2 tRNA sequences can assemble into a replicator

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8 Abstract

9 Can replication and translation emerge in a single mechanism via self-assembly? The key 10 molecule, transfer RNA (tRNA), is one of the most ancient molecules and contains the genetic 11 code. Our experiments show how a pool of oligonucleotides, adapted with minor mutations from 12 tRNA, spontaneously formed molecular assemblies. They replicated information autonomously 13 using only reversible hybridization under thermal oscillations. A pool of cross-complementary 14 hairpins self-selected by agglomeration and sedimented under gravity. The metastable DNA 15 hairpins bound to a template, consisting of one half of the hairpin assembly, and then 16 interconnected by hybridization. Thermal oscillations separated replicates from their templates and drove an exponential, cross-catalytic replication. The molecular assembly could encode 17 18 and replicate binary sequence information and reach a fidelity of 90 % per nucleotide. This 19 mechanism of a replicating self-assembly of tRNA-like sequences indicates that the translation 20 to proteins could be linked closer to molecular replication than previously thought.

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21 Main text

A machine to create a replicate of itself is an old dream of engineering (von Neumann, 1951).
Biological systems have solved this problem long ago at the nanoscale with DNA and RNA.
Their replication machinery was optimized to perfection through Darwinian evolution. In modern
living systems, the replication of DNA and RNA necessitates the formation of covalent bonds.
It requires an interconnected machinery: proteins need to perform base-by-base replication of
sequence information, a modern metabolism to supply activated molecules, and tRNA as well
as the ribosome to create the required proteins.

29 This is a complex system to set up in the first place at the emergence of life. The RNA world 30 hypothesis proposes, that early on, the catalytic function of highly defined RNA sequences was 31 used for self-replication (Horning & Joyce, 2016; Orgel, 2004; Turk et al., 2011). These 32 ribozymes catalyze the ligation of RNA (Doudna et al., 1991; Mutschler et al., 2015; Paul & 33 Joyce, 2002; M. P. Robertson et al., 2001; von Kiedrowski, 1986; Walton et al., 2020) and the 34 addition of individual bases (Attwater et al., 2013; Horning & Joyce, 2016). These very special 35 sequences were engineered using in vitro evolution. It is unclear how autonomous evolution of 36 early life could have reached such levels of sequence complexity.

Here, we focus on how such replication may have been predated by simpler forms of selfreplication. Creating a replicator must fulfill a series of requirements. Replication must yield fidelity in copying, be fast, enable exponential replication, be fed by an autonomous energy source, not require complex sequences and should not form too many replicates without the existence of a template.

42 We show that replication of information can indeed be realized by the reversible base pairing 43 interactions between tRNA-like molecules alone. The proposed mechanism is driven by an 44 external physical non-equilibrium setting, in our case thermal oscillations. Since the process 45 does not involve chemical ligation, it does not rely on a particular non-enzymatic or catalytic 46 ligation chemistry (Dolinnaya et al., 1988; Engelhart et al., 2012; Patzke et al., 2014; Pino et 47 al., 2011; Rohatgi et al., 1996; von Kiedrowski, 1986) or particular catalytically active 48 sequences, but merely requires sequence complementarity. The advantage of reversible 49 hybridization is the recycling of educts and products. Moreover, sequence-encoded interactions 50 can self-select by forming agglomerates.

51 Nature's approach to achieve exponential growth is the usage of cross-catalysis: the replicate 52 of a template serves as a template for the next round of replication. For short replicators, the 53 binding between template and replicate can be weak and the strands can dissociate 54 spontaneously (Paul & Joyce, 2002; von Kiedrowski, 1986). For longer replicates, temperature 55 change has successfully been used to separate strands for replication, catalyzed by 56 thermostable proteins (Barany, 1991; Saiki et al., 1985). For catalytic RNA, elevated salt 57 concentrations disfavor strand separation by temperature and catalyze hydrolysis (Horning & Joyce, 2016). In an interesting alternative to strand separation by temperature, Schulman et al
used moderate shear flows to separate DNA tile assemblies (Schulman et al., 2012).

In the past, metastable hairpin states have been prepared in a physically separated manner. The reaction was triggered by mixing. For example, the mixing of hairpins with a trigger sequence has been shown to form long concatemers (Dirks & Pierce, 2004). With a similar logic, mixing a low entropy combination of molecules was used to create entropically driven DNA machines, including exponentially amplifying assemblies (Zhang et al., 2009). These reactions run downwards into the binding equilibrium. However, the preparation of the required initial low entropy state needs human intervention or a unique flow setting for mixing.



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68 Figure 1. Heat-driven replication by hybridization using hairpin structures inspired from 69 transfer RNA. a, Transfer RNA folds into a double-hairpin conformation upon very few base 70 substitutions. In that configuration, the 3'-terminal amino acid binding site (green) is close to the 71 anticodon (blue) and a double hairpin structure forms. A set of pairwise complementary double 72 hairpins can encode and replicate sequences of information. A binary code implemented in the 73 position of the anti-codon, the information domain, allows to encode and replicate binary sequences 74 (red vs blue). Each strand (82-84 nt) comprises two hairpin loops (gray) and an interjacent unpaired 75 information domain of 15 nt length (blue/red, here: 0_D). The displayed structure of eight strands 76 shows replication of a template corresponding to the binary code 0010. Note, that no covalent 77 linkage is involved in the process. b, Replication is driven by thermal oscillations in four steps:

(0) The hairpins are activated into their closed conformation by fast cooling indicated by triangles.
(1) Strands with matching information domain bind to the template. (2) Fluctuations in the bound
strands' hairpins facilitate the hybridization of neighboring strands. (3) Subsequent heating splits
replica from template, while keeping the longer hairpin sequences connected, freeing both as
templates for the next cycle.

83 Sequence design. We designed a set of cooperatively replicating DNA strands using the 84 program package NUPACK (Zadeh et al., 2011). The sequences are designed to have self-85 complementary double hairpins and are pairwise complementary within the molecule pool, such 86 that the 3' hairpin of one strand is complementary to the 5' hairpin of the next. Their structure 87 resembles the secondary structure of proto-tRNAs proposed by stereochemical theories 88 (Fig. 1a), comprising two hairpin loops that surround the anticodon with a few neighboring 89 bases (Krammer et al., 2012). The lengths of 82-84 nt of the double hairpins are that of average 90 tRNA molecules (Sharp et al., 1985), with stem loops consisting of 30-33 nt and the information-91 encoding interjacent domains of 15 nt. As the replication mechanism is based on hybridization 92 only it is expected to perform equally well for DNA and RNA. Here, we implemented the system 93 with DNA for practical reasons. Nevertheless, due to short heating times and very moderate 94 magnesium concentrations, we also estimate that an RNA version can survive for weeks (Li & 95 Breaker, 1999).

96 **Replication mechanism.** The replication mechanism is a template-based replication, 97 where instead of single nucleotides, information is encoded by a succession of oligomers. The 98 domain, at the location of the anticodon in tRNA, is the template sequence and thus contains 99 the information to be replicated. We therefore term it information domain. The goal is to replicate 100 the succession of information domains.

To allow longer replicates we chose the resulting meta-sequences to be periodic with a periodicity of four different hairpins. This makes the minimal cyclic meta-sequence large enough to keep the information domains accessible even in cyclic configuration. The information domains feature a binary system and contain sequences marked by "0" and "1" (blue/red). For replication, two sets of strands replicate strings of codons in a cross-catalytic manner (Fig. 1b), using complementary information domains (light/dark colors).

107 The replication is driven by thermal oscillations and operates in four steps (Fig. 1b): (0) Fast 108 cooling within seconds brings the strands to their activated state with both hairpins closed. (1) 109 At the base temperature, activated strands with complementary information domains can bind 110 to an already assembled template. (2) Thermal fluctuations cause open-close fluctuations of 111 the hairpins. When strands are already bound to a template at the information domain, those 112 fluctuations permit adjacent complementary hairpins of different strands to bind. In this way, the 113 succession of information domains is replicated. (3) Subsequent heating splits the newly formed 114 replicate from the template at the information domains. Due to their higher melting 115 temperatures, the backbone of hairpin strands remains stable. Both, replicate and template, 116 are available for a new replication round. This makes both the replicate and the template

- replication cross-catalytic in a subsequent step. Later, high temperatures spikes can unbindand recycle all molecules for new rounds of replication.
- Because of the initial fast cooling all hairpins are closed in free solution. This inhibits the formation of replicates without template. While the binding of adjacent hairpins with template happens within minutes, hairpins in free solution connect without template only on timescales slower than hours and thus give false positives at a very low rate.
- 123 The core principle of this replication mechanism was previously explored in a minimal system 124 that amplified single hairpins into dimers (Krammer et al., 2012). However, these experiments 125 suffered from 50 % false positive amplification without template (Fig. 4c in Krammer et al., 126 2012). Also, significantly higher molecule concentrations required faster thermal oscillations.

127 Results

Analysis of molecule conformations. Native polyacrylamide gel electrophoresis (PAGE)
 showed that the double hairpins assembled as intended (Fig. 2). Comparing different subsets
 of strands allowed to identify all gel bands



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Figure 2. Assembly of different subsets of the cross-replicating system of strands observed by native gel electrophoresis. Samples contained strands at 200 nM concentration each and were slowly annealed as described in Methods. Lane contents are indicated at the top of each lane. Comparison of different lanes allowed for the attribution of bands to complexes. Complexes incorporating all present strands are marked (•). The red channel shows the intensity 0_4 - 137 Cy5, the cyan channel shows SYBR Green I fluorescence. Single information domain bonds (lane138 2) break during gel electrophoresis.

139 All complexes were formed at concentrations of 200 nM of each strand and could be resolved 140 despite their branched tertiary structure. Friction coefficients of complexes of two to four strands were 1.6-1.8-fold higher than for linear dsDNA, and 2.4-fold higher for larger complexes (4:4 141 142 configuration, ca. 660 nt, Fig. S1). This agrees with the branched structure of the suggested 143 strand assembly geometry (Fig. 1a). Partially assembled complexes of two or three strands 144 bound to a four-strand template could be resolved (Fig. S3). Complexes containing single 145 bound information domains were not stable during electrophoresis (Fig. 2, lane 2 and Fig. S3). 146 This allowed to differentiate fully assembled complexes from those where individual strands are 147 bound to a template but have not formed backbone duplexes. Covalent end labels and two 148 reference lanes on each gel were used to quantify concentrations from gel intensities using 149 image analysis as described in Methods.

Selection by agglomeration and sedimentation. For a replicator to be autonomous, there must be a mechanism in place to select, assemble and (re-)accumulate its molecular components purely at one location. We argue that DNA hydrogels could offer such a solution. While DNA often, also in our case, assembles into agglomerates, DNA hydrogels have been shown to be able to form fluid phases if gaps of single bases were added to create flexible linkers between molecules (Nguyen & Saleh, 2017).

We combined eight matching hairpin sequences of design as introduced in Figure 1 at moderately elevated concentrations and cooled the system to only 25 °C after separating the molecules at 95 °C (Fig. 3). We found the spontaneous formation of agglomerates that were large enough to sediment under gravity. The initial homogeneous fluorescence turned into micrometer-sized grains and sedimented within hours. The fluorescence was provided by a covalently attached label to either strand 0_A or 1_A . Since the double hairpins have a periodic boundary condition they are able to create large assemblies (Fig. 3a).

The sedimentation was very selective. When only seven of the eight matching hairpins were present, sedimentation was much weaker and, in most cases, undetectable (Fig. 3b, c). For the full system the sedimentation kinetics showed to be strongly concentration dependent (Fig. S6b). Analogous experiments with random sequences (random pool of 84 nt strands) at equal concentration did not show agglomeration nor sedimentation (Fig. S6c). We have previously found that similar hairpin molecules provided the shortest sequences capable of forming agglomerates (Morasch et al., 2016).



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171 Figure 3. Spontaneous self-assembly and sedimentation of matching hairpins. a, In a 172 simple, sealed microfluidic chamber (Fig. S5), the hairpin strands can self-assemble into 173 agglomerates and sediment on a timescale of hours. The sample is initially heated to 95 °C for 10 174 seconds to ensure an unbound initial state, then rapidly (within 30 s) cooled to 25 °C, where self-175 assembly and sedimentation occur. Note that agglomeration and sedimentation only occur if all eight 176 matching hairpins are provided (top two rows) but not in the case of a knockout (-1_n , bottom row). 177 For quantification, the bulk and sediment intensities are normalized by the first frame after heating. 178 Samples contained strands at total concentration of 5 µM, about threefold higher than in Figure 2 179 and the following replication experiments. b, Time traces of concentration increase for sediment and 180 bulk of different configurations, same examples as shown in a. The time traces of all further knockout 181 permutations are shown in Figure S6b. c. Final concentration increase of sediment, relative to first 182 frame after heating, for all configurations. The final values (N \geq 3) for c/c₀ are retrieved from fitting the

time traces. For the full set of complementary hairpins self-assembly and sedimentation is mostpronounced.

185 The above results suggest that agglomeration could serve as an efficient way to assemble 186 matching hairpins from much less structured and selected sequences in an autonomous way. After the molecules have been assembled as sedimented agglomerates, a convection flow can 187 188 carry the large assemblies into regions of warmer temperatures, where the molecules would be 189 disassembled by heat and activated for replication with a cooling step. Similar recycling 190 behavior is seen in thermal gradient traps (Morasch et al., 2016), which were also found to 191 enhance the molecular assembly (Mast et al., 2013) with characteristics that can match the 192 above scenario.

Templating kinetics. Hybridization between stems of neighboring hairpins (Fig. 1b, step 2) was catalyzed by the presence of already assembled complexes $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$, confirming its role as a template. Assembly kinetics at 45 °C were recorded in reactions containing 200 nM of each strand for a range of template concentrations. At 120 nM template concentration, 40 % yield was achieved within 10 minutes (Fig. 4b, black line). The untemplated, spontaneous reaction proceeded significantly slower (1.4 % yield, light gray line).



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Figure 4. Isothermal template assisted product formation. a, Schematic representation of the templating step at constant temperature. **b**, Kinetics of tetramer formation at 45 °C with different starting concentrations of template $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$ (\overline{c}_0). Data includes concentrations of all complexes containing strands of length 4. **c**, Templating observed over a broad temperature range. Large circles show data for reactions at $\overline{c}_0 = 120$ nM of template $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$, small circles show the spontaneous formation ($\overline{c}_0 = 0$). The latter increases at T > 45 °C. Above 48 °C, binding of 206 monomers to the template gets weaker, slowing down the rate of template assisted formation. This
 207 is consistent with the melting temperatures of the information domains (see Fig. S2).

Assembly rates showed a strong dependence on incubation temperature (Fig. 4c). At 39 °C, 208 209 the reaction proceeded significantly slower than at 42 °C or 45 °C. This is because the hairpins 210 are predominantly in closed configuration and cannot bind to neighboring molecules in the 211 assembly. Binding between complementary information domains still occurs, but the formation 212 of bonds between neighboring strands becomes rate limiting. Above the melting temperature 213 of the information domain (48 °C) (see Fig. S2), template-directed assembly becomes slower. 214 However, the slower kinetics of template-directed product formation are partially superposed 215 by the spontaneous product formation lacking an initial template (Fig. 4c, small circles), which 216 becomes an additional reaction channel due to the now open hairpins.

217 Exponential amplification. As intermediate step towards replication, we studied 218 amplification reactions under themal oscillations (Fig. 5). The amplification reactions only contained strands encoding for information domain "0", i.e. 0_A , $\overline{0}_A$, 0_B , $\overline{0}_B$, ..., $\overline{0}_D$. The strands 219 were subjected to thermal oscillations between T_{base} = 45 °C and T_{peak} = 67 °C. The lower 220 221 temperature was held for 20 minutes, the upper for one second with temperature ramps 222 amounting to 20±1 seconds in each full cycle. This asymmetric shape of the temperature cycle 223 accords with differences in kinetics of the elongation step and the melting of the information 224 domain. It is typical for trajectories in thermal convection settings with local heating(Braun et 225 al., 2003).

The growth of molecular assemblies with different initial concentrations of template $\overline{0}_{A}\overline{0}_{B}\overline{0}_{C}\overline{0}_{D}$ revealed an almost linear dependence of the reaction velocity on the initial amount of template (Fig. 5a,b). This confirms the exponential nature of the replication. The cross-catalytic replication kinetics can be described by a simplistic model that only considers the concentrations c(t) of the template $0_{A}0_{B}0_{C}0_{D}$ and its complement $\bar{c}(t)$ of $\overline{0}_{A}\overline{0}_{B}\overline{0}_{C}\overline{0}_{D}$:

231 (1)
$$\frac{d}{dt}c(t) = k \cdot \bar{c}(t) + k_0 \qquad , \frac{d}{dt}\bar{c}(t) = k \cdot c(t) + k_0$$

Here, k is the rate of cross-catalysis and k₀ the spontaneous formation rate. For $c(t) \approx \bar{c}(t)$, the model corresponds to simple exponential growth on a per-cycle basis. The model can be solved in closed form but does not account for saturation effects from the depletion of monomers. Therefore, it is not valid for concentrations similar to the total concentration of each strand. Fitting the model to the amplification reactions with 0–45 nM of template $\overline{0}_{A}\overline{0}_{B}\overline{0}_{C}\overline{0}_{D}$ revealed rate constants of k = 0.16 cycle⁻¹ and k₀ = 0.4 nM cycle⁻¹ (Fig. 5b). Amplification was robust with regard to the peak temperature of the oscillations. For T_{peak} below 74 °C, the

- reaction remained almost unaffected (Fig. 5c). Above, the temperature is too close to the
- 240 melting transitions of the hairpin-hairpin duplexes, ranging from 76 °C to 79 °C (Fig. S2).



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242 Figure 5. Exponential amplification of a restricted sequence subset with thermal 243 oscillations. a, Amplification time traces for concentration c for sequence 0000 during the first four-244 six cycles ($T_{peak} = 67 \text{ °C}$) for template ($\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$) concentrations \overline{c}_0 from 0 to 45 nM. The data was fitted using the cross-catalytic model from equation (1). Strands 0_A , $\overline{0}_A$, 0_B , ..., $\overline{0}_D$ were used at 245 246 200 nM concentration each. Data points show concentrations of complexes 4:4. b, Initial reaction velocity as a function of initial template concentration \overline{c}_0 . The data points show good agreement with 247 248 the line calculated from the fits in panel a. c, Amplification proceeded for peak temperatures below 74 °C. Above, backbone duplexes start to melt, and the complexes are no longer stable. The base 249 temperature was 45 °C, reactions initially contained 30 nM of complex $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$ as template. 250 **d**, Serial transfer experiment. The reaction containing strands 0_A , $\overline{0}_A$, 0_B , ..., $\overline{0}_D$ (black circles) 251 survived successive dilution by a factor of 1/2 every 3 cycles at almost constant concentration. In 252 253 contrast, a reaction with the same amount of template $\overline{0}_4 \overline{0}_R \overline{0}_C \overline{0}_D$, but lacking monomers $\overline{0}_{4-D}$, fades 254 out (open circles). The solid line shows the model from Eq. (1).

The ability to withstand consecutive dilutions is characteristic for exponentially growing replicators and was tested for in serial transfer experiments. Strands encoding for "0" (i.e. 0_A , $\overline{0}_A$, 0_B , etc.) were thermally cycled with 30 nM of template $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$. After three cycles each, samples were diluted one to one with buffer containing all eight strands as monomers at 200 nM each (Fig. 5d). This high frequency of dilutions prevented the reaction from transitioning into the saturating regime. The cross-catalytic model was fitted to the data with the dilution factor as single free parameter, that was found to be 0.43. The difference from the theoretical value of 0.50 was likely due to strands sticking to the reaction vessels before dilution. As a control, a reaction with the same initial concentration of template $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$, but without monomers $\overline{0}_A$, $\overline{0}_B$, $\overline{0}_C$, $\overline{0}_D$, was subjected to the same protocol. As the control could not grow exponentially, it gradually died out (Fig. 5d, open circles).

266 Sequence replication. The above-mentioned reactions did amplify, but not replicate actual sequence information, as they only contained strands with $0/\overline{0}$ information domains. To study 267 the replication of arbitrary sequences of binary code, replication reactions with all 16 strands 268 encoding for "0" and "1" were performed. To discriminate sequences encoded in equally sized 269 270 complexes and deduce error rates, we compared these results to those from different reaction 271 runs with defects, i.e. lacking one or two of the hairpin sequences required for the faithful 272 replication of a particular template. Reference reactions contained all 16 strands (0_A , $\overline{0}_A$, 1_A , $\overline{1}_A$, 0_B , ..., $\overline{1}_D$) at 100 nM each, and were run for each of three different template sequences 273 $(\overline{0}_A\overline{0}_B\overline{0}_C\overline{0}_D, \overline{0}_A\overline{1}_B\overline{0}_C\overline{1}_D)$, and $\overline{0}_A\overline{0}_B\overline{1}_C\overline{1}_D)$ (Fig. 6). Yields were quantified from reaction time 274 275 traces, extracted by integrating the intensities of all gel bands containing tetramers.

Leaving out a single strand (reaction label "+++-", e.g. leaving out 0_D for template $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$) reduced the yield of full-size product to 40 % (Fig. 6a, b). Instead, mostly complex $0_A 0_B 0_C$: $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$ (3:4) was formed, in particular during the first few cycles (Fig. S3). This was expected given the lack of strand 0_D and provides an upper limit on the error rate of the full replication. The fact that the full reaction produced almost no complexes 3:4 or 4:3 indicates that the incomplete product was indeed caused by the lack of a particular strand.

Removal of a further strand either directly next to the previous one ("++--", missing strands $0_C/0_D$) or not ("+-+-", missing strands $0_B/0_D$) reduced the yield of tetramers even further. Replication of the other two templates $\overline{0}_A \overline{1}_B \overline{0}_C \overline{1}_D$ and $\overline{0}_A \overline{0}_B \overline{1}_C \overline{1}_D$ produced very similar results. End points after 6 cycles are given in Fig. 6c for each of the three templates as well as an average over template sequences (horizontal lines). A single defect reduced the yield of tetramer complexes to about 40 %, two missing strands to 15–20 %.

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290 Figure 6. Sequence replication with thermal oscillations. a, Replication of sequence 291 $0_A 0_B 0_C 0_D$. Native-PAGE results comparing the reaction of all 16 strands ("++++") with the reaction lacking strand 0_D ("+++-"). Reactions were started with 15 nM initial template $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$. All strands 292 293 were present at 100 nM each. The defective set "+++-" mostly produced 3:4 complexes instead of 294 4:4 complexes (see schematics on the right). The overall yield of tetramer-containing complexes 295 was greatly reduced. As size reference, the marker lane contained complexes $0_A 0_B 0_C 0_D$, $0_A 0_B 0_C$, 296 $0_4 0_{R}$, and monomers 0_4 . The complete gel is presented in Fig. S3. **b**, Reaction time traces of the 297 whole sequence network (yellow) and three defective sets with missing strands. Data was integrated 298 by quantitative image analysis from electrophoresis gels using covalent markers on the A-hairpin 299 counting all complexes containing tetramers. Reactions lacked strands 0_D ("+++-"), $0_C/0_D$ ("++--"), 300 and $0_B/0_D$ ("+-+-"). All reactions were initiated with 15 nM of $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$. The solid line shows data 301 from reaction "++++" without template. c, End point comparison of reactions with templates $\overline{0}_A \overline{0}_B \overline{0}_C \overline{0}_D$ (panels a, b), $\overline{0}_A \overline{1}_B \overline{0}_C \overline{1}_D$, and $\overline{0}_A \overline{0}_B \overline{1}_C \overline{1}_D$ after 6 cycles. Horizontal lines indicate averages 302 303 of the three template sequences. A single missing strand reduced product yield to 40 %, two missing 304 strands to 15-20 %.

Replication fidelity. The observed rate of erroneous product formation can be attributed to the spontaneous background rate (Fig. 4b, c and Fig. 6b). Reaction "+-+-" (dark green) proceeded the same as the untemplated reference reaction (solid line), as it did not contain any strands that could bind next to each other to the template and form a backbone duplex (Fig. 6b). For the templated reactions "+++-" and "++--", templating worked for partial sequences,
producing intermediate yields.



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312 Figure 7. Sequence space analysis of information domain binding. The binding energies quantify the ability of the replication mechanism to discriminate nucleotide mutations. a, Cumulative 313 314 free energy distributions of information domain duplexes $0.\overline{0}$ (red), $1.\overline{1}$ (light red), as well as all $0.\overline{0}^*$ 315 and $1.\overline{1}^*$ with up to three point mutations in $\overline{0}^*$ and $\overline{1}^*$ (vellow, green, blue). 99 % of duplexes $0.\overline{0}^*$ with three point mutations have free energies $\Delta G \ge -12.5$ kcal/mol (dashed line), significantly weaker 316 317 than that of $0.\overline{0}$ ($\Delta G = -15.4$ kcal/mol). **b**, Melting curves of information domain duplexes $0.\overline{0}$ (red), 1:1 (light red), and the two duplexes $0.\overline{0}^*$ indicated by arrows in panel a. Even the $0.\overline{0}^*$ duplex (i) at 318 the low end of the ΔG distribution has a melting temperature of about 10 °C below that of 0.0. This 319 320 difference in melting temperature destabilizes binding of the information domain and causes the 321 replication mechanism to reject these sequences in the thermal oscillation regime between T_{base} 322 =45 °C and T_{peak}=67°C (gray box).

The reduction in yield caused by a single defect (i.e. missing strand) to 40 % (and to ca. 16 % 323 324 for two defects) translates into a replication fidelity per information domain of 1/(1 + 0.4) = 71 %. To compare this value to a per-nucleotide replication (i.e. polymerization) process, we 325 326 estimated an equivalent per-nucleotide fidelity of the information domain replication. To do so, we compared the properties of the duplex $0:\overline{0}$ to duplexes $0:\overline{0}^*$, where $\overline{0}^*$ differs from $\overline{0}$ by K 327 point mutations. As the criterion to identify mutants $\overline{0}^*$ too different to participate in the 328 replication, we assumed a reduction in information domain melting temperature T_m by at least 329 10 °C (compared to the original duplex $0:\overline{0}$) to be sufficient. This was inferred from the width of 330 the melting transition of duplex $0:\overline{0}$ (Fig 7b), where a shift of 10 °C corresponds to an increase 331 332 of the unbound fraction from 0.08 at T_{base} = 45 °C to 0.66 at 55 °C. In terms of information 333 domain duplex free energies, this sufficient difference corresponds to

334 $\Delta G(0:\overline{0}^*) \ge -12.5$ kcal/mol compared to $\Delta G(0:\overline{0}) = -15.4$ kcal/mol. 99 % of all duplexes $0:\overline{0}^*$, 335 with $\overline{0}^*$ containing three point mutations, met that criterion (Fig. 7a).

To calculate the per-nucleotide fidelity p, we then, for simplicity, assumed that the replication did not differentiate between information domain $\overline{0}$ and any information domain $\overline{0}^*$ with less than K point mutations. The fidelity per information domain $p_K(N)$ is given by a cumulative binomial distribution

340 (2)
$$p_K(N) = \sum_{k=0}^{K-1} {N \choose k} p^{N-k} (1-p)^k$$

341 Here, N is the information domain length, and p the per-nucleotide replication fidelity. Using K = 3, N = 15, and the measured value of $p_3(15) = 0.71$, one finds a per-nucleotide fidelity of 342 p = 87.5 %. In fact, mutants with a weak reduction in binding energy are those with mutations 343 at the terminal bases. Information domains $\overline{0}^*$ with mutations at two internal bases all show 344 345 similar properties as information domains with a total of three mutations (Fig. S4). Including this 346 refinement, the per-nucleotide fidelity reads 92 %. This means that a per-nucleotide replication 347 process would need a replication fidelity of 88-92 % to produce sequences with an error rate 348 equivalent to the presented mechanism.

349 Discussion

350 The experiments indicate that a cross-catalytic replicator can be made from short sequences 351 without covalent bonds under a simple non-equilibrium setting of periodic thermal oscillations. 352 The replication is fast, and proceeds within a few thermal oscillations of 20 minutes each. This 353 velocity is comparable to other replicators (Kindermann et al., 2005), cross-ligating ribozymes 354 (Michael P. Robertson & Joyce, 2014), or autocatalytic DNA networks (Yin et al., 2008). The 355 required thermal oscillations can be obtained by laminar convection in thermal gradients (Braun 356 et al., 2003), which also accumulates oligonucleotides (Mast et al., 2013). Depending on the 357 envisioned environment, the mechanism could also be driven by thermochemical oscillations 358 (Ball & Brindley, 2014) or convection in pH gradients (Keil et al., 2017).

359 It is likely that a slower prebiotic ligation chemistry could later fix the replication results over 360 longer timescales. Such an additional non-enzymatic ligation (Stadlbauer et al., 2015), that joins 361 successive strands would relax the constraint that backbone duplexes must not melt during the 362 high-temperature steps. Early on, this is difficult to achieve in aqueous solution against the high 363 concentration of water. In order to overcome this competition and to favor the reaction 364 entropically by a leaving group, individual bases are typically activated by triphosphates 365 (Attwater et al., 2013; Horning & Joyce, 2016) or imidazoles, which are especially interesting in 366 this context since they can replicate RNA directly (O'Flaherty et al., 2019; Zhou et al., 2019). 367 However, the necessary chemical conditions of enhanced Mg²⁺ concentration hinder strand 368 separation.

The overall replication fidelity is limited by the spontaneous bond formation rate between pairs of hairpin sequences, caused by the interaction of strands in free solution. At lower concentrations, as one would imagine in a prebiotic setting, this rate would decrease at the expense of an overall slower replication. To some degree and despite ongoing design efforts, such a background rate is inherent to hairpin-fueled DNA or RNA reactions (Green et al., 2006; Yin et al., 2008, Krammer et al., 2012).

375 The replication mechanism is expected to also work with shorter strands, as long as the order 376 of the melting temperatures of the information domain and the backbone duplexes is preserved. 377 Smaller strands would also be easier to produce by an upstream polymerization process, simply 378 because they contain less nucleotides. In addition, binding of shorter information domain 379 duplexes could discriminate even single base mismatches, resulting in an increased selectivity. 380 It is not straightforward to estimate a minimal sequence length for the demonstrated 381 mechanism. However, it is worth noting that it has been suggested that tRNA arose from two 382 proto-tRNA sequences (Hopfield, 1978).

383 Pre-selection of nucleic acids for the presented hairpin-driven replication mechanism can be 384 provided by highly sequence-specific gelation of DNA. This gel formation has been shown to 385 be most efficient with double hairpin structures very similar to the tRNA-like sequences used in 386 this study (Morasch et al., 2016). For our replication system, we have demonstrated this in 387 Figure 3 by showing the spontaneous formation of agglomerates and their sedimentation under 388 gravity if all molecules of the assembly were present. This self-selection shows a possible 389 pathway how the system can emerge from random or semi-random sequences, for example in 390 a flow or a convection system where the molecules are selected as macroscopic agglomerate 391 (Mast et al., 2013). Another selection pressure could stem from the biased hydrolysis of double 392 stranded nucleotide backbones, which favors assembled complexes over the initial hairpins (Obermayer et al., 2011). 393

The replication mechanism could serve as a mutable assembly strategy for larger functional RNAs (Mutschler et al., 2015; Vaidya et al., 2012). As an evolutionary route towards a more mRNA-like replication product with chemically ligated information domains, the mechanism would be supplemented by self-cleavage next to the information domains that cuts out the noncoding backbone duplexes, followed by ligation of the information domains. Both operations could potentially be performed by very small ribozymatic centers (Dange et al., 1990; Szostak, 2012; Vlassov et al., 2005).

The proposed replication mechanism of assemblies from tRNA-like sequences allows to speculate about a transition from an autonomous replication of successions of information domains to the translation of codon sequences encoded in modern mRNA (Fig. 1a). Short peptide-RNA hybrids (Griesser et al., 2017; Jauker et al., 2015), combined with specific interactions between 3'-terminal amino acids and the anticodons, could have given rise to a 406 primitive genetic code. The spatial arrangement of tRNA-like sequences that are replicated by 407 the presented mechanism would translate into a spatial arrangement of the amino acids or short 408 peptide tails that are attached to the strands in a codon-encoded manner (Schimmel & 409 Henderson, 1994). The next stage would then be the detachment and linking of the tails to form 410 longer peptides. Eventually, tRNA would transition to its modern role in protein translation. The 411 mechanism thus proposes a hypothesis for the emergence of predecessors of tRNA, 412 independent of protein translation. This is crucial for models of the evolution of translation, 413 because it justifies the existence of tRNA before it was utilized in an early translation process.

414 Therefore, replication and translation could have, at an early stage, emerged along a common 415 evolutionary trajectory. This supports the notion that predecessors of tRNA could have featured 416 a rudimentary replication mechanism: starting with a double hairpin structure of tRNA-like 417 sequences, the replication of a succession of informational domains would emerge. The 418 interesting aspect is, that the replication is first encoded by hybridization and can later be fixed by a much slower ligation of the hairpins. The demonstrated mechanism could therefore 419 420 jumpstart a non-enzymatic replication chemistry, which was most likely restricted in fidelity due 421 to working on a nucleotide-by-nucleotide basis (Michael P Robertson & Joyce, 2012; 422 Szathmáry, 2006).

423 Materials and Methods

424 Strand design. DNA double-hairpin sequences were designed using the NUPACK software 425 package(Zadeh et al., 2011). In addition to the secondary structures of the double-hairpins, the 426 design algorithm was constrained by all target dimers. Candidate sequences were selected for 427 optimal homogeneity of binding energies and melting temperatures. Backbone domains 428 connecting consecutive strands (e.g. $0_A 0_B 0_C$) had to be the most stable bonds in the system, 429 in particular more stable than between a template and a newly formed product complex (e.g. 430 $0_{\rm B}:\overline{0}_{\rm B}$). On the other hand, hairpin melting temperatures had to be low enough to allow for a 431 sufficient degree of thermal fluctuations. To reconcile this with the length of the strands, 432 mismatches were introduced in the hairpin stems. The sequences of all strands are listed in 433 Table S1.

Thermal cycling assays. All reactions were performed in salt 20 mM Tris-HCl pH 8, 150 mM NaCl with added 20 mM MgCl₂. DNA oligonucleotides (Biomers, Germany) were used at 200 nM concentration per strand in reactions containing a fixed-sequence subset of eight strands (e.g. 0/0 only) and 100 nM per strand in reactions containing all 16 different strands.

438 Thermal cycling was done in a standard PCR cycler (Bio-Rad C1000). Reaction kinetics were 439 obtained by running each reaction for different run times or numbers of cycles in parallel. The 440 products were analyzed using native PAGE. The time between thermal cycling and PAGE 441 analysis was minimized to exclude artifacts from storage on ice.

Template sequences were prepared using a two-step protocol. Annealing from 95 °C to 70 °C within one hour, followed by incubation at 70 °C for 30 minutes. Afterwards, samples were cooled to 2 °C and stored on ice. When assembling complexes containing paired information domains (Fig. 2), samples were slowly cooled down from 70 °C to 25 °C within 90 minutes before being transferred onto ice. DNA double hairpins were quenched into monomolecular state by heating to 95 °C and subsequent fast transfer into ice water.

448 **Product analysis.** DNA complexes were analyzed using native polyacrylamide gel 449 electrophoresis (PAGE) in gels at 5 % acrylamide concentration and 29:1 acrylamide / 450 bisacrylamide ratio (Bio-Rad, Germany). Gels were run at electric fields of 14 V/cm at room 451 temperature. Strand $0_A/1_A$ was covalently labeled with Cy5. Cy5 fluorescence intensities were 452 later used to compute strand concentrations. As an additional color channel, strands were 453 stained using SYBR Green I dye (New England Biolabs). Complexes were identified by 454 comparing the products obtained from annealing different strand subsets.

To correctly identify bands in the time-resolved measurements, gels were run with a marker lane. The marker contained strands 0_A (200 nM), 0_B (150 nM), 0_C (50 nM), and 0_D (100 nM), and was prepared using the two-step annealing protocol from 95 °C to 70 °C. The unequal strand concentrations ensured that the sample contained a mixture of mono-, di-, tri- and tetramers.

- Electrophoresis gels were imaged in a multi-channel imager (Bio-Rad ChemiDoc MP), image
 post processing and data analysis were performed using a self-developed LabVIEW software.
 Post processing corrected for inhomogeneous illumination by the LEDs, image rotation, and
 distortions of the gel lanes if applicable. Background fluorescence was determined from empty
- lanes on the gel, albeit generally low in the Cy5 channel.
- For the determination of reaction yields, the intensities of all gel bands containing strands of the sequence length of interest were added up. For strings of four strands, these were the single tetramer as well as its complex with di- and tri- and tetramers. Single strands separated from their complements during electrophoresis (Fig. 2 and Fig. S3).
- 469 Thermal melting curves. Thermal melting curves were measured using either UV absorbance at 260 nm wavelength in a UV/Vis spectrometer (JASCO V-650, 1 cm optical path 470 length), via quenching of the Cy5 label at the 5'-end of strand 0_A (excitation: 620–650 nm, 471 detection: 675-690 nm), or using fluorescence of the intercalating dye SYBR Green I 472 473 (excitation: 450-490 nm, detection: 510-530 nm). Fluorescence measurements were 474 performed in a PCR cycler (Bio-Rad C1000). Samples measured via fluorescence were at 475 200 nM of each strand, those measured via UV absorption contained 1 µM total DNA 476 concentration to improve the signal-to-noise ratio. Before analysis of the melting curves 477 (Rodbard & Chrambach, 1970), data were corrected for baseline signals from reference samples containing buffer and intercalating dye, if applicable. 478
- 479 Self-assembly and sedimentation analysis. The samples were mixed in the replication 480 buffer (150 mM NaCl, 20 mM MgCl₂, 20 mM Tris-HCl pH 8) at a total oligomer concentration of 481 5 µM, i.e. varying concentration per strand depending on the number of different strands in the 482 configuration (4, 7 or 8). The microfluidic chamber was assembled with a custom cut, 500 µm 483 thick, Teflon foil placed between two plane sapphires (Fig. S5). Three Peltier elements 484 (QuickCool QC-31-1.4-3.7AS, purchased from Conrad Electronics, Germany) were attached to 485 the backside of the chamber to provide full temperature control. The chamber was initially 486 flushed with 3M[™] Novec[™] 7500 (3M, Germany) to avoid bubble formation. The samples were 487 pipetted into the microfluidic chamber through the 0.5 mm channels using microloader pipette 488 tips (Eppendorf, Germany). The chamber was then sealed with Parafilm and heated to 95°C 489 for 10 seconds to fully separate the strands and cooled rapidly (within 30 s) to 25°C. Assembly 490 and sedimentation were monitored for 20 hours on a fluorescence microscope (Axiotech Vario, 491 Zeiss, Germany) with two LEDs (490 nm and 625 nm, Thorlabs, Germany) using a 2.5 x 492 objective (Fluar, Zeiss, Germany). The observed sedimentation was independent of the 493 attached dye and its position (Fig. S6c). The ratio of sedimented fluorescence relative to the 494 first frame after heating was used to quantify sedimentation (Fig. 3). The sedimentation time-495 traces (Fig. 3b) were fitted with a Sigmoid function to determine the final concentration increase 496 c/c_0 (Fig. 3c). The experiment was also performed with random 84 nt DNA strands at 5 μ M total 497 concentration to exclude unspecific agglomeration (Fig. S6c).

498

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509 Author Contributions

- 510 A. K. and S. A. L. performed the experiments and analyzed the data. A. K., S. A. L., and D. B.
- 511 conceived and designed the experiments, and wrote the paper.

512 Additional information

513 The authors declare no competing financial interests

514 **References**

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