

1 **The atherosclerosis risk variant rs2107595 mediates allele-specific transcriptional regulation of**
2 ***HDAC9* via E2F3 and Rb1**

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4 **Cover title: Functional noncoding variant at HDAC9**

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51 **ABSTRACT**

52

53 **Background and Purpose:** Genome-wide association studies have identified the *histone deacetylase*
54 *9 (HDAC9)* gene region as a major risk locus for atherosclerotic stroke and coronary artery disease in
55 humans. Previous results suggest a role of altered *HDAC9* expression levels as the underlying disease
56 mechanism. rs2107595, the lead single nucleotide polymorphism for stroke and coronary artery
57 disease resides in noncoding DNA and colocalizes with histone modification marks suggestive of
58 enhancer elements.

59 **Methods:** To determine the mechanisms by which genetic variation at rs2107595 regulates *HDAC9*
60 expression and thus vascular risk we employed targeted resequencing, proteome-wide search for
61 allele-specific nuclear binding partners, chromatin immunoprecipitation, genome-editing, reporter
62 assays, circularized chromosome conformation capture (4C), and gain- and loss-of-function
63 experiments in cultured human cell lines and primary immune cells.

64 **Results:** Targeted resequencing of the *HDAC9* locus in patients with atherosclerotic stroke and
65 controls supported candidacy of rs2107595 as the causative SNP. A proteomic search for nuclear
66 binding partners revealed preferential binding of the E2F3/TFDP1/Rb1 complex to the rs2107595
67 common allele, consistent with the disruption of an E2F3 consensus site by the risk allele. Gain- and
68 loss-of-function studies showed a regulatory effect of E2F/Rb proteins on *HDAC9* expression.
69 Compared to the common allele the rs2107595 risk allele exhibited higher transcriptional capacity in
70 luciferase assays and was associated with higher *HDAC9* mRNA levels in primary macrophages and
71 genome-edited Jurkat cells. 4C revealed a genomic interaction of the rs2107595 region with the
72 *HDAC9* promoter, which was stronger for the common allele as was the *in vivo* interaction with E2F3
73 and Rb1 determined by chromatin immunoprecipitation. Gain-of-function experiments in isogenic
74 Jurkat cells demonstrated a key role of E2F3 in mediating rs2107595-dependent transcriptional
75 regulation of *HDAC9*.

76 **Conclusions:** Collectively, our findings imply allele-specific transcriptional regulation of *HDAC9* via
77 E2F3 and Rb1 as a major mechanism mediating vascular risk at rs2107595.

78 **KEY WORDS**

79 Gene regulation, cell cycle, transcription factors, large artery stroke, HDAC9

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82 INTRODUCTION

83 Stroke is the leading cause of permanent disability and the second most common cause of death
84 worldwide.¹ Genome-wide association studies (GWAS) have mapped more than 35 genomic loci for
85 stroke most residing in noncoding DNA.² However, at many loci the causal variant, gene, and
86 mechanism remain undetermined³ thus impeding the identification of novel pathways and possible
87 targets for intervention. The histone deacetylase 9 (*HDAC9*) gene region on chromosome 7p21.1
88 represents the strongest risk locus for atherosclerotic stroke (large artery stroke)² and has further been
89 established as a major risk locus for myocardial infarction, coronary artery disease,⁴ and peripheral
90 artery disease,⁵ thus implying a broader involvement in atherosclerosis and a major impact on human
91 health.

92 rs2107595, the lead single nucleotide polymorphism (SNP) in recent GWAS for stroke^{2, 6} and
93 coronary artery disease⁴ resides in noncoding DNA 3' to the *HDAC9* gene. rs2107595 colocalizes
94 with DNase I hypersensitive sites (DHS) and histone modification marks H3K27ac and H3K4me1
95 (ENCODE,⁷ genome build hg19) indicating a possible involvement in gene regulatory mechanisms.⁸

96 We and others recently provided evidence for a central role of HDAC9 expression levels in
97 atherogenesis and stroke: first, *Hdac9* deficiency attenuates atherogenesis in mouse models of
98 atherosclerosis.^{9, 10} Second, HDAC9 expression levels were found to be elevated in human
99 atherosclerotic plaques.¹¹ Third, gene expression studies in peripheral blood mononuclear cells
100 (PBMCs) revealed an association between the rs2107595 risk allele and elevated levels of HDAC9
101 mRNA expression with a gene dosage effect.¹⁰ The same variant further associates with both carotid
102 intima media thickness and the presence of atherosclerotic plaques in the common carotid artery.^{11, 12}
103 Collectively, these findings point to the possibility that the rs2107595 region mediates disease risk by
104 influencing HDAC9 expression levels.

105 In the current study, we aimed to elucidate the molecular mechanisms linking genetic variation in the
106 rs2107595 region to HDAC9 expression. For this we employed targeted resequencing of the HDAC9
107 locus, proteome-wide search for allele-specific nuclear binding partners, chromatin
108 immunoprecipitation (ChIP), genome-editing, reporter assays, circularized chromosome conformation
109 capture (4C), and gain- and loss-of-function experiments in cultured human cell lines and primary
110 vascular and immune cells. We provide evidence for a regulatory effect of rs2107595 on HDAC9
111 expression involving a direct physical interaction between the rs2107595 region and the *HDAC9*
112 promoter. We further demonstrate a role of the E2F3 and Rb1 proteins in mediating allele-specific
113 effects of rs2107595 on HDAC9 transcription.

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115

116 **METHODS**

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118 All data and supporting materials have been provided with the published article. Tables and a detailed
119 description of the methodology used for Targeted Resequencing, Proteome-Wide Analysis of SNPs
120 (PWAS), Chromatin immunoprecipitation (ChIP), Cell culture and Transfection, RNA isolation and
121 cDNA synthesis, Protein isolation and Immunoblotting, Gene expression analysis, Cell cycle
122 synchronization, the Isolation and Culture of Human primary Aortic Smooth Muscle cells
123 (HAoSMCs) and human blood-derived macrophages (MΦ), Dual luciferase reporter assay,
124 Generation of genome-edited Jurkat cell lines, Circular Chromosome Conformation Capture and Cell
125 proliferation assays is provided in the Supplementary Methods (<http://stroke.ahajournals.org>.)

126 Experiments in primary human cells were approved by the local institutional review board (project
127 #17-693). Primary human blood-derived MΦ were obtained from healthy volunteers. Primary
128 HAoSMC were obtained from Dr. Civelek (University of Virginia).

129

130 **Statistical analysis**

131 The Shapiro-Wilks Test was utilized to determine the distribution of data sets. Normally distributed
132 data were statistically analysed with the parametric T-Test, else a Wilcoxon Rank-Sum Test or

133 Wilcoxon Signed-Rank Test were applied. Data are represented as mean values and standard error of
134 the mean unless specified otherwise. Significance is depicted as follows; *: $p < 0.05$; **: $p < 0.01$;
135 ***: $p < 0.001$. HDAC9 regional plots (**Figure 1A**) were constructed using locuszoom. The upper
136 panel uses data from the large artery stroke analysis of the MEGASTROKE collaboration.²

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138

139 **RESULTS**

140

141 **Targeted resequencing of the HDAC9 region supports candidacy of rs2107595 as the causal** 142 **variant for large artery stroke**

143 rs2107595 gave the strongest signal in previous GWAS for atherosclerotic phenotypes,^{2,6} (**Figure 1A,**
144 **upper panel**) and had a >95% posterior probability of being the only causal SNP at this locus in the
145 most recent stroke GWAS.² To further examine the candidacy of rs2107595 as the causal variant at
146 this locus while also capturing rare variants, low-frequency variants, and haplotypes, we performed
147 targeted resequencing of the *HDAC9* gene region including the nearby *TWIST1* and *FERD3L* genes in
148 176 patients with large artery stroke and 176 stroke-free controls (**Figure 1A, middle panel; Figure**
149 **D**). Genotypes for rs2107595 showed 99.8% agreement with previously obtained microarray and
150 TaqMan genotyping data demonstrating the reliability of our sequencing approach. Overall, we
151 identified 9,428 variants (8,496 SNPs, 932 insertions/deletions) and 169 haplotype blocks but no rare
152 or low-frequency variants in the rs2107595 haplotype block. Following correction for multiple
153 testing, none of the variants or haplotypes significantly associated with large artery stroke thus
154 arguing against variants with large effect sizes in this region. Next, we used variant-collapsing
155 methods (SKAT and SKAT-O) to analyse the conserved 2.5-kb sequence block around rs2107595,
156 the intergenic region between *HDAC9* and *TWIST1*, and the *HDAC9*, *TWIST1*, and *FERD3L* genes
157 (**Figure 1A, lower panel**). SKAT-O analyses revealed a significant association ($p=0.017$) for the
158 conserved sequence block encompassing rs2107595, while all other equally-sized sequence blocks
159 showed higher p-values. Of note, all proxy SNPs (r^2 with rs2107595 >0.8) localize outside the

160 conserved sequence block. Collectively, these findings support rs2107595 as the causative variant at
161 this locus. Hence, we focused on this variant in our functional analyses.

162

163 **The rs2107595 risk variant interferes with E2F3 binding**

164 The rs2107595 region shows enrichment for marks of regulatory chromatin (DHS, H3K27ac,
165 H3K4me1, H3K9me3) in various cell types listed in HaploReg,¹³ Roadmap Epigenomics,¹⁴ and
166 ENCODE⁷ (**Table I and II, Figure II A**) suggesting a potential involvement of rs2107595 in
167 transcriptional regulation. To identify transcription factors with allele-specific binding at rs2107595
168 and hence a possible role in transcriptional regulation, we performed proteome-wide analysis of SNPs
169 (PWAS). This approach is based on the interaction of synthetic oligonucleotides with metabolically
170 labelled nuclear factors that are subsequently identified by mass spectrometry.¹⁵ 41-bp-SNP-centered
171 oligonucleotides differing only at rs2107595 (**Table III**) were incubated either with light or heavy
172 isotope labelled nuclear factors from HeLa cells. A comparison of the heavy/light ratios of all binding
173 proteins revealed six factors surpassing the predefined FDR of 0.01: NFATC2, a member of the
174 nuclear factor of activated T-cells,¹⁶ L3MBTL3, a putative polycomb group protein functioning as
175 transcriptional regulator in large protein complexes,¹⁷ SAMD1, a protein with a potential role in
176 immobilizing low density lipoprotein (LDL) in the arterial wall,¹⁸ and all constituents of the
177 E2F3/TFDP1/Rb1 complex (**Figure 1B**).

178 E2F3 and TFDP1 represent transcription factors of the E2F and DP1 families known to complex with
179 Rb proteins.¹⁹ The observed enrichment of E2F3 at the common allele is supported by the prediction
180 of an E2F3 consensus site²⁰ within the common allele sequence which is disrupted by the risk allele
181 (**Figure 1C**). To validate allele-specific binding of E2F3 we further incubated biotinylated synthetic
182 oligonucleotides with nuclear extracts from HeLa cells and purified the assembled allele-specific
183 nucleoprotein complexes by DNA pull-down. Subsequent immunoblotting revealed enriched binding
184 of E2F3 to the common allele (**Figure II B**). Finally, we performed ChIP experiments in HeLa cells,
185 which are homozygous for the rs2107595 common allele and thus suited to explore E2F3 binding *in*
186 *vivo*. ChIP revealed a significant occupancy of E2F3 at rs2107595 (**Figure 1D**). Given these results

187 and the known role of E2F and Rb proteins in transcriptional regulation^{21, 22} we considered these
188 proteins to be strong candidates for regulating HDAC9 expression.

189

190 **E2F3 and Rb1 regulate HDAC9 expression**

191 To determine the effect of E2F and Rb proteins on endogenous HDAC9 expression we next
192 conducted gain- and loss-of-function (**Table IV**) experiments in HeLa cells. Overexpression of E2F3a
193 resulted in a 6-fold increase in HDAC9 mRNA levels compared to empty vector control. In contrast,
194 overexpression of Rb1 led to a reduction in HDAC9 expression (**Figure 2A and III A, B**). siRNA-
195 mediated knockdown of E2F3, E2F4, or both resulted in a significant decrease of HDAC9 mRNA
196 compared to non-targeting control (**Figure 2B and III C, D**). In contrast, knockdown of Rb proteins
197 caused a significant increase in HDAC9 expression (**Figure 2C and III E, F**).

198 E2F and Rb act as transcriptional regulators of cell cycle genes. At the G₁/S boundary repressive Rb
199 proteins become phosphorylated by cyclin-dependent kinases and dissociate from E2F proteins, which
200 then activates the expression of target genes.^{21, 22} Hence, we analysed cell cycle-dependent variations
201 in HDAC9 expression. Synchronization of HeLa cells by hydroxyurea-(HU)-induced cell cycle arrest
202 at the G₁/S boundary led to a significant increase in HDAC9 mRNA expression compared to
203 untreated cells (**Figure 2D**). Following release of the cell cycle arrest HDAC9 mRNA expression
204 further increased during progression through S phase and declined upon reaching G₂, thus paralleling
205 the activity of E2F proteins across the cell cycle.²³

206

207 **The rs2107595 risk variant is associated with elevated HDAC9 transcription**

208 To examine the association between rs2107595 and HDAC9 gene expression in cells relevant to
209 atherosclerosis we first examined primary human macrophages (MΦ) and human aortic smooth
210 muscle cells (HAoSMCs) with defined carrier status at rs2107595. Proinflammatory MΦ were
211 isolated from PBMCs obtained from healthy donors (GG genotype: n=7; GA: n=7; AA: n=5, matched
212 for age and gender) and differentiated *in vitro* (**Figure IV A**). Upon stimulation with TNFα and IFNγ,
213 MΦ homozygous for the risk allele showed significantly elevated HDAC9 expression levels

214 compared to MΦ homozygous for the common allele (**Figure 3A**). Gene expression analysis in
215 cultured HAoSMC (GG genotype: n=9; AA: n=6) revealed no allele-specific differences in HDAC9
216 expression before and after 4 or 8 h of TNF α stimulation (**Figure 3B**). Also, there was no allele-
217 specific effect on TWIST1 expression in HAoSMCs and MΦ (**Figure IV B and** results not shown).

218 To examine the effects of rs2107595 on transcriptional regulation, we further performed luciferase
219 reporter assays in T-lymphoid Jurkat cells, THP-1 monocytes and PMA-induced THP-1 MΦ,
220 HAoEC, and HAoSMC. 41-bp-SNP centered fragments containing either the rs2107595 common or
221 risk variant were cloned into a firefly luciferase reporter vector (**Figure 4A**) and tested for a *cis*-
222 regulatory function by measuring luciferase activity after transient transfection. Transcriptional
223 activity was significantly higher for the risk allele compared to the common allele both in Jurkat cells
224 and PMA-induced THP-1 MΦ²⁴ (**Figure 4A**) whereas we found no allele-specific differences in
225 HAoEC, HAoSMCs, and THP-1 monocytes, (**Figure V A-C**).

226 Next, we specifically genome-edited rs2107595 in Jurkat cells using recombinant adeno-associated
227 virus²⁵ (rAAV) resulting in isogenic cells differing solely at rs2107595. Jurkat cells were chosen
228 because of (1) their immunological origin, (2) the presence of open chromatin marks both in the
229 rs2107595 region (**Figure II**) and *HDAC9* promoter, and (3) their diploidy and heterozygosity for
230 rs2107595^{7, 14, 26} allowing a one-step editing procedure in either direction (**Figure 4B**). Cells
231 homozygous for the risk allele exhibited 2-fold higher mRNA levels of HDAC9 compared to cells
232 carrying the common allele (**Figure 4C**). Heterozygous cells displayed intermediate mRNA levels
233 compatible with a gene dosage effect. TWIST1 and FERDL3 expression levels were below detection
234 limit in these cells (data not shown). Collectively, these results show that rs2107595 regulates
235 HDAC9 transcription in an allele-specific manner. We further examined allele-specific effects of
236 rs2107595 on HDAC9 transcription across the cell cycle. Following synchronization at the G₁/S-
237 boundary, HDAC9 levels were significantly elevated in risk allele cells compared to common allele
238 cells (**Figure 4D**) in accordance with the results obtained in unsynchronized cells (**Figure 4C**). This
239 difference was sustained for 6 h following release of the HU block. Because of the allele-specific
240 effects on cell cycle associated HDAC9 expression we analysed the effect of rs2107595 on cell

241 proliferation in genome-edited Jurkat cells. Pulse-chase labeling with the thymidine analogue EdU
242 and detection by flow cytometry revealed no allele-specific differences for rs2107595 (**Figure VI A**
243 **and B**).

244

245 **The rs2107595 region physically interacts with a HDAC9 promoter**

246 Given the observed effect of rs2107595 on HDAC9 transcription we next tested for physical
247 interactions of the rs2107595 region with the HDAC9 promoter by circularized chromosome
248 conformation capture (4C) in isogenic Jurkat cells. Based on Jurkat cell-specific open chromatin
249 structure (DHS) and promoter information (H3K4me3)⁷ we selected the promoter viewpoint at nt
250 ~18,330,000. Mapping the 4C-seq signals to the HDAC9 gene region revealed a significant
251 interaction between rs2107595 and the promoter region in common allele (“GG” in **Figure 5**) but not
252 in risk allele cells (“AA”) indicating allele-specific differences in chromatin organisation. Analyses
253 for an alternative HDAC9 promoter lacking detectable chromatin marks in Jurkat cells showed lower
254 significance for allele-specific interactions at both viewpoints (**Figure VII**). These results provide
255 further mechanistic evidence for a role of the rs2107595 region in regulating HDAC9 transcription.

256

257 **E2F3 mediates allele-specific effects of rs2107595 on HDAC9 transcription.**

258 To determine whether the binding of E2F3 and Rb1 at rs2107595 observed in HeLa cells occurs in a
259 truly allele-specific manner *in vivo* we next performed ChIP experiments in genome-edited isogenic
260 Jurkat cells. Since E2F3 and Rb1 control cell cycle progression at the G1/S boundary,²³ we arrested
261 these cells with HU. Upon synchronization, we found a significantly enriched occupancy of E2F3 and
262 Rb1 proteins at the common allele compared to the risk allele (**Figure 6A and B**), which was not
263 present in unsynchronized cells (**Figure VIII A and B**) possibly reflecting cell cycle-dependent
264 binding of E2F3 and Rb1 to the common allele.

265 Finally, to examine whether the allele-specific effects on HDAC9 transcription at rs2107595 are
266 mediated by allele-specific binding of E2F3 and Rb1, we tested the influence of exogenous E2F3a
267 and Rb1 expression in isogenic Jurkat cells. Compared to empty vector control, overexpression of

268 E2F3a but not Rb1 resulted in a significant increase of the ratio between HDAC9 expression in cells
269 homozygous for the common allele vs cells homozygous for the risk allele (**Figure 6C and VIIC**).
270 Collectively, these results suggest allele-specific interactions between rs2107595 and the HDAC9
271 promotor and show a mediating effect of E2F3 on HDAC9 expression via rs2107595 (**Figure 6D**).

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273

274 **DISCUSSION**

275

276 We present a mechanism by which a noncoding variant at the large artery stroke and coronary artery
277 disease risk locus 7p21.1 regulates HDAC9 transcription. We show that rs2107595, the likely causal
278 variant at this locus, has allele-specific transcriptional capacity and associates with elevated HDAC9
279 expression in cell types relevant to atherosclerosis. We further identify a physical interaction of the
280 rs2107595 region with the HDAC9 promoter, demonstrate preferential binding of the
281 E2F3/TFDP1/Rb1 cell-cycle complex to the common allele, and show that E2F3 mediates HDAC9
282 transcription in an allele-specific manner. This novel mechanism for transcriptional regulation of
283 HDAC9 by E2F3/Rb1 complexes provides a plausible mechanistic link between genetic variation at
284 rs2107595 and disease risk.

285 Several lines of evidence point to rs2107595 as the causal variant mediating vascular risk: rs2107595
286 was the lead SNP in GWAS for stroke^{2, 6} and coronary artery disease,⁴ it was the only variant
287 contained in the 95% credible SNP set in the MEGASTROKE,² and here using targeted sequencing
288 and SKAT-O analyses we find no variants with large effect sizes in the HDAC9 region. A
289 transcriptional effect of rs2107595 on HDAC9 expression is demonstrated by our results in genome-
290 edited T-lymphoid Jurkat cells and in primary proinflammatory MΦ, and is further substantiated by
291 the 4C results, which showed a physical interaction between the rs2107595 region and the HDAC9
292 promoter. The directionality of the transcriptional effect was consistent with results from luciferase
293 assays in Jurkat cells and THP-1 MΦ. It was further consistent with the effects on HDAC9
294 transcription reported previously for PBMCs¹⁰ in that the risk allele was associated with higher

295 HDAC9 expression levels. Of note, however, our earlier observations in PBMCs did not allow
296 attributing allele-specific effects to a specific genetic variant. As such, the current findings represent a
297 major advance.

298 Our results suggest that the effects of rs2107595 on HDAC9 expression might be cell-type dependent.
299 While the rs2107595 risk allele was associated with higher HDAC9 expression levels in
300 proinflammatory human M Φ and genome-edited T-lymphoid Jurkat cells, we found no indication for
301 an allele-specific effect in cultured HAoSMC. Similarly, luciferase assays showed a higher
302 transcriptional activity with the risk allele in Jurkat cells and proinflammatory THP-1 M Φ but not in
303 undifferentiated THP-1 monocytes, HAoSMCs and HAoECs. Genome-edited Jurkat cells showed a
304 constitutive allele-specific effect on HDAC9 expression but an inflammatory stimulus was required to
305 uncover a risk allele-dependent increase in HDAC9 expression in THP-1 cells and proinflammatory
306 human M Φ . This might be due to a cell-type-specific chromatin conformation affecting the
307 accessibility of transcription factors and thus gene expression.¹⁴ Despite a cell cycle-dependent
308 HDAC9 expression and the proposed role of HDAC9 in cell proliferation and cancer,²⁷⁻³⁰ we found no
309 allele-specific effect on cell proliferation in isogenic Jurkat cells. However, this might relate to Jurkat
310 cells lacking functional p53,³¹ which is transcriptionally regulated by HDAC9.³⁰ Additional work is
311 needed to determine a possible role of rs2106595-mediated control of HDAC9 in cell proliferation.

312 An allele-specific interaction between rs2107595 and E2F3/Rb1 complexes is supported by four
313 independent lines of evidence: our proteome-wide analysis of allele-specific binding partners, DNA
314 pull-down experiments in combination with immunoblotting, ChIP, and the presence of a consensus-
315 binding site for E2F3 at the common allele. Importantly, the directionality was consistent across all
316 approaches in that the risk allele disrupted binding to E2F3. There is evidence for a role of Rb in
317 atherosclerosis.³² M Φ specific deletion of Rb has previously been shown to enhance atherosclerosis in
318 ApoE deficient mice. Aside from their crucial function in cell cycle regulation, Rb and E2F proteins
319 cooperatively regulate transcriptional programs for development, metabolism and cell
320 differentiation.²¹ For instance, both proteins are required for proper myeloid cell development^{33, 34} and
321 control migration and senescence of vascular SMC in human atherosclerotic lesions.^{35, 36} E2F and Rb
322 further induce foam cell formation through the mTor/SREBP-2 pathway upon inflammatory stress.³⁷

323 Hence, loss of Rb/E2F binding at the rs2107595 risk variant and the associated increase in HDAC9
324 expression might provide a proinflammatory environment promoting atheroprogession. HDAC9 is
325 known to act as a proinflammatory factor,^{9, 10, 38-40} and a recent gene expression study in LAS patients
326 found the rs2107595 risk allele to be associated with enhanced IL-6 signalling in peripheral blood.⁴¹
327 However, HDAC9 also mediates cholesterol efflux in mouse MΦ.⁹ Thus, there may be a synergistic
328 effect of Rb/E2F-mediated HDAC9 expression on both cholesterol metabolism and inflammation in
329 mediating atherosclerosis risk.^{39, 41, 42} Our proteome-wide experiment identified differential interactors
330 aside from E2F3 and Rb proteins and we cannot exclude a role of these factors in mediating allele-
331 specific effects.^{17, 43} Yet, the binding of three proteins belonging to the same complex (E2F3, TFDP1,
332 and Rb1) together with our functional results strongly support a major role of E2F3/Rb1 in mediating
333 the effects of rs2107595 on HDAC9 expression.

334 HDAC9 has emerged as a potential target for drug development. For one, there is evidence from
335 different mouse models of atherosclerosis that lowering HDAC9 expression may attenuate
336 atherogenesis.^{9, 10} Second, rs2107595 has been associated with early stages of atherogenesis,^{11, 12}
337 which makes HDAC9 an attractive target for early intervention. Third, recent drug discovery
338 programs have resulted in the development of selective class IIa HDAC inhibitors with reasonable
339 specificity and inhibitory activity against HDAC9.⁴⁴ Interest in HDAC9 further emerges from the
340 observation that the HDAC9 locus is implicated in three major manifestations of atherosclerosis:
341 stroke, coronary artery disease, and peripheral artery disease. More work is needed to better
342 understand the mechanisms linking genetic variation in the rs2107595 region to atherosclerosis and
343 stroke.

344

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DISCLOSURES

None.

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499 **Figure Legends**

500 **Figure 1: The rs2107595 risk variant interferes with E2F3 binding.** (A) Top: regional association
501 plot of the HDAC9 gene region (18123000-19188000, GRCh37/hg19) showing association signals
502 around rs2107595 for large artery stroke in the MEGASTROKE dataset.² Middle: association plot of
503 the same region showing variants identified by targeted resequencing. Bottom: $-\log_{10}$ p-values for the
504 conserved sequence element around rs2107595, the intergenic region between HDAC9 and TWIST1,
505 and the HDAC9, TWIST1, and FERD3L genes, calculated by variant-collapsing methods (SKAT and
506 SKAT-O). The conserved 2.5-kb sequence block around rs2107595 (position marked by the dashed
507 line) significantly associated with large artery stroke ($p=0.017$). (B) Identification of allele-specific
508 binding partners of rs2107595 using PWAS. E2F3, Rb1, TFDP1, SAMD1 and L3MBTL3
509 preferentially interacted with the common allele (G) whereas NFATC2 preferentially bound to the
510 risk allele (A). (C) Position Weight Matrix²⁰ for the consensus site of the human E2F3 protein aligned
511 to the genomic sequence surrounding rs2107595. (D) ChIP experiments showing *in vivo* binding of
512 E2F3 to the rs2107595 region in HeLa cells. Fold change (FC). ($n=7-8$, mean \pm SD. Wilcoxon Signed-
513 Rank Test).

514

515 **Figure 2: E2F3 and Rb1 regulate HDAC9 expression.** (A-C) FC in HDAC9 mRNA expression
516 assessed in HeLa cells after (A) overexpression of E2F3a and Rb1, (B) siRNA mediated knockdown
517 of E2F3 and E2F4 and (C) siRNA mediated knockdown of Rb1, Rb11 and Rb12. $n=7$. (D) Cell cycle
518 analysis by flow cytometry and propidium iodide staining in HeLa cells following cell cycle arrest at
519 the G1/S boundary by hydroxurea (HU). HDAC9 expression is increased at the G₁/S boundary and
520 during S phase. ($n=6-7$. FC mean \pm SEM. Wilcoxon Signed-Rank Test).

521

522 **Figure 3: The rs2107595 risk variant is associated with elevated HDAC9 transcription in human**
523 **primary M Φ .** (A) Human blood-derived monocytes were differentiated *in vitro* to proinflammatory
524 M Φ . Upon TNF α and IFN γ stimulation M Φ homozygous for the risk allele displayed significantly
525 higher HDAC9 expression levels compared to common allele carriers (GG: $n=5$; GA: $n=5$; AA: $n=7$).

526 **(B)** Cultured post-mortem-derived HAoSMC showed no significant expression differences in
527 unstimulated or TNF α -stimulated (4h or 8h) HAoSMCs. (GG: n=9; AA: n=6).

528

529 **Figure 4: The rs2107595 risk variant is associated with elevated HDAC9 transcription in**
530 **reporter assays and genome-edited Jurkat cells**

531 **(A)** Risk allele associated with a significant increase in luciferase activity compared to the common
532 allele in T-lymphoid Jurkat cells and PMA-induced THP-1 M Φ . **(B)** Sanger sequencing of genome-
533 edited Jurkat cells containing either the (*) common allele (G) or risk allele (A). **(C)** rs2107595 risk
534 allele-dependent increase in HDAC9 mRNA expression in genome-edited Jurkat cells. n=16 or 24,
535 mean \pm SD. T-test. **(D)** Comparative expression analysis during cell cycle progression in isogenic Jurkat
536 cells carrying either the common (G) or risk allele (A). HDAC9 expression levels increased during the
537 first 8 h after HU removal. Risk allele carrying cells showed a significantly increased expression of
538 HDAC9 until 6 h. (mean \pm SD. T-test).

539

540 **Figure 5: The rs2107595 region physically interacts with a HDAC9 promoter.** **(A)** Domain plot
541 of the 4C-seq results obtained in isogenic Jurkat cells homozygous for the common (G) or risk allele
542 (A). Shown are the significance levels of the 4C-seq signal coverage with viewpoints in the HDAC9
543 promoter (top) and rs2107595 region (bottom). For both viewpoints results for individual alleles are
544 depicted in the upper panels with difference plots depicted below. Region of interactions (arrows) are
545 defined by an enrichment of covered fragends within a running window of 1 to 50 fragends. Grey
546 boxes represent the location of the 4C viewpoints. DHS and H3K4me3 histone marks are displayed at
547 the top.

548

549 **Figure 6: E2F3 mediates allele-specific effects of rs2107595 on HDAC9 transcription.**

550 **(A and B)** Comparative ChIP experiments in isogenic Jurkat cells homozygous for the common (G)
551 or risk allele (A). G1/S boundary arrested cells showed an enriched E2F3 **(A)** and Rb1 **(B)** occupancy
552 in common vs risk allele cells at rs2107595. (n=6, mean \pm SEM, Wilcoxon Rank-Sum Test). **(C)**

553 Overexpression of E2F3a resulted in a significant increase of the ratio between HDAC9 expression in
554 cells homozygous for the rs2107595 common allele (A) vs cells homozygous for the risk allele (G).
555 (n=8-10, mean±SD, T-test). **(D)** Proposed model for the regulatory effect of rs2107595 on HDAC9
556 expression by allele-specific binding of the E2F3/Rb1/TFDP1 complex. In the presence of the
557 common allele (G) the E2F3/Rb1/TFDP1 complex is recruited to the rs2107595 region and mediates a
558 repressive effect on HDAC9 transcription. The risk allele (A) disrupts binding of the
559 E2F3/Rb1/TFDP1 complex thus resulting in elevated HDAC9 expression.