remodeling and aneurysm formation [28]. Better angiographic data are required to assess the vascular effects on therapy [10]. Patients included in this study were at high risk for long-term renal deterioration because most of them had large, bilateral angiomyolipomas at baseline. Sustained clinical responses to everolimus in angiomyolipoma and other lesional manifestations of TSC—such as skin lesions, SEGA, and LAM—support everolimus as a viable treatment option for TSC and its potential to be a disease-modifying therapy in these patients.

Given the magnitude of effect with everolimus in the primary analysis, maintenance of an untreated arm was unethical, thus justifying the omission of the placebo arm in the extension phase. A planned noninterventional follow-up of EXIST-2 will provide longer-term data to determine angiomyolipoma characterizations for up to 1 year after the discontinuation of everolimus. Results of EXIST-2 demonstrate that everolimus is a safe and effective longer-term therapy for patients with renal angiomyolipoma associated with TSC or sLAM not requiring

immediate surgical intervention. Early treatment with everolimus may prevent progressive damage to renal tissue, reduce renal-related complications and the need for renal intervention, and improve patient outcomes.

### **Supporting information**

S1 Table. List of ethics committees and/or intuitional review boards that approved the EXIST-2 study.

(DOCX)

**S1 Protocol. EXIST-2 study protocol.** (PDF)

S1 CONSORT Checklist. CONSORT 2010 checklist of information to include when reporting a randomized trial. (DOC)

**S1 Fig. Study design.** CT = computed tomography; EIAED = enzyme-inducing antiepileptic drug; MRI = magnetic resonance imaging; sLAM = sporadic lymphangioleiomyomatosis; TSC = tuberous sclerosis complex. <sup>a</sup>Enrollment occurred between April 28, 2009, and December 30, 2010. <sup>b</sup>Dose adjusted based on toxicity. <sup>c</sup>Angiomyolipoma progression by central review or occurrence of adverse event of angiomyolipoma-related bleeding grade 2 or worse. (EPS)

### Acknowledgments

The authors thank the EXIST-2 patients, study investigators, and study site personnel for their participation in this study. This study was supported by Novartis Pharmaceuticals Corporation. We thank Rama Mylapuram and Manojkumar Patel, Novartis Healthcare Pvt. Ltd. for providing editorial assistance with this manuscript. Medical editorial assistance was also provided by Traci Stuve and Robert Schoen of ApotheCom (Yardley, PA), funded by Novartis Pharmaceuticals Corporation.

### **Author Contributions**

**Conceptualization:** John J. Bissler, J. Chris Kingswood, Elzbieta Radzikowska, Petrus J. de Vries.

Data curation: Severine Peyrard.

Formal analysis: Noah Berkowitz, Severine Peyrard.

**Investigation:** John J. Bissler, J. Chris Kingswood, Elzbieta Radzikowska, Bernard A. Zonnenberg, Elena Belousova, Michael D. Frost, Matthias Sauter, Susanne Brakemeier, Klemens Budde.

Methodology: John J. Bissler, J. Chris Kingswood, Elzbieta Radzikowska, Petrus J. de Vries.

Project administration: Noah Berkowitz, Maurizio Voi.

**Resources:** John J. Bissler, J. Chris Kingswood, Elzbieta Radzikowska, Bernard A. Zonnenberg, Elena Belousova, Michael D. Frost, Matthias Sauter, Susanne Brakemeier, Klemens Budde.

Supervision: John J. Bissler, Noah Berkowitz, Klemens Budde.

Validation: Severine Peyrard.

Visualization: John J. Bissler, J. Chris Kingswood, Petrus J. de Vries, Noah Berkowitz, Severine Peyrard, Klemens Budde.

Writing - original draft: John J. Bissler, J. Chris Kingswood, Klemens Budde.

Writing – review & editing: John J. Bissler, J. Chris Kingswood, Elzbieta Radzikowska, Bernard A. Zonnenberg, Elena Belousova, Michael D. Frost, Matthias Sauter, Susanne Brakemeier, Petrus J. de Vries, Noah Berkowitz, Maurizio Voi, Severine Peyrard, Klemens Budde.

### References

- Osborne JP, Fryer A, Webb D: Epidemiology of tuberous sclerosis. Ann N Y Acad Sci. 1991; 615: 125– 127. PMID: 2039137
- Curatolo P, Bombardieri R, Jozwiak S: Tuberous sclerosis. Lancet. 2008; 372: 657–668. <u>https://doi.org/10.1016/S0140-6736(08)61279-9 PMID: 18722871</u>
- Crino PB, Nathanson KL, Henske EP: The tuberous sclerosis complex. N Engl J Med. 2006; 355: 1345–1356. https://doi.org/10.1056/NEJMra055323 PMID: 17005952
- Budde K, Gaedeke J: Tuberous sclerosis complex-associated angiomyolipomas: focus on mTOR inhibition. Am J Kidney Dis. 2012; 59: 276–283. https://doi.org/10.1053/j.ajkd.2011.10.013 PMID: 22130643
- Cook JA, Oliver K, Mueller RF, Sampson J. A cross sectional study of renal involvement in tuberous sclerosis. J Med Genet. 1996; 33: 480–484. PMID: 8782048
- 6. Ewalt DH, Sheffield E, Sparagana SP, Delgado MR, Roach ES. Renal lesion growth in children with tuberous sclerosis complex. J Urol. 1998; 160: 141–145. PMID: 9628635
- O'Callaghan FJ, Noakes MJ, Martyn CN, Osborne JP. An epidemiological study of renal pathology in tuberous sclerosis complex. BJU Int. 2004; 94: 853–857. https://doi.org/10.1111/j.1464-410X.2004. 05046.x PMID: 15476522
- Rakowski SK, Winterkorn EB, Paul E, Steele DJ, Halpern EF, Thiele EA. Renal manifestations of tuberous sclerosis complex: incidence, prognosis, and predictive factors. Kidney Int. 2006; 70: 1777–1782. https://doi.org/10.1038/sj.ki.5001853 PMID: 17003820
- Nelson CP, Sanda MG. Contemporary diagnosis and management of renal angiomyolipoma. J Urol. 2002; 168: 1315–1325 PMID: 12352384
- Rabenou RA, Charles HW. Differentiation of sporadic versus tuberous sclerosis complex-associated angiomyolipoma. AJR Am J Roentgenol. 2015; 205: 292–301. https://doi.org/10.2214/AJR.14.14255 PMID: 26204278
- Yamakado K, Tanaka N, Nakagawa T, Kobayashi S, Yanagawa M, Takeda K. Renal angiomyolipoma: relationships between tumor size, aneurysm formation, and rupture. Radiology. 2002; 225: 78–82. https://doi.org/10.1148/radiol.2251011477 PMID: 12354988
- 12. Eijkemans MJ, van der Wal W, Reijnders LJ, Roes KC, van Waalwijk van Doorn-Khosrovani SB, Pelletier C, et al. Long-term follow-up assessing renal angiomyolipoma treatment patterns, morbidity, and mortality: an observational study in tuberous sclerosis complex patients in the Netherlands. Am J Kidney Dis 2015; 66: 638–645. https://doi.org/10.1053/j.ajkd.2015.05.016 PMID: 26165440
- Sooriakumaran P, Gibbs P, Coughlin G, Attard V, Elmslie F, Kingswood C, et al. Angiomyolipomata: challenges, solutions, and future prospects based on over 100 cases treated. BJU Int. 2010; 105: 101– 106. https://doi.org/10.1111/j.1464-410X.2009.08649.x PMID: 19493268
- Curatolo P, Moavero R. mTOR inhibitors in tuberous sclerosis complex. Curr Neuropharmacol. 2012; 10: 404–415. https://doi.org/10.2174/157015912804143595 PMID: 23730262
- Krueger DA, Northrup H. Tuberous sclerosis complex surveillance and management: recommendations of the 2012 International Tuberous Sclerosis Complex Consensus Conference. Pediatr Neurol. 2013; 49: 255–265. https://doi.org/10.1016/j.pediatrneurol.2013.08.002 PMID: 24053983
- Bissler JJ, Kingswood JC, Radzikowska E, Zonnenberg BA, Frost M, Belousova E, et al. Everolimus for angiomyolipoma associated with tuberous sclerosis complex or sporadic lymphangioleiomyomatosis (EXIST-2): a multicentre, randomised, double-blind, placebo-controlled trial. Lancet. 2013; 381: 817– 824. https://doi.org/10.1016/S0140-6736(12)61767-X PMID: 23312829
- 17. Afinitor [package insert]. East Hanover, NJ: Novartis Pharmaceutical Corporation; 2016.
- 18. Bissler JJ, Kingswood JC, Radzikowska E, Zonnenberg BA, Frost M, Belousova E, et al. Everolimus for renal angiomyolipoma in patients with tuberous sclerosis complex or sporadic

lymphangioleiomyomatosis: extension of a randomized controlled trial. Nephrol Dial Transplant. 2016; 31: 111–119. https://doi.org/10.1093/ndt/gfv249 PMID: 26156073

- National Cancer Institute. Cancer therapy evaluation program: common terminology criteria for adverse events, version 3.0 (CTCAE). National Cancer Institute, Bethesda, MD: 2006.
- Levey AS, Coresh J, Greene T, Stevens LA, Zhang YL, Hendriksen S, et al. Using standardized serum creatinine values in the modification of diet in renal disease study equation for estimating glomerular filtration rate. Ann Intern Med. 2006; 145: 247–254. PMID: 16908915
- **21.** Brookmeyer R, Crowley J. A Confidence Interval for the Median Survival Time. Biometrics. 1982; 38: 29–41.
- McCormack FX, Inoue Y, Moss J, Singer LG, Strange C, Nakata K, et al. Efficacy and safety of sirolimus in lymphangioleiomyomatosis. N Engl J Med. 2011; 364: 1595–1606. <u>https://doi.org/10.1056/ NEJMoa1100391</u> PMID: 21410393
- 23. Franz DN, Belousova E, Sparagana S, Bebin EM, Frost M, Kuperman R, et al. Efficacy and safety of everolimus for subependymal giant cell astrocytomas associated with tuberous sclerosis complex (EXIST-1): a multicentre, randomised, placebo-controlled phase 3 trial. Lancet. 2013; 381: 125–132. https://doi.org/10.1016/S0140-6736(12)61134-9 PMID: 23158522
- Krueger DA, Care MM, Holland K, Agricola K, Tudor C, Mangeshkar P, et al. Everolimus for subependymal giant-cell astrocytomas in tuberous sclerosis. N Engl J Med. 2010; 363: 1801–1811. <u>https://doi.org/ 10.1056/NEJMoa1001671</u> PMID: 21047224
- Krueger DA, Care MM, Agricola K, Tudor C, Mays M, Franz DN. Everolimus long-term safety and efficacy in subependymal giant-cell astrocytoma. Neurology. 2013; 80: 574–580. https://doi.org/10.1212/ WNL.0b013e3182815428 PMID: 23325902
- Franz DN, Agricola K, Mays M, Tudor C, Care MM, Holland-Bouley K, et al. Everolimus for subependymal giant cell astrocytoma: 5-year final analysis. Ann Neurol. 2015; 78: 929–938. <u>https://doi.org/10. 1002/ana.24523 PMID: 26381530</u>
- Trelinska J, Dachowska I, Kotulska K, Fendler W, Jozwiak S, Mlynarski W. Complications of mammalian target of rapamycin inhibitor anticancer treatment among patients with tuberous sclerosis complex are common and occasionally life-threatening. Anticancer Drugs. 2015; 26: 437–442. <u>https://doi.org/ 10.1097/CAD.00000000000207 PMID: 25719621</u>
- Siroky BJ, Yin H, Dixon BP, Reichert RJ, Hellmann AR, Ramkumar T, et al. Evidence for pericyte origin of TSC-associated renal angiomyolipomas and implications for angiotensin receptor inhibition therapy. Am J Physiol Renal Physiol. 2014; 307: F560–F570. https://doi.org/10.1152/ajprenal.00569.2013 PMID: 24920756