Improving Topical Non-Melanoma Skin Cancer Treatment: In vitro Efficacy of a Novel Guanosine-Analog Phosphonate

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Abstract

Actinic keratosis, a frequent carcinoma in situ of non-melanoma skin cancer (NMSC), can transform into life-threatening cutaneous squamous cell carcinoma. Current treatment is limited due to low complete clearance rates and asks for novel therapeutic concepts; the novel purine nucleotide analogue OxBu may be an option. In order to enhance skin penetration, solid lipid nanoparticles (SLN, 136–156 nm) were produced with an OxBu entrapment efficiency of 96.5 ± 0.1%. For improved preclinical evaluation, we combined tissue engineering with clinically used keratin-18 quantification. Three doses of 10⁻³ mol/l OxBu, dissolved in phosphate-buffered saline as well as loaded to SLN, were effective on reconstructed NMSC. Tumour response and apoptosis induction were evaluated by an increase in caspase-cleaved fragment of keratin-18, caspase-7 activation as well as by reduced expression of matrix metallopeptidase-2 and Ki-67.

OxBu efficacy was superior to equimolar 5-fluorouracil solution, and thus the drug should be subjected to the next step in preclinical evaluation.

Introduction

Actinic keratosis is the carcinoma in situ of cutaneous squamous cell carcinoma (cSCC), a non-melanoma skin cancer (NMSC). Being associated with a papillomavirus infection and frequent exposure to the sun, prevalence is 6–25% in western populations and up to 60% in Australia [1]. Up to 20% of untreated actinic keratosis lesions develop into malignant cSCC, and up to 82% of invasive cSCC arise close to actinic keratosis lesions [2]. Since the prediction of carcinoma transformation to the invasive disease is not feasible, elimination of the lesions by field pharmacotherapy in the premalignant actinic keratosis stage is highly recommended [3]. Yet, current treatment options are either not sufficiently effective or very painful.

Key Words

Drug delivery systems · Keratin-18 · Preclinical drug development · Purine nucleotide analogues · Tissue engineering · Topical administration · Non-melanoma skin cancer
or both, and thus cSCC has become a most prevalent lethal risk factor in heart and kidney transplant recipients [4]. Complete cure rates in large-size clinical studies are less than 70% with 5-fluoruracil (5-FU), diclofenac/hyaluronic acid [3], imiquimod [5] and photodynamic therapy using 5-aminolaevulinic acid followed by red light irradiation. Response rates are up to 91% when using methyl 5-aminolaevulinate as photosensitizer for minor to moderate lesions [6]. Although the ingenol mebutate efficacy in larger multicentre trials is even lower (34–42%) [7], the outcome looks promising with respect to the short-course treatment. In a 12-month follow-up study of ingenol mebutate, sustained clearance was maximally 46% [8].

We addressed the unmet clinical need of effective NMSC field therapy by developing a set of DNA polymerase inhibitors by molecular modelling and ligand docking using antiviral agents as a starting point [9, 10]. Several compounds inhibited the proliferation of SCC cell lines clearly more effectively than of normal human keratinocytes [11]. In-depth, preclinical evaluation of the most selectively acting compound OxBu was the primary goal of the present study. Since the predictive value of animal-generated pharmacological data for humans is low (70%) [12], we decided on an advanced human-cell-based NMSC model using a set of biomarkers for read-out. This includes the caspase-cleaved fragment of keratin-18 (ccK18) and total keratin-18 (tK18) being used to evaluate drug-induced apoptosis in patients [13, 14].

The second goal of the present study was to investigate the potential surplus value of solid lipid nanoparticles (SLN) for topical treatment with OxBu. The molecular size of the guanosine-analog phosphonate OxBu exceeds 400 g/mol [11] which is not optimal for topical therapy [15], in particular when aiming for the use in hyperkeratotic skin. Moreover, 5H bond acceptors are at the cutting edge of cutaneous absorbability [16]. Thus, penetration enhancement by drug loading to an efficient carrier system may be an option. SLN can provide high drug encapsulation capacity, protect labile compounds, ensure long-term physical stability, improve bioavailability [17] and can restrict systemic toxicity through limited access of the agent to blood vessels [18–20].

Materials and Methods

Materials

OxBu (MW 436.4 g/mol, logP 1.36) was synthesized [11] by Chiracor (Luckenwalde, Germany). 5-FU (MW 130.1 g/mol, logP -0.91) and Nile red (MW 318.4 g/mol, logP 3.10) were supplied by Sigma-Aldrich (Munich, Germany). OxBu was dissolved in dimethylsulphoxide (DMSO; BASF, Ludwigshafen, Germany); 5-FU in double-distilled water. Stock solutions were diluted with phosphate-buffered saline, pH 7.4 (PBS). OxBu SLN were made of 3.5% glyceryl behenate (Compritol® 888 ATO, Gattefossé, Saint-Priest, France) and poloxamer 188 (Lutrol® F 68; BASF). All other chemicals were of the highest purity available.

Construction and Characterization of the in vitro NMSC Model

Normal fibroblasts and keratinocytes were isolated from human juvenile foreskin and cultured as described elsewhere [21]. For model building cells were pooled from 3 donors. In vitro organotypic NMSC constructs were built based on the cSCC model described previously [22]. We adapted keratinocyte, fibroblast and tumour cell seeding to grow actinic keratosis like constructs with SCC-12 cells (donated by Howard Green, Dana Farber Institute, Boston, Mass., USA) forming nests only in the epidermis. The constructs were made from human dermal fibroblasts embedded in collagen G (295% collagen I; Biochrom, Berlin, Germany) forming the dermis equivalent (1 × 10^6 fibroblasts, 1st to 3rd passage). Normal human keratinocytes (2 × 10^6 keratinocytes, 2nd to 3rd passage) were seeded onto of the dermal equivalent next day. The constructs were cultivated and raised to air-liquid interface on day 7. Tumour was induced on day 12 by seeding SCC-12 cells (2 × 10^5 cells, passage <100) onto the construct which was cultivated for another 8 days with change of medium 3 times a week (fig. 1a). The use of deep-well plates (BD Biosciences, Franklin Lakes, N.J., USA) ensured sustenance and increased reproducibility.

The histological quality of the construct on day 20 was evaluated by haematoxylin and eosin staining of 5 μm paraffin-embedded slices. EZ-DeWax® solution (Biotek, San Ramon, Calif., USA) was used to remove paraffin (Thermo Scientific, Braunschweig, Germany) from slices. To elucidate the tumour localization SCC-12 cells were stained with CellTracker™ Red CMTXP (Invitrogen Molecular Probes, Eugene, Oreg., USA) according to the manufacturer’s instructions before seeding.

OxBu Efficacy on the in vitro NMSC Model

The drug formulations (10^–3 mol/l) were applied (10 μl/cm²) on the surface of the NMSC constructs. For a 7-day treatment regimen 3 applications in total were combined with medium changes on days 14, 16 and 18 (fig. 1a); on day 18, effects were also evaluated following 2 doses. The formulations were OxBu solution, OxBu SLN dispersion and 5-FU solution. Unloaded SLN and respective solvents served as control.

Proliferation, apoptosis and tumour invasion in the NMSC model were investigated by immunofluorescence staining according to routine histological protocols. Primary antibodies directed to proliferation-up-regulated Ki-67, apoptosis-induced caspase-7 and invasion-facilitating matrix metallopeptidase-2 (MMP-2) were used with fluorescence-labelled secondary antibodies. Primary and secondary antibodies were supplied by Abcam (Cambridge, UK). 4’,6-Diamidino-2-phenylindole (Dianova, Hamburg, Germany) served for nuclei staining. Pictures of immunofluorescence staining, taken with a fluorescence microscope (BZ-8000; Keyence, Neu-Isenburg, Germany), were analysed in an observer-blind fashion by at least 3 experienced researchers.

Aptosis was quantified by ccK18 release. Culture medium (1 ml) was collected repeatedly over time (fig. 1a) and centrifuged at 2,400 g and 4°C for 2 min. To differentiate between cell death
modes, we combined M30 Apoptosense® with an M65® ELISA kit, both obtained from Peviva (Bromma, Sweden). Analysis was performed according to the manufacturer’s instructions. For dose determination, tumour cell cytotoxicity of OxBu was analysed using the methylthiazolyldiphenyltetrazolium bromide (MTT) dye reduction assay for SCC-12 monolayers [11].

**SLN Preparation and Characterization**

OxBu SLN were prepared using the hot homogenization method [17, 23, 24] with the modification of drug dissolution in DMSO. In short, OxBu dissolved in DMSO was mixed with melted glyceryl behenate. The aqueous phase with 2.5% poloxamer 188 was added and then dispersed using a rotor-stator mixer for 30 s at 9,500 rpm. The pre-emulsion was homogenized applying a pressure of 500 bar (EmulsiFlex-C5; Avestin, Ottawa, Ont., Canada) in 3 homogenization cycles. The product, containing 5% DMSO, was collected in silanized glass vials and cooled down in a 15 °C water bath.

SLN stability was assessed for at least 3 months with respect to lipid concentration (2.5, 3.5, 5.0%, w/w, glyceryl behenate) and storage temperature (5, 25, 40°C). Particle size and size distribution (polydispersity index) were determined by photon correlation spectroscopy (PCS; Zetasizer Nano ZS, Malvern Instruments, Malvern, UK). Applying laser diffractometry (Mastersizer 2000; Malvern Instruments), LD95 values were determined, too. 95% of the particles were smaller than the stated LD95 size. To detect drug crystals exceeding 1 μm, light microscopy was used. In addition, scanning electron microscopy (Zeiss DSM 950 microscope; Zeiss, Jena, Germany) images were generated to study size and shape of the nanocarriers. Polarization spectroscopy was employed to check for free drug molecules in the aqueous phase and to unravel the mode of OxBu interaction with the lipid matrix [23, 25]. The amount of OxBu loaded to SLN (drug entrapment efficiency [17]) was quantified from the supernatant of centrifuged nanocarriers (70,000 g, 90 min) by high-performance liquid chromatography (HPLC).

Aiming for a ready-to-use formulation, 2 hydrogels were prepared. For the conventional gel (pH 8) OxBu solution (0.1 mol/l in NaOH) was added to 250 mg hydroxyethylcellulose (HEC 10,000; Hoechst, Frankfurt/Main, Germany), dispersed in 1 g 86% glycerol and subsequently filled up with PBS. For gel-incorporated...
SLN, 250 mg HEC 10,000 were dispersed in 1 g 86% glycerol and subsequently filled up with OxBu SLN dispersion. Both gels contained OxBu at a concentration of 10⁻⁷ mol/l.

In order to study the effect of DMSO on skin penetration of an SLN-loaded drug, we loaded SLN with the probe Nile red (0.004%) using either glyceryl behenate (5 and 10%) without DMSO [26] or glyceryl behenate (5%) with DMSO (5%) for particle formulation as described above. Incorporation of Nile red into the lipid matrix was assured by parelectric spectroscopy. The penetration of Nile red-loaded SLN was studied in cryoconserved human female skin ex vivo (aged 39–54 years, n = 3 donors; with permission) according to a validated test protocol [26, 27].

**HPLC/OxBu Release**

OxBu concentrations were quantified by HPLC (Merck Hitachi, Tokyo, Japan, equipped with a ternary L-6200 pump, AS-2000A autosampler, D 6000 interface). The stationary phase was a Luna Phenyl Hexyl 4.6 × 150 mm (5 μm packing) column and a 4 × 3 mm guard column (Phenomenex, Aschaffenburg, Germany). The mobile phase was sodium phosphate buffer (pH 7.5, 25 mmol/l); acetonitrile (77:23, 1.5 ml/min isocratic flow rate). For quantification an L-4000A UV detector (Merck Hitachi) was operated at 276 nm. The retention time of OxBu was 4.8 min. The method was validated referring to ICH Q2B [28]. Linearity was proven for OxBu 5 × 10⁻⁷ to 10⁻⁴ mol/l (r² > 0.99). The lower limit of detection (3 × signal-to-noise ratio) and lower limit of quantification (10 × signal-to-noise ratio) were 2 × 10⁻⁷ and 5 × 10⁻⁷ mol/l, respectively. Recovery from PBS was 96–102%. Precision of inter- and intraday measurements was < 7% relative standard deviation.

The release rates of OxBu from formulations were studied as described [26], and the rate of OxBu release from formulations was calculated based on OxBu amounts in the acceptor fluid according to Higuchi [29].

**Statistical Analysis**

All experiments were performed at least in duplicate; results were expressed as arithmetic mean values ± standard error of the mean (SEM). Explorative data analysis was done using the Mann-Whitney test for OxBu efficacy and the Kruskal-Wallis test for OxBu release followed by Dunn’s multiple comparison test. p ≤ 0.05 was considered to indicate a statistically significant difference.

**Results and Discussion**

**OxBu Efficacy on the in vitro NMSC Model**

Initial studies in monolayer cultures indicated high OxBu efficacy and a selective effect on transformed keratinocytes [11]. The next step in the preclinical OxBu evaluation was testing in a disease model. Among organotypic human-cell based NMSC models, basically 3 approaches are competing: the use of patient xenografts, tumour cell lines only seeded onto a dermal equivalent [30] and cocultures of human primary normal cells with tumour cell lines [22]. As access to patient xenografts is limited, we opted for the coculture model already validated [22].

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In vitro Efficacy of a Novel Guanosine Phosphonate Analogue

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of ccK18 and tK18 in tumour patients exceed those in healthy persons [13, 14, 32, 33]. High ccK18 plasma levels are correlated with poor prognoses of epithelial cell-associated carcinomas, melanoma and different types of SCC [32–34]. Elevated ccK18/tK18 ratios and elevated ccK18 release after treatment indicate apoptosis induction and tumour response [13, 14]. To evaluate the fraction of apoptosis in our NMSC model, we quantified pre- and posttreatment ccK18 (fig. 2) and tK18 (not shown) release in medium and calculated the ccK18 increase and ccK18/tCK18 ratio. This approach follows clinical investigations [14, 32, 35].

We observed the highest increase in apoptosis among all formulations with OxBu solution (1.60-fold increase, p < 0.05). 5-FU (0.93-fold increase) did not enhance ccK18 release. Comparing posttreatment ccK18/tK18 ratios, OxBu (0.58) shows a higher ratio than 5-FU (0.49) and solvent controls (0.36–0.41). Thus, the antitumour effect of OxBu is clearly indicated by both immunofluorescence and ccK18 release.

In conclusion, combining immunofluorescence staining of MMP-2, Ki-67 and caspase-7 [22, 30] with ccK18 release data [13] for efficacy read-out on NMSC constructs can offer an approach to a predictive preclinical drug evaluation. To the best of our knowledge, this approach has not been used before.

**OxBu Solid Lipid Nanoparticles**

Next, we developed OxBu SLN to ensure high drug levels in the target layers. Particle sizes of OxBu SLN [c(OxBu) = 10⁻³ mol/l], composed of glyceryl behenate and DMSO, were 136–156 nm (PCS) with narrow size distribution (polydispersity index = 0.2) the day after production. Scanning electron microscopy demonstrated regular shape and relatively homogenous size, too (table 1). Particle aggregates or drug crystals were not detected by optical and scanning electron microscopy. Drug entrapment efficiency was 96.5 ± 0.1%.

Table 1. Stability of OxBu SLN and unloaded SLN

<table>
<thead>
<tr>
<th>Formulation</th>
<th>Scanning electron microscope picture</th>
<th>LD95 value, nm day1</th>
<th>day 180</th>
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<td>314</td>
</tr>
<tr>
<td>OxBu SLN</td>
<td><img src="image" alt="OxBu SLN Picture" /></td>
<td>214</td>
<td>390</td>
</tr>
</tbody>
</table>

SLN made of 3.5% glyceryl behenate, 5% DMSO and sputtered with a gold layer of 45 nm on scanning electron microscope pictures. Storage at 5°C.

Parelectric spectroscopy was employed to study OxBu interaction with the lipid matrix. The dipole mobility f₀ remained stable at around 0.4 MHz, the dipole density Δε increased from 269 to 468. Thus, OxBu molecules were either completely incorporated into the lipid matrix or

![Figure 2](image) OxBu efficacy in the NMSC model. ccK18 release on day 20 after 3 applications of the test formulations (black columns, 10⁻³ mol/l, 10 μl/cm² epidermis) or respective controls (white columns); the line at 110 U/l depicts ccK18 release on day 14 before treatment. Visual evaluation of immunofluorescence staining was made on day 18 after 2 applications of the test formulations or respective controls. Strong (+++), moderate (++), weak (+) or missing (−) presence of the respective antigen was evaluated in an observer-blind fashion by at least 3 experienced researchers. Controls were PBS for 5-fluorouracil, DMSO-PBS for OxBu and unloaded SLN for OxBu SLN. The SLN were made of 3.5% glyceryl behenate and 5% DMSO. The n-fold increases were calculated as day 20/day 14 ratios. Constructs were from 3 to 5 batches, mean ± SEM, * p < 0.05.
free in solution, but not attached to the surface. Yet, almost complete drug entrapment and additional parelectric spectroscopy measurements at high frequencies excluded OxBu molecules free in solution. In contrast to OxBu embedded into the lipid matrix, glucocorticoids tend to attach to the surface of the lipid matrix of the SLN. The difference can be due to the addition of DMSO for OxBu SLN formation.

OxBu SLN sizes as well as size distributions increased concomitantly with higher lipid concentrations [2.5% (w/w) glyceryl behenate; 245 nm, 3.5%: 326 nm, 5.0%: 378 nm; PCS, storage at 5 °C] within 6 months. Particle aggregation [5% (w/w) glyceryl behenate] occurred due to incomplete coverage of lipid particle surface by surfactant molecules. Increased storage temperature (25, 40 °C) destabilized the OxBu SLN dispersion leading to higher nanodispersion viscosity and finally to gelation. Physical instability at higher temperature was probably due to a decrease in the microviscosity of the surfactant and therefore a higher particle diffusion constant. Hence, the electrostatic repulsion between the particles can be easily overcome resulting in aggregation and gel formation. However, the particle size of unloaded SLN remained rather stable at 25°C, but OxBu SLN increased to 325 nm (PCS) with 3.5% glyceryl behenate and to 344 nm (PCS) with 2.5% glyceryl behenate.

To investigate the impact of DMSO on the cutaneous penetration of the SLN cargo, we opted for the well-known dye Nile red instead of OxBu. Since DMSO is mandatory for loading OxBu to SLN, we cannot perform comparative penetration studies with or without DMSO. The penetration enhancer DMSO increased Nile red penetration into viable epidermis 1.7-fold (p = 0.07) when added to SLN [5% (w/w) glyceryl behenate]. Nile red penetration from SLN (10% [36]) was not significantly different (0.92-fold) from SLN (5%).

In summary, OxBu SLN made of 3.5% (w/w) glyceryl behenate and 5% DMSO may have the potential to enhance skin penetration.

**OxBu Release**

For a ready-to-use formulation we embedded OxBu SLN into HEC gel (SLN gel) which was generated by a single-step procedure, thus avoiding drug dilution. For efficient topical therapy the formulation must release OxBu sufficiently. OxBu release rates (K values) from SLN, gel and SLN gel (fig. 3) were calculated plotting released drug as described by Higuchi. The release rates of OxBu ranked SLN > gel > SLN gel. The significantly prolonged release from SLN gel to SLN (p ≤ 0.05) can be explained by a slow diffusion of OxBu into the acceptor phase, as particle mobility is restricted by the gel matrix. In fact, testing SLN alone, OxBu concentrations in the acceptor fluid increased rather rapidly. 90% release was obtained after 5 h with the SLN, after 17 h with the gel and after 24 h with the SLN gel. The reference solution released 90% after 2.6 h. Lag times (time for membrane saturation plus time for membrane penetration of the drug) were low (≤0.11 h). High r² values (r² >0.96) demonstrated data reproducibility. Although SLN gel is the most convenient OxBu formulation for the patient, we decided for the fluid SLN dispersion to focus on SLN effects on OxBu efficacy.

**SLN Surplus Value for Topical NMSC Treatment with OxBu**

In the NMSC model OxBu SLN activated caspase-7 and inhibited expression of MMP-2 as well as of Ki-67 close to OxBu (fig. 2). ccK18 release, although it failed to be significant (fig. 2), and ccK18/tK18 ratio (0.76) substantiate a pro-apoptotic effect of OxBu SLN, too.

Considering the 1.41-fold increase in ccK18 release, SLN are slightly less pro-apoptotic compared to OxBu solution. This is in accordance with the recently published improvement of wound healing due to enhanced keratinocyte proliferation and migration.

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**Fig. 3.** Cumulative amount of OxBu released from SLN dispersion, gel (HEC gel) and SLN gel (gel-embedded SLN). Mean ± SEM, n = 3, SLN made of 3.5% glyceryl behenate and 5% DMSO. The inset shows the OxBu release rate K derived from the Higuchi plot \[Q(t) = K \cdot \sqrt{t}\], minimum to maximum, line at mean, n = 6, * p < 0.05.
keratinosis this might be beneficial with respect to local tolerability of topical OxBu treatment.

In a subsequent study we will compare SLN gel to gel. A penetration-enhancing and irradiation-limiting effect of glycerol [39] might add to the antitumour effect and improve local tolerability. Although a wide variety of nanocarriers is currently under investigation [40], SLN are favourable due to augmented penetration and proven safety.

**Conclusion**

The combination of human cell-based tissue engineering with clinically used keratin-18 and immunofluorescence offers an approach to preclinical evaluation of topical anticancer drugs while potentially reducing animal testing. The novel guanosine-analog phosphonate OxBu, proving most active in the test, may be an innovative option for topical NMSC treatment. Topical OxBu formulations, with or without nanoparticles, should be further investigated in order to improve current disaffecting treatment options for NMSC field cancerization.

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