

1 **MicroRNAs identify shared pathways in Alzheimer's and Parkinson's disease**

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36 **Abstract**

37 Despite the identification of several dozens of common genetic variants associated with
38 Alzheimer's disease (AD) and Parkinson's disease (PD), most of the genetic risk remains
39 uncharacterized. It is therefore important to understand the role of regulatory elements such
40 as microRNAs (miRNAs). Dysregulated miRNAs are implicated in AD and PD, with
41 potential value in dissecting the shared pathophysiology between the two disorders. MiRNAs
42 relevant in both neurodegenerative diseases are related to axonal guidance, apoptosis and
43 inflammation, therefore, AD and PD likely arise from similar underlying biological pathway
44 defects. Furthermore, pathways regulated by *APP*, *LICAM* and genes of the caspase family
45 may represent promising therapeutic miRNA targets in AD and PD since they are targeted by
46 dysregulated miRNAs in both disorders.

47

48 **Keywords:** Neurodegeneration, Dementia, Biomarker, Therapy, Pathophysiology, Diagnosis

49

50 **Alzheimer's and Parkinson's Disease: Two Disorders Along the Same Continuum?**

51 Despite significant advances in our understanding of key pathomechanisms of
52 neurodegenerative conditions including **Alzheimer's disease** (AD; see Glossary),
53 Parkinson's disease (PD) and dementia with Lewy-bodies (DLB), successful translation of
54 this knowledge to benefits for the affected population has been limited [2]. These disorders
55 show clinical and neuropathologic overlap, which limits diagnostic accuracy and challenges
56 the traditional concept of distinct entities [3-5].

57 The hypothesis that AD and PD are the extremes of a spectrum, with DLB somewhere
58 between, receives growing support [6]. Cases characterized by pure PD (i.e. α -synuclein
59 aggregation) or pure AD pathology (i.e. amyloid- β [$A\beta$] and tau aggregation) are not
60 representative of the majority of patients who mostly have mixed pathologies [7]. In PD,
61 spreading of α -synuclein pathology to the limbic system and neocortex is closely associated
62 with emerging dementia. Moreover, sufficient numbers of $A\beta$ plaques to justify diagnosis of
63 AD are found in half of the patients diagnosed with PD dementia; however, it is unknown if
64 and how α -synuclein and $A\beta$ pathologies act synergistically to confer prognosis [8].
65 Furthermore, an AD-variant of DLB was described, suggesting that Lewy body pathology
66 may be present in individuals who do not show the typical clinical features distinguishing
67 DLB from AD [9].

68 Increasing evidence supports a causal link between certain microRNAs (miRNAs),
69 short non-coding RNA molecules which modify gene expression post-transcriptionally (see
70 Box 1. MiRNA biogenesis and function), and different neurodegenerative disorders. In AD,
71 miRNAs targeting central elements of the amyloid cascade, such as amyloid precursor
72 protein (APP) [10] and β -site of APP cleaving enzyme (BACE1), have been identified [11,
73 12]. It has also been suggested that α -synuclein expression, regulated through miRNAs, is

74 neuron-type specific, possibly explaining the phenotypic heterogeneity of the different α -
75 synucleinopathies [13] (Clinician's Corner).

76

77 **MicroRNAs in Neurodegeneration**

78 MiRNA expression is controlled by regulatory mechanisms that involve recruitment of
79 specific transcription factors and the miRNA biogenesis machinery [21]. Neurodegeneration

80 affects many of these mechanisms, including miRNA expression (i.e. dysregulation) [22].

81 Systematic reviews of the published literature for individual neurodegenerative conditions,

82 followed by meta-analyses of miRNA measurements in brain tissue (but also in blood and

83 cerebrospinal fluid [CSF]), indicate robust evidence for dysregulated miRNAs [23, 24] (see

84 Box 3. MicroRNA-based biomarkers). MiRNAs play a major role in neuronal function.

85 Selective experimental depletion of Dicer [see Box 1.] in midbrain dopaminergic neurons is

86 associated with neurodegeneration and motor symptoms mimicking PD in mice [25].

87 Furthermore, emerging evidence shows that miRNAs are involved in key pathomechanisms

88 shared by different neurodegenerative disorders, such as neuroinflammation and cell death.

89 Important pro-inflammatory, anti-inflammatory and mixed immunomodulatory miRNAs are

90 involved in regulating neuroinflammation in various central nervous system (CNS)

91 pathologies, including AD [26]. Major deficits in human AD brain [27, 28] and murine

92 models of AD and related neurodegenerative diseases [29] are the loss of synaptic contacts

93 and synaptic disorganization. Pathomechanisms related to synaptic dysfunction may link

94 different neurodegenerative diseases [30], as also suggested by the dysregulation of miRNAs

95 enriched in synapses of brain regions affected in early AD, such as the hippocampus [31, 32].

96 Overall, the emerging knowledge on shared miRNA expression changes in AD and PD can

97 be used to derive information on central biological pathways involved in the pathogenesis of

98 both disorders.

99 Understanding the complex relationship between the distinct pathologies in the aging
100 brain and their clinical phenotypes is crucial for the development of effective treatments. An
101 approach which considers shared functional networks and pathways seems more promising
102 than strategies only considering certain select biological markers. Here, we focus on miRNAs
103 known to be dysregulated in AD and PD brains, and present evidence to support the thesis of
104 shared biological pathways. We also discuss how therapeutic and diagnostic research could
105 benefit from this knowledge.

106

107 **Dysregulated MicroRNA Networks Cluster in Shared Biological Pathways**

108 Molecular biology techniques and bioinformatic approaches allow researchers to link
109 differentially expressed miRNAs to specific genes and biological pathways [34]. This
110 provides valuable insights into the molecular alterations driving disease and unveils altered
111 biological pathways common across diseases. Interestingly, some genes involved in the
112 pathogenesis of neurodegenerative diseases are targeted by miRNAs differentially expressed
113 in AD or PD brains, and the *APP* gene provides a striking example. The central role for APP
114 in AD pathogenesis is well established [35], and *APP* gene variants are associated with early
115 onset AD [36]. Although evidence for the role of *APP* in PD is less abundant, one GWAS
116 showed that rare variants in *APP* may drive a dementia phenotype in PD patients
117 [37] Moreover, the mutant form of a key player in PD pathology, the leucine-rich repeat
118 kinase 2 (*LRRK2*) gene, was shown to phosphorylate APP and induce the production of its
119 intracellular domain, resulting in dopaminergic neuron loss in mice [38]. Notably, western
120 blot analysis in rat neuronal cells and reverse transcription quantitative (RT-q)PCR in human
121 embryonic kidney cells overexpressing the *APP* Swedish mutation, reveal that *APP* is
122 targeted by miR-195-5p and miR-497-5p [39-41]; these miRNAs are upregulated in AD [24]
123 and PD brains [23], respectively.

124 The example of *APP* is one of several found in the literature suggesting
125 pathophysiological connections between AD and PD, mediated by miRNAs. In the following
126 paragraphs, we synthesize evidence from multiple, unrelated studies and provide insights into
127 the possible connections of miRNAs dysregulated in AD and PD, and key CNS biological
128 pathways.

129

130 *Caspase Signaling Cascade in Neurodegeneration*

131 Neurodegeneration is closely linked to erroneous activation of apoptotic processes in
132 neuronal cells [42, 43]. Increased mRNA levels of caspase-3 and caspase-8 in AD and PD
133 postmortem brain samples demonstrate the importance of caspase genes in controlling
134 apoptosis in these diseases [44, 45]. Dysregulated miRNAs in AD and PD brains, and
135 molecules in the caspase cascade, are linked by evidence from several *in vivo* and *in vitro*
136 studies. For instance, transgenic AD mice overexpressing miR-132-3p [53, 54], a miRNA
137 downregulated in PD brains [23] and knockdown of miR-34a [53, 54], a miRNA upregulated
138 in AD brains [24], reduce caspase-3 activation. Additional evidence can be found in studies
139 conducted in other cell types and conditions. In glioma stem cells, inhibition of miR-138-5p,
140 a miRNA downregulated in AD brains [24], was associated with increased caspase-3 and
141 caspase-7 activity and apoptosis [46]. In hippocampal tissue of a rat model of hypothermic
142 circulatory arrest, inhibition of miR-29c, another miRNA downregulated in AD brains [24]
143 resulted in decreased caspase-3 expression [52]. MiR-363 and miR-34-5p, two miRNAs
144 upregulated in AD brains [24], inhibit caspase-3 and caspase-9 activity in glioblastoma stem
145 cells and upregulate the expression of *CASP3*, *CASP6*, *CASP7*, *CASP8* and *CASP9* in
146 hepatocellular carcinoma [47, 50]. Conversely, miR-146a-5p, which is upregulated in AD
147 brains [24], downregulates caspase-7 in a human neuroblastoma cell line mimicking acute

148 ischemic injury [51]. These results suggest a common role for caspases in neurodegeneration;
149 it remains to be seen whether they can be extended to AD and PD models.

150 Caspase signaling involves a complex interplay of molecular mediators. For instance,
151 binding of Fas-ligand to FAS, a transmembrane protein, leads to the oligomerization of
152 caspase-8 and subsequently activates a series of downstream caspases resulting in cell death
153 [60]. MiRNAs reportedly dysregulated in AD and PD brains regulate mediators of the
154 caspase cascade. In human neural stem cells, FAS is downregulated by miR-146a [24, 55], a
155 miRNA upregulated in AD brains. Similarly, miR-133b, which is downregulated in PD
156 brains [23], reduces the expression of FAS in rat cardiomyocytes [56], possibly due to
157 decrease of *FAIM* (FAS Apoptotic Inhibitory Molecule) mRNA by miR-133b as reported in
158 HeLa cells [57]. These results hold promise for exploring the effect of miR-133b in PD
159 models or neuronal cells. Interestingly, reduced *FAIM* expression was noted in AD post-
160 mortem hippocampal specimens [58]. MiR-133b might also participate in AD pathogenesis,
161 given that miR-133b upregulation was reported in AD patients' frontal cortex [61].

162 Another member of the caspase family attracting attention is *CASP7*; it has a missense
163 variant associated with familial late-onset AD, supporting earlier findings for a role in APP
164 cleavage [59]. Caspase-7 mediates apoptosis by regulating caspase-1 activity, according to a
165 study in neuroblastoma cells and PD mouse model [62]. Interestingly, overexpression of
166 miR-132-3p, a miRNA reduced in PD brains [23], decrease *CASP7* expression in pancreatic
167 cancer cells [49]. Further studies into the link between miR-132-3p, caspase-7 and apoptosis
168 are needed in PD models to confirm these findings.

169 In summary, there is a broad base of experimental evidence from neuronal and non-
170 neuronal cells that particular miRNAs target mediators of the caspase activation cascade.
171 Notably, several of the miRNAs identified are significantly dysregulated in AD and PD
172 brains. This observation is relevant to the debate about the role of caspases as therapeutic

173 targets in neurodegenerative diseases [63]. However, given the complex nature of the caspase
174 signaling cascade regulatory mechanisms, the effect of individual miRNAs on particular
175 mediators remains to be determined. Replication of these observations in neuronal cells and
176 disease-specific animal models is an essential objective to be met.

177

178 *Neuroinflammation and Dysregulated MicroRNAs*

179 The role of inflammation in neurodegeneration has been investigated intensively [64]. While
180 several pathways contribute to inflammation-driven neurodegeneration, *p38/MAPK1* is key to
181 the vicious cycle of inflammation and neurodegeneration [65, 66]. A β , α -synuclein and
182 inflammatory cytokines released by microglia can activate *p38/MAPK1* in neuronal and glial
183 cells [67], which in turn elicits multiple signaling cascades, including the NF- κ B pathway
184 regulating cytokine and chemokine production. This fuels microglial activation, aberrant
185 protein accumulation and mitochondrial dysfunction and leads to neurodegeneration [71].
186 The role of p38/MAPK1 has been studied extensively in patients and disease mouse models.
187 Increased levels of phosphorylated p38/MAPK were reported in lymphocytes of AD and PD
188 patients compared to healthy controls [72]. Moreover, administration of a selective
189 p38/MAPK1 inhibitor reduced pro-inflammatory cytokine production, tau accumulation and
190 synaptic dysfunction in an AD mouse model [68, 69]]. Similarly, in a PD mouse model,
191 activation of p38/MAPK was associated with increased production of pro-inflammatory
192 cytokines and dopaminergic neuron degeneration [70]. Notably, several studies with non-
193 neuronal cells show that *p38/MAPK1* mRNA is targeted by miRNAs downregulated in AD
194 and PD brains (i.e. miR-132-3p, miR-129-5p, miR-769-5p), and upregulated in AD brains
195 (i.e. miR-152-3p, miR-195-5p and miR-454-3p) [23, 24, 41, 48, 73-79]. A rat model of
196 chronic brain hypoperfusion (CBH) which exemplifies vascular disease, a known risk factor
197 for AD [81], had increased microglial activation and A β accumulation [82, 83]. In addition,

198 regulation of *APP* and *BACE1* expression via the NF- κ B pathway was partially-dependent on
199 miR-195 expression [24, 80], a miRNA upregulated in AD [24, 80]; therefore, this animal
200 model provides evidence linking these miRNAs with p38/MAPK1. In PD, a mouse model
201 study showed that Nurr1, a transcription factor regulated by p38/MAPK1, inhibits the activity
202 of NF- κ B [84].

203 Taken together, these findings offer useful insights into p38/MAPK1 as a common
204 mediator of AD and PD pathogenesis; the role of miR-132-3p, miR-129-5p, miR-769-5p,
205 miR-152-3p, miR-195-5p and miR-454-3p on p38/MAPK1 will benefit from new
206 investigations, e.g. in disease animal models. These miRNAs likely target other genes
207 involved in AD and PD pathogenesis and possibly also the *p38/MAPK1* signaling pathway
208 (influencing its role in neuroinflammation or other biological pathways) and need to be
209 explored further. Despite the observations that dysregulated miRNAs in AD and PD target
210 common mediators involved in neuroinflammation, the precise role of inflammation in
211 neurodegeneration – as a friend or foe – remains ambiguous, and to be further clarified by
212 additional experiments [85].

213

214 *Dysregulated microRNAs Affect Axonal Growth and Guidance*

215 Axonal development plays a critical role in maintaining and restoring disrupted neuronal
216 networks [88]. The mRNA of several genes involved in axonal guidance, such as *CDK5R1*
217 and *p38/MAPK1*, are targeted by miRNAs dysregulated in AD and PD, corroborating the
218 theory of impaired guidance in neurodegenerative diseases. MiR-195, an upregulated miRNA
219 in AD [24], led to dendrite degeneration and neuronal loss in CBH rat hippocampi, via
220 activation of the MAPK/DR6 pathway. Similarly, in a rat model of neurodegeneration,
221 overexpression of miR-132, a miRNA downregulated in PD brain [23], was associated with
222 decreased dopaminergic neuron development and differentiation via decreased levels of

223 Nurr1 [90, 91]. In this study Nurr1 downregulated BDNF, a growth factor inducing axonal
224 growth in AD and PD models [92].

225 The central role of *p38/MAPK1* in regulating axonal guidance is also evidenced by its
226 involvement in signaling pathways mediated by L1CAM and NCAM, two transmembrane
227 proteins involved in cell adhesion, neurogenesis and synaptic plasticity [93]. *L1CAM* and
228 *NCAM* downregulation may affect cell adhesion and axonal guidance in AD and PD.

229 Moreover, proteolytic cleavage fragments of L1CAM and NCAM may inhibit axonal
230 development by competing with other trophic substances, as indicated by increased levels of
231 L1CAM and NCAM proteolytic fragments in AD patients. Interestingly, L1CAM is cleaved
232 by ADAM10 and BACE1, similar to APP, indicating a possible intersection between APP
233 processing and cell adhesion molecules, potentially opening new therapeutic avenues [96]..

234 Finally, the mRNA of *CDK5R1*, another gene involved in axonal growth, is targeted
235 by the top miRNAs for dysregulated expression in brain; miR-133b downregulated in PD and
236 miR-363-3p upregulated in AD [23, 24, 41, 75]. *CDK5R1* is involved in the Semaphorin 3
237 (Sema3) signaling pathway; Sema3 may be implicated in AD and PD synaptic dysfunction
238 [104]. However, it is important to stress that transcripts differ across cell types, with
239 particular genes expressed in one cell type but not another. The ability of one miRNA to
240 target a specific mRNA depends on the affinity of the miRNA for that particular target, on
241 the availability of that target in the cell and on the pool of other possible targets that will
242 compete with that particular target. Therefore, the above described mechanisms need to be
243 confirmed in brain-related cell types.

244 In conclusion, the current knowledge linking miRNAs dysregulated in AD and PD to
245 inflammation, apoptosis and axonal guidance, supports the hypothesis that AD and PD may
246 result from a similar interplay of altered pathways. Magnitude of change, direction of
247 dysregulation and cell-type affected, are key determinants of the clinicopathological outcome,

248 along the AD - PD continuum (Figure 1, Key Figure). So far, most studies have been
249 conducted in animal models, or cell-cultures from tissues not directly affected by disease
250 pathology. Thorough characterization of these miRNA-gene interactions in disease-relevant
251 human tissues and cells would strengthen the current findings of overlapping pathologies and
252 set the path for developing new biomarkers and therapeutic targets (Box 3).

253

254 **MicroRNA-based Treatment of Neurodegenerative Disorders**

255 Dysregulated miRNAs in AD and PD reveal alterations in shared biological processes such as
256 inflammation, apoptosis and axonal growth hence nourishing hopes for the development of
257 miRNA-based therapies common to both diseases. Acting as targets or therapeutic agents,
258 miRNAs could modulate the expression of genes involved in pathways driving both diseases.
259 For a mouse model of stroke, delivery of a caspase 9 specific-inhibitor, XBir3, to the
260 cytoplasm of neuronal cells, led to apoptosis reduction [63]. Using a different target, the
261 administration of the L1CAM mimetic trimebutine, in mice with spinal cord injury, lead to
262 increased concentrations of phosphorylated MAPK, resulting in increased regrowth of axons
263 [109].

264 Targeting pathways known to be altered in unrelated pathologies is not a new
265 approach. In oncology, chemotherapies based on tyrosine kinase receptors are approved for
266 different types of malignancies. Building on this experience, a liposomal mimic of miR-34a,
267 known to downregulate more than 30 oncogenes involved in pathways common to several
268 malignancies, has been developed recently [111]. Lessons learned from drug development in
269 oncology can stimulate the development of miRNA-based neurodegeneration treatments.
270 However, it is striking that no clinical trials to investigate miRNA-based treatments in AD or
271 PD have been launched so far, in contrast to a number of clinical trials in diseases such as

272 hepatitis C or type-II diabetes. This is possibly explained by the challenges associated with
273 the development of miRNA-based treatments for brain disorders.

274 MiRNA-based therapeutics rely on two general approaches, either antagonising a
275 miRNA using an anti-miR or restoring a downregulated miRNA via miRNA mimics. The
276 miRNA target should be able to modulate the harmful activity of some cells while enhancing
277 the protective role of others. This represents a first challenge as a given cell type may have
278 different functions during acute and chronic stages of AD and PD; for instance, while
279 microglia may play a protective role in early stages of AD by removing A β aggregates, they
280 may increase A β plaque formation and neurodegeneration at later disease stages. Once the
281 optimal cell target and timing of drug administration have been identified, ways to deliver the
282 drug to the brain need to be defined. MiRNA-based drugs should be protected from serum
283 endonuclease activity. Also, the hurdle of the blood brain barrier (BBB) needs to be
284 overcome, and so far, no optimal non-invasive solution has been found. As AD and PD
285 progress, changes in cell population and composition of the microenvironment surrounding
286 neurodegeneration may further alter the diffusion of the drug to the target [117, 118]. Besides
287 these CNS specific hurdles, the development of miRNA therapeutics is also challenged by
288 overactivation of the immune system following miRNA-based drug administration.

289 In summary, miRNAs represent a promising opportunity for the yet unmet need of
290 disease-modifying treatments in AD and PD. However, questions regarding optimal choice of
291 target and delivery method to the targeted site need first to be answered.

292

293 **Concluding Remarks**

294 Here, we reviewed the current knowledge of exemplary miRNA targets based on cumulative
295 data that demonstrate commonly dysregulated miRNA in AD and PD physiology. Although
296 these disorders may arise from similar pathway defects, the clinicopathological outcome is

297 most likely determined by key factors, such as the extent of miRNA dysregulation. In the
298 absence of specific evidence, further experimental validation in appropriate systems would
299 help to confirm whether the dysregulation of selected miRNAs indeed impacts these
300 pathways in the diseased brain.

301 However, questions remain (see “Outstanding Questions”) and while new miRNA-
302 based diagnostic and therapeutic options may be on the horizon, experimental validation of
303 hypothesis and thorough evaluation will be required. Deciphering the role and relevance of
304 dysregulated miRNAs in different brain tissue may yield further answers and offer potential
305 treatment strategies.

306

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633 **Box 1. MiRNA Biogenesis and Function**

634 MiRNAs are short non-coding RNA molecules which modify gene expression post-
635 transcriptionally via recognition of binding sites located in the 3'-untranslated region of their
636 target messenger RNAs (mRNAs) [16]. Upregulated miRNA expression may therefore lead
637 to translational repression and reduced protein levels. Most of the miRNA families follow a
638 canonical biogenesis leading to the stepwise conversion of a primary miRNA into a mature
639 miRNA of approximately 18-22 nucleotides length (Figure I). Briefly, the process begins in
640 the cell nucleus, where RNA polymerase II or less frequently RNA polymerase III transcribes
641 a primary miRNA transcript (pri-miRNA) from miRNA genes. Once transcribed, a 5' cap
642 and a 3' poly-A tail are added to the pri-miRNA. Following this, a complex composed of
643 Drosha, an enzyme and DGCR8, a RNA binding protein, anchors to the pri-miRNA and
644 cleaves it into a stem-loop precursor miRNA (pre-miRNA). The pre-miRNA is then exported
645 into the cytoplasm via Exportin 5, a nucleic acid export protein. In the cytoplasm, the miRNA
646 precursor binds to an endonuclease called Dicer. The RNA binding protein TRBP within the
647 Dicer protein complex cleaves the stem-loop of the precursor and releases a double stranded
648 RNA molecule. Only one strand, either the 5' end or the 3' end strand (referred to as "-5p"
649 and "-3p" strand), is loaded on a RNA induced silencing complex (RISC). The other strand
650 may be cleaved by Argonaute proteins located on the RISC. On the RISC, the guide strand
651 miRNA interacts with different mRNAs depending on the complementarity between the two
652 structures. In most cases, the 3' untranslated region of the mRNA interacts with a seed region
653 located at the 5' end of the miRNA. This region consists of 6-8 nucleotides and is thought to
654 be the most important factor regulating the miRNA-mRNA interaction. Enzymes with
655 endonuclease activity like Argonaute protein 2 and GW182 then cleave the mRNA. Finally,
656 complexes such as CCR4-NOT and PAN2-PAN3 induce the de-adenylation of the mRNA
657 leading to its degradation. In recent years, alternative (noncanonical) pathways – though rare

658 – in the biogenesis of miRNA have been described. For example, miRNA targeted mRNA
659 can be sequestered into P-bodies where they can either undergo degradation or be stored for
660 later use [121]. Also, repression of mRNA can occur independently of RISC [122]. Finally,
661 while most of the miRNA genes are dispersed in intergenic regions of the genome, recent
662 evidence suggests that some miRNA genes are within introns of protein coding genes.

663 **Box 2. Clinicians' Corner**

- 664 • Cases of “pure” Alzheimer’s disease and Parkinson’s disease without concomitant
665 other neuropathologic changes are relatively rare and many cases show diverse
666 pathologies, including deposition of different pathologic proteins and damage related
667 to vascular disease.
- 668 • Alzheimer’s disease and Parkinson’s disease are increasingly considered as spectrum
669 disorders. These two neurodegenerative conditions are seen to be positioned at the
670 two extremes of the spectrum, with Parkinson’s disease dementia and dementia with
671 Lewy bodies positioned somewhere in the middle.
- 672 • MicroRNAs are small, non-coding molecules, which critically affect gene expression
673 by binding to their target messenger RNAs, thereby reducing the levels of the
674 associated proteins. Several microRNAs have been repeatedly associated with
675 Alzheimer’s disease and Parkinson’s disease pathogenesis by targeting key
676 pathological pathways such as amyloid- β and α -synuclein accumulation.
- 677 • MicroRNAs significantly associated with Alzheimer’s disease and Parkinson’s
678 disease cluster in key biological pathways and there is a functional overlap in
679 inflammation, axonal guidance and apoptosis.
- 680 • In the future, it may be possible to use microRNAs in peripheral body fluids such as
681 blood and cerebrospinal fluid as pathophysiological biomarkers to aid diagnosis and
682 prognosis of different late-onset neurodegenerative disorders. Efforts in other areas of
683 medicine, such as cancer, show that a better understanding of microRNA
684 dysregulation can also lead to more effective targeted, personalised therapies.
- 685

686 **Box 3. MicroRNA-based Biomarkers**

687 Different platforms are used to determine relative microRNA (miRNA) abundance in
688 biological samples. Among these, there are technologies and techniques with narrow assay
689 focus and high sample throughput (e.g. quantitative polymerase chain reaction, qPCR) on the
690 one end, and broad assay focus and low sample throughput on the other end (e.g. microarrays
691 and sequencing).

692 The potential of circulating miRNAs as biomarkers for early disease detection was
693 demonstrated in studies of cancer patients (e.g. colorectal cancer). Notably, circulating
694 miRNAs were also shown to predict lung cancer incidence several years before the onset of
695 disease [125]. Elsewhere, when miRNAs in blood samples from patients with one of 14
696 different diseases were analysed, including autoimmune conditions and cancers, the disease
697 was correctly identified in about seven out of ten patients [126].

698 In the context of the Alzheimer's disease (AD)-Parkinson's disease (PD) continuum,
699 miRNA-based biomarkers incorporated in the diagnostic process would be useful in different
700 ways: 1) they could be sensitive and specific to distinguish disorders with overlapping
701 symptoms and pathology, especially at early disease stages, to enable targeted treatment, and
702 2) they could be indicative of biological pathways affected, which may be shared between
703 different disorders, availing healthcare professionals of the opportunity for pathway-specific
704 treatment options for their patients. On the one hand, miRNAs that are differentially
705 expressed in PD but not in AD (e.g. miR-221-3p, down; miR-214-3p, down) [23, 24], may be
706 used to distinguish between PD and AD, and *vice versa*. On the other hand, miRNAs that are
707 differentially expressed both in PD or AD cases, versus controls, may be indicative of shared
708 disease pathway(s); for example, miR-29c-3p and miR-146a-5p, which are downregulated in
709 PD and AD [23, 24], are implicated in apoptosis through regulation of caspase genes.

710 Interestingly, changes in miRNA concentrations in a bodily fluid and in an organ
711 involved in pathology are not always concordant and sometimes change in opposite
712 directions. For instance, miR-501-3p was down-regulated in AD patient serum, but it was up-
713 regulated in the post-mortem brains of the same donors [127]. Therefore, it is unclear whether
714 differential miRNA expression is a cause or effect of the disease process, particularly in
715 blood.

716 In the future, a blood-based test incorporating miRNA biomarkers may be available,
717 facilitating diagnosis on the AD-PD continuum; a qPCR-based approach would provide the
718 required sensitivity and accuracy to enable reliable quantitative measurements, in line with
719 findings from a systematic comparison of 12 (two sequencing, three hybridization, seven
720 qPCR) commercially available platforms for miRNA expression analysis [128].

721 **Glossary**

722 **α -synuclein:** a presynaptic neuronal protein that is linked genetically and neuropathologically
723 to Parkinson's disease.

724 **α -synucleinopathies:** central nervous system disorders characterized by the presence of
725 aggregated α -synuclein intracellularly, including Parkinson's disease, dementia with Lewy
726 bodies and multiple system atrophy.

727 **Alzheimer's disease (AD):** slowly progressive, late-onset neurodegenerative disorder, which
728 affects cognitive performance, daily activities and behaviour and which is the most frequent
729 cause of dementia.

730 **Alzheimer's disease dementia:** dementia syndrome caused by the Alzheimer's disease
731 pathophysiological process.

732 **Amyloid cascade:** the series of events triggered by the proteolysis of amyloid precursor
733 protein, which results in the production and deposition of harmful amyloid- β .

734 **Amyloid precursor protein (APP):** a ubiquitously expressed transmembrane protein, which
735 serves as the precursor molecule whose proteolysis generates amyloid- β .

736 **Amyloid- β protein (A β):** a protein fragment processed from amyloid precursor protein,
737 which is a major component of senile plaques. Cerebrospinal fluid concentrations are
738 typically reduced in Alzheimer's disease.

739 **β -site amyloid precursor protein cleaving enzyme 1 (BACE1):** a transmembrane aspartyl
740 protease with β -secretase activity, responsible for the rate limiting amyloid precursor protein
741 cleavage step.

742 **Dementia:** a decline in global deterioration of intellectual function that is severe enough to
743 interfere with daily life.

744 **Dementia with Lewy bodies (DLB):** second most common type of progressive dementia
745 after Alzheimer's disease dementia. People with DLB may experience visual hallucinations

746 and changes in alertness and attention early during the disease course, in addition to
747 locomotor symptoms of Parkinson's disease.

748 **Lewy bodies:** circular clumps of α -synuclein (and other proteins) that are found in the brains
749 of people with Parkinson's disease. They are abundant in areas of the brain that have suffered
750 cell loss, such as the region containing dopamine neurons.

751 **Parkinson's disease (PD):** slowly progressive, late-onset neurodegenerative disorder, which
752 affects the motor system with shaking, rigidity, slowness of movement and difficulty
753 walking.

754 **Parkinson's disease dementia:** dementia syndrome caused by the Parkinson's disease
755 pathophysiological process.

756 **Tau protein:** an intracellular protein that stabilises microtubules. Alzheimer's disease and
757 other tauopathies are associated with a hyperphosphorylation of tau. Cerebrospinal fluid
758 concentrations are typically increased in Alzheimer's disease.

759 **Figure 1. Network of selected genes targeted by dysregulated microRNAs in**
760 **Alzheimer's disease and Parkinson's disease**

761

762 **Panel A.** The same gene (square) can be targeted by multiple microRNAs dysregulated in
763 Alzheimer's disease (AD, red circle) and Parkinson's disease brain (PD, blue circle). Some
764 genes (square yellow) are key actors in altered biological processes participating in the
765 pathogenesis of both disorders [41, 50, 75, 129-132]. Symbols: circle, microRNA; square,
766 gene. Color: red, microRNA dysregulated in AD; blue, microRNA dysregulated in PD;
767 yellow, crossing points between pathways.

768 **Panel B.** Impact of dysregulated genes on apoptosis, inflammation, and axonal guidance in
769 AD and PD. **(1)** A β and α synuclein can activate or upregulate FAS [133, 134]. Activation of
770 FAS stimulates the caspase signalling cascade resulting in apoptosis. **(2)** A β binds to Tumor
771 Necrosis Factor (TNF) receptor and Toll-like receptors (TLR) leading to cytokine and
772 chemokine production via activation of the NF κ B and p38/MAPK1 pathways [136]. TNF
773 receptor associated protein 6 (TRAF6) is involved in TLR signalling and has been linked to
774 ubiquitination of α -synuclein and tau resulting in intracellular protein accumulation in AD
775 and PD [137, 138]. **(3)** The neuron adhesion molecules N1CAM and L1CAM regulate actin
776 cytoskeleton via CDK5R1 and MAPK1 pathways and induce axonal growth [93, 94].
777 Semaphorin3 and Slit2 stimulate axonal growth by binding to L1CAM/NRP1 and Robo2
778 respectively [97, 98, 104]. The nature of interaction between soluble fragments of L1CAM,
779 α -synuclein and Amyloid- β on L1CAM, N1CAM and Robo2 has yet to be further
780 investigated.

781 **Figure 2. Potential microRNA-based drug targets**

782

783 MicroRNA (miRNA)-based therapeutics rely on either antagonising a miRNA using an anti-
784 miR or restoring a downregulated miRNA via miRNA-mimics. The miRNA target should be
785 able to modulate the harmful activity of some cells while retaining the protective role of
786 others. However, miRNA-based drug development is associated with challenges: miRNAs
787 need to survive serum endonuclease activity (A), blood brain barrier needs to be overcome
788 (B), the compound needs to diffuse across the brain parenchyma (C), immunogenicity of
789 miRNA-based drugs on microglia is unclear (D) and finally, ways to deliver miRNA-based
790 drugs to the target cell (E) and methods to induce the desired repression (F) need to be clearly
791 identified. Pathways regulated by caspase genes (Option 1), *APP* (Option 2) and *LICAM*
792 (Option 3) represent potential therapeutic miRNA targets in Alzheimer's disease and
793 Parkinson's disease as they involve genes targeted by dysregulated microRNAs in both
794 disorders. Moreover, experimental studies based on XIAP, a caspase-9 inhibitor and L1-
795 mimics have reported promising results on their ability to inhibit neuronal death and
796 stimulate axonal growth.

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